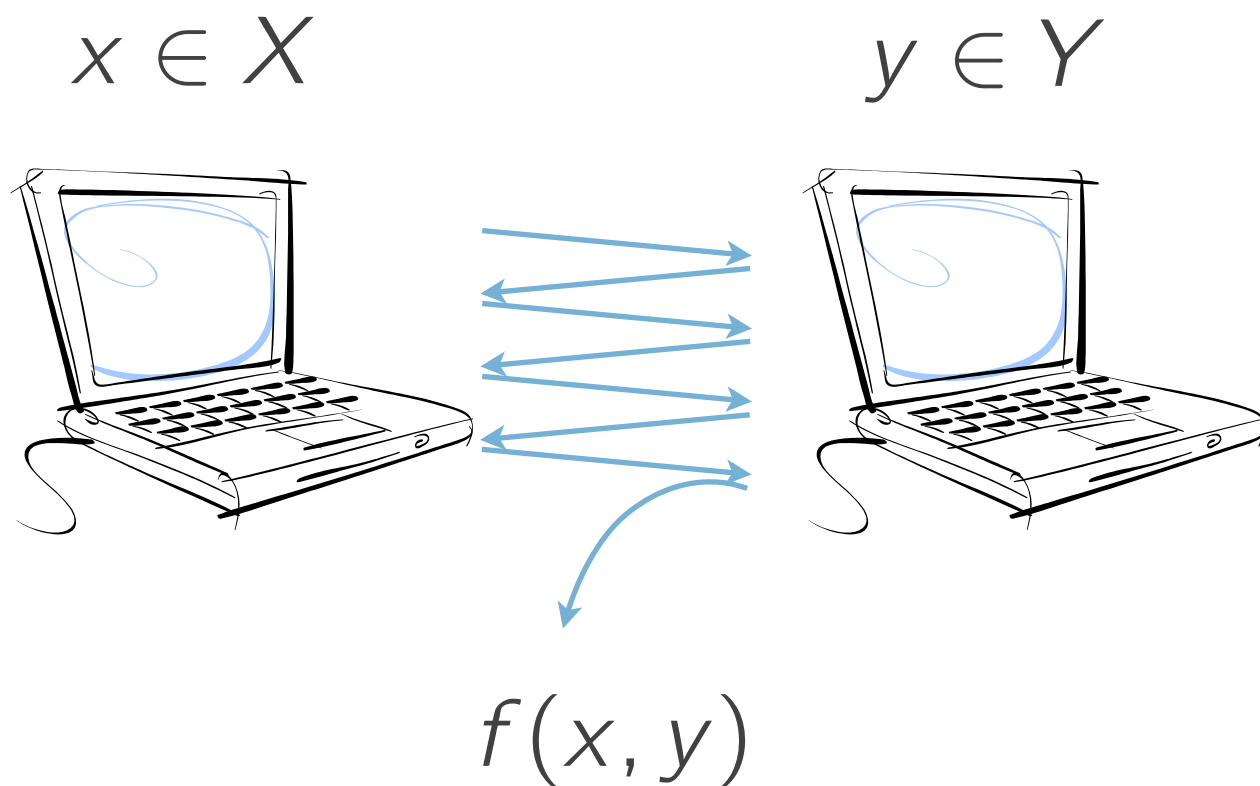


Communication Complexity Theory: An Invitation

Alexander Sherstov
UCLA

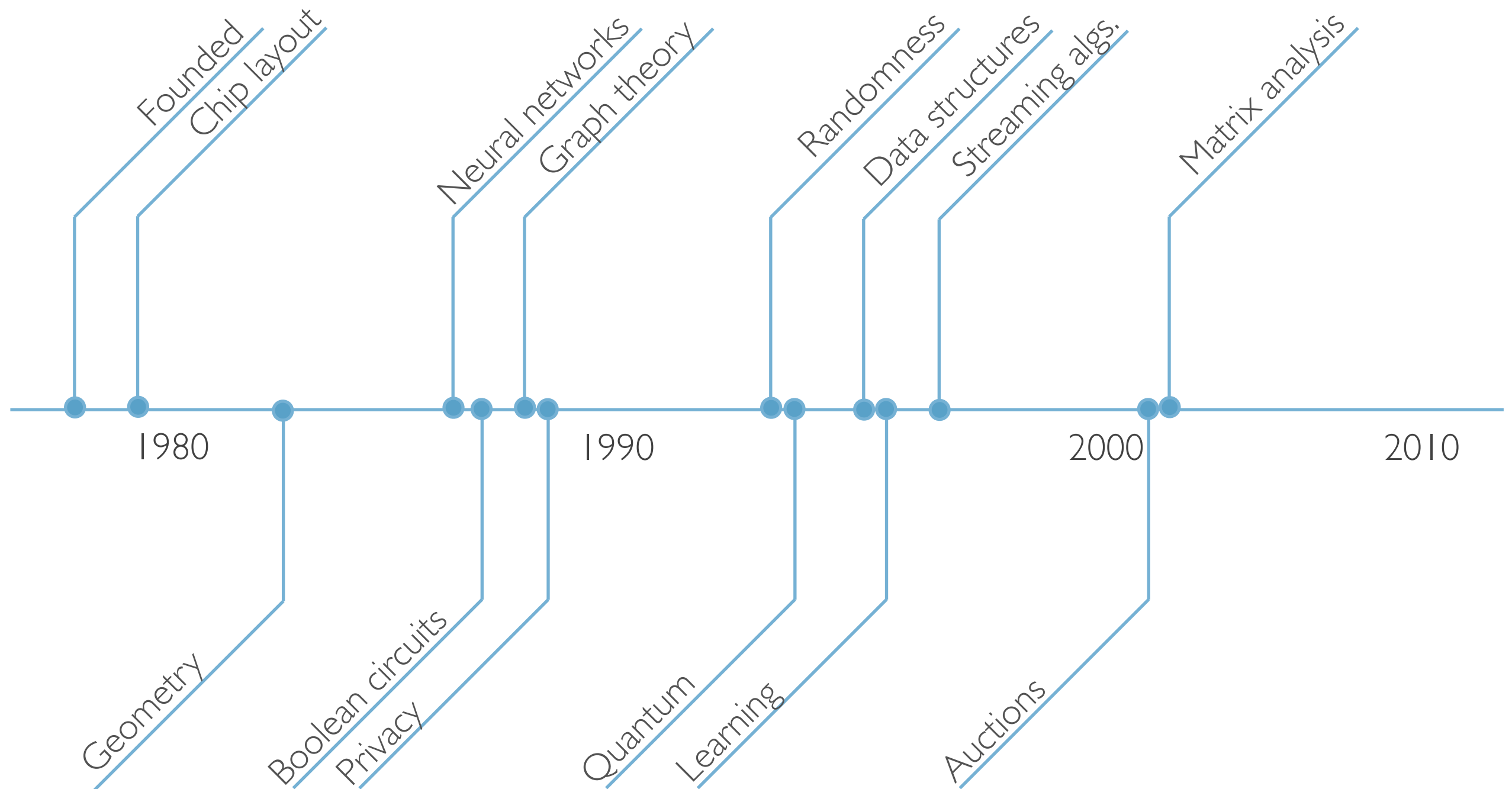
Yao's theory (1979)

$$f: X \times Y \rightarrow \{0, 1\}$$



**Communication
is the only
resource**

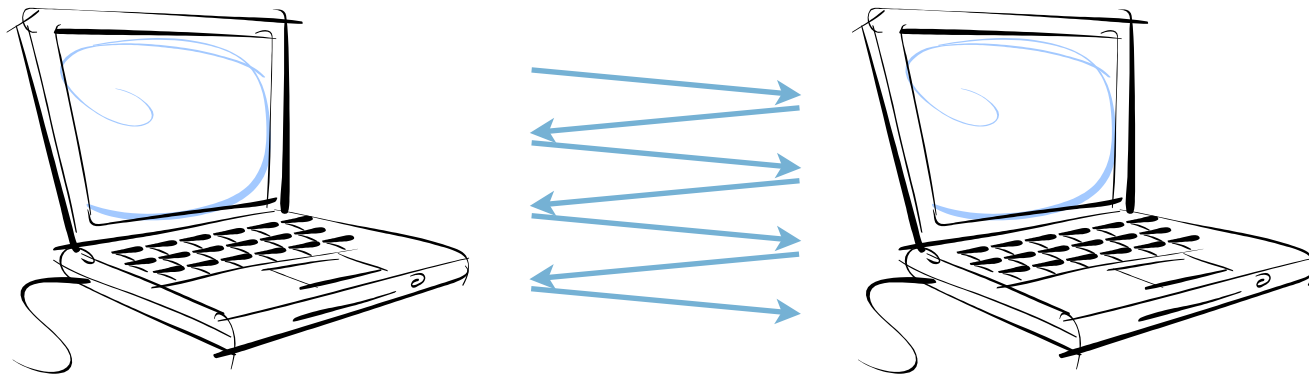
43 years of communication



Disjointness problem

$$A \subseteq \{1, 2, \dots, n\}$$

$$B \subseteq \{1, 2, \dots, n\}$$



Disjointness problem

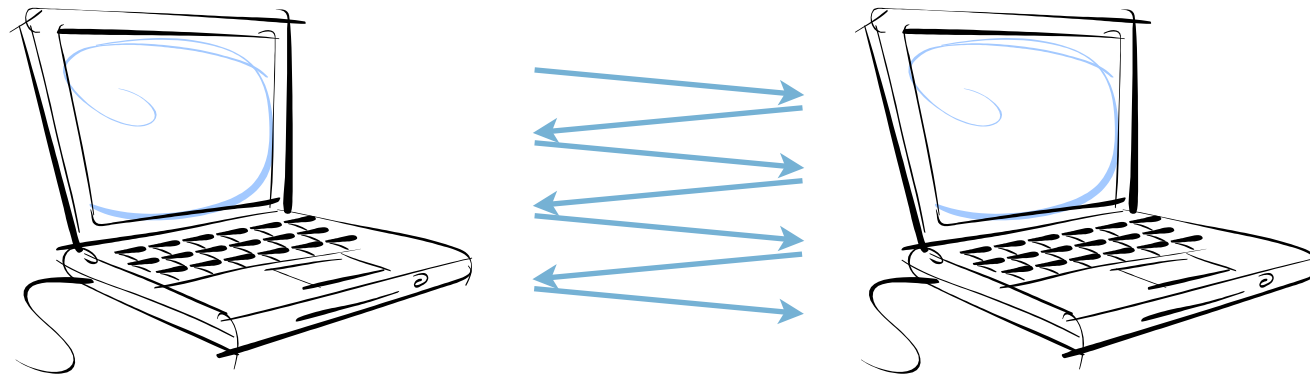
$$A \subseteq \{1, 2, \dots, n\}$$

$$B \subseteq \{1, 2, \dots, n\}$$

Goal:

determine if

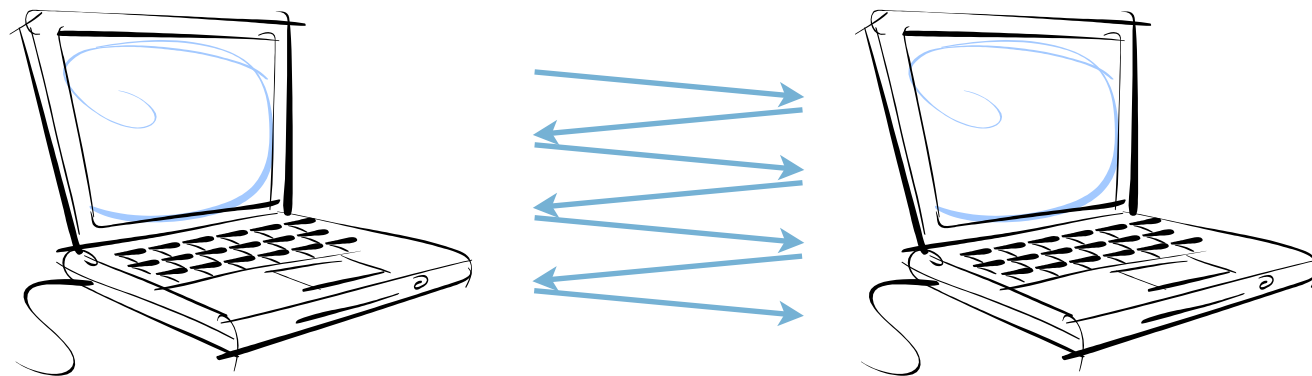
$$A \cap B \neq \emptyset$$



Disjointness problem

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**Goal:**

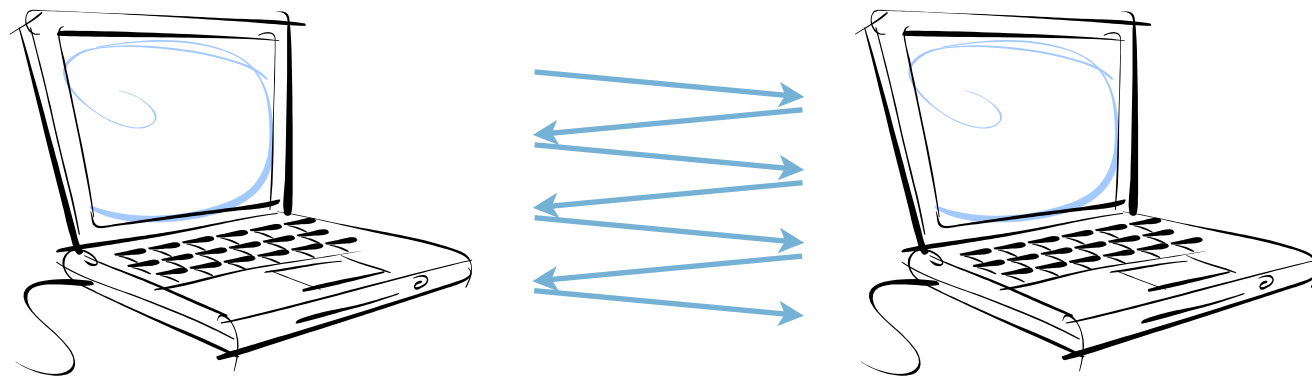
determine if
 $A \cap B \neq \emptyset$

Requires $\Omega(n)$
bits, even for
correctness 51%

Disjointness problem

$$A \subseteq \{1, 2, \dots, n\}$$

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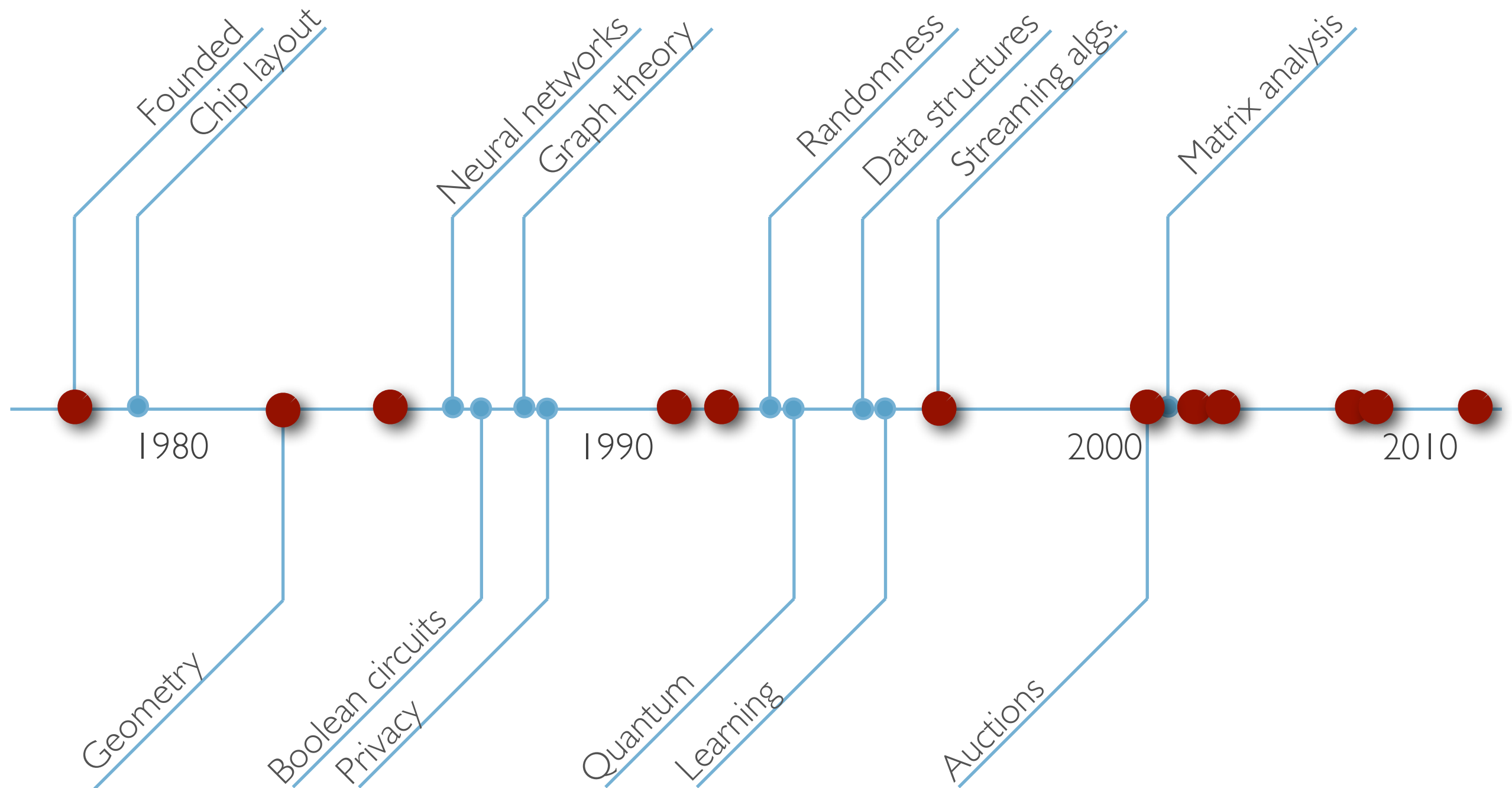
**Goal:**

determine if
 $A \cap B \neq \emptyset$

Requires $\Omega(n)$
bits, even for
correctness 51%

Think: scheduling a meeting

43 years of ~~communication~~ **set disjointness!**



I. Deterministic communication

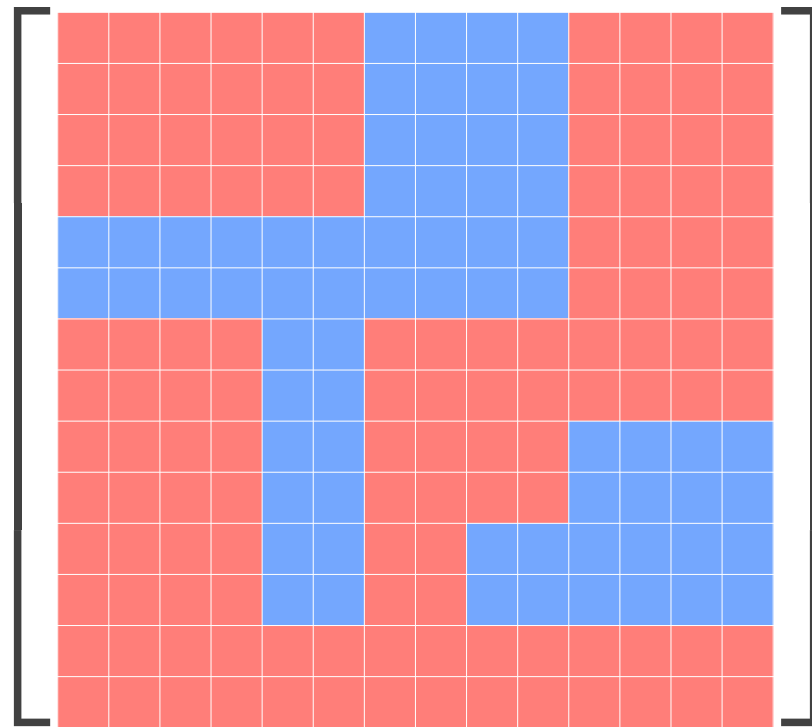
Matrix view of communication

$$f: X \times Y \rightarrow \{0, 1\}$$

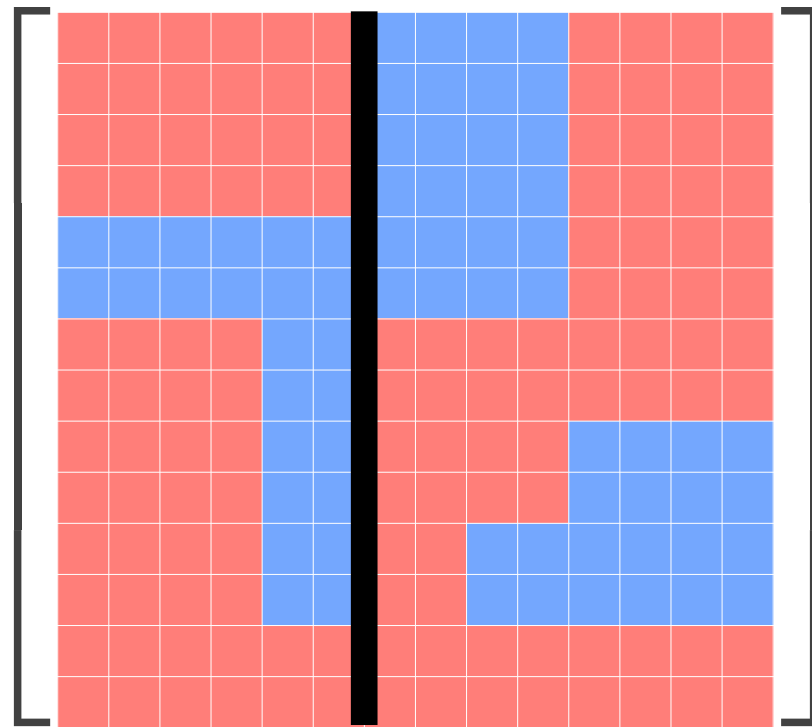
Characteristic matrix:

$$M_f = \begin{matrix} & \begin{matrix} x \in X \\ \vdots \\ f(x, y) \\ \vdots \end{matrix} \\ \begin{matrix} \dots\dots\dots \\ \vdots \end{matrix} & \left[\begin{matrix} & & & & \\ \dots\dots\dots & f(x, y) & \dots\dots\dots \\ & & & & \end{matrix} \right] & \begin{matrix} y \in Y \end{matrix} \end{matrix}$$

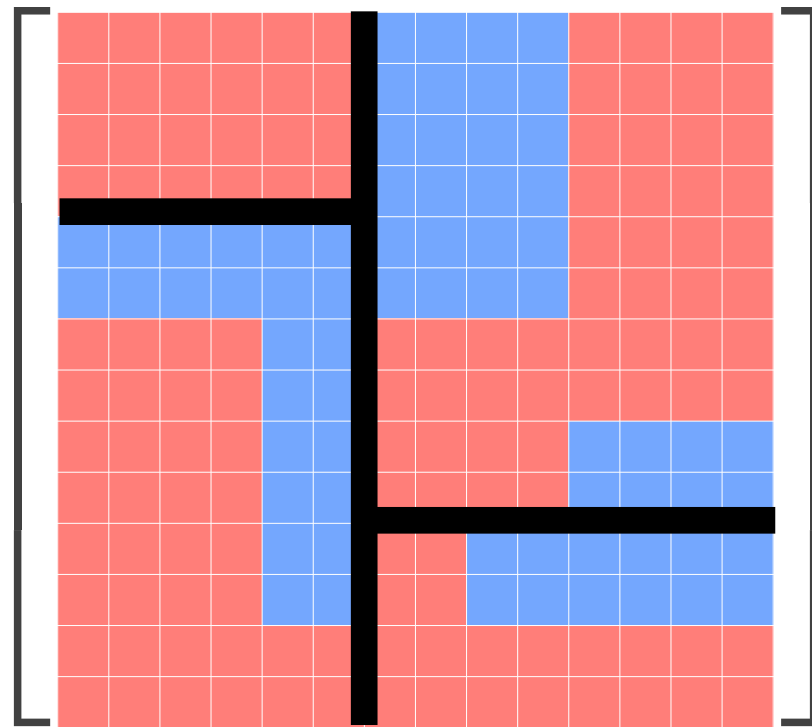
Matrix view of communication



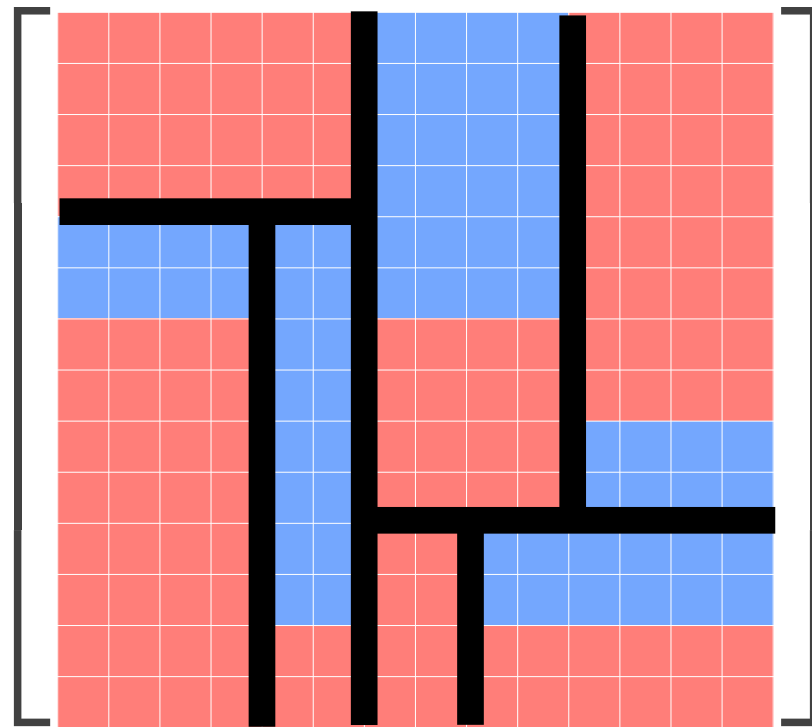
Matrix view of communication



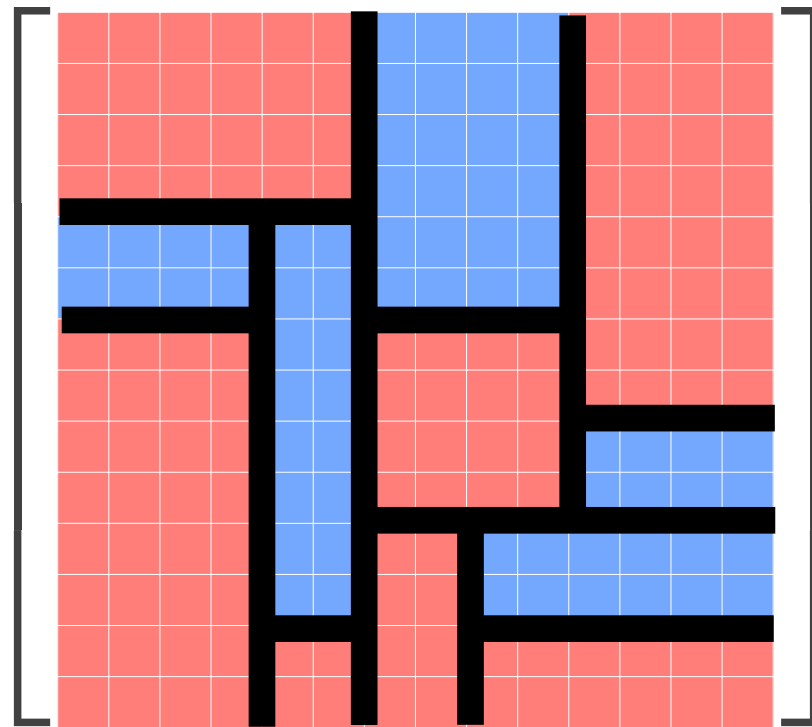
Matrix view of communication



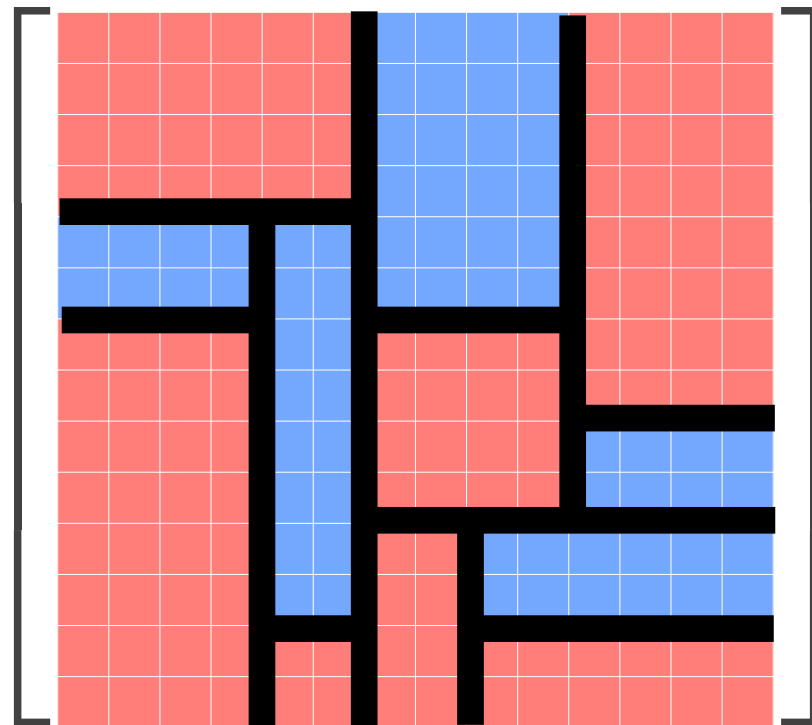
Matrix view of communication



Matrix view of communication



Matrix view of communication



Fact. Any cost- c deterministic protocol for f partitions M_f into 2^c monochromatic submatrices.

Set disjointness

Theorem. M_{DISJ_n} cannot be partitioned into $< 2^n$ monochromatic submatrices.

Set disjointness

$$\therefore D(\text{DISJ}_n) \geq n$$

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Theorem. M_{DISJ_n} cannot be partitioned into $< 2^n$ monochromatic submatrices.

Proof (Yao '79).

$$M_{\text{DISJ}_n} = \begin{bmatrix} 1 & & & \vdots & & \\ & 1 & & \vdots & & \\ & & \ddots & \vdots & & \\ \dots & & & 1 & \dots & \\ & & & \vdots & \ddots & \\ & & & & 1 & \\ & & & & & 1 \end{bmatrix} \begin{matrix} S \\ \\ \\ \bar{S} \\ \\ \end{matrix}$$

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Proof (Yao '79).

$$M_{\text{DISJ}_n} = \begin{array}{cc} & \begin{matrix} S & T \end{matrix} \\ \begin{bmatrix} 1 & & & & \\ & \ddots & & & \\ & & \boxed{1} & & \boxed{} \\ & & & \ddots & \\ & & \boxed{} & & \boxed{1} \\ & & & & \ddots \\ & & & & & 1 \end{bmatrix} & \begin{matrix} \bar{S} \\ \bar{T} \end{matrix} \end{array}$$

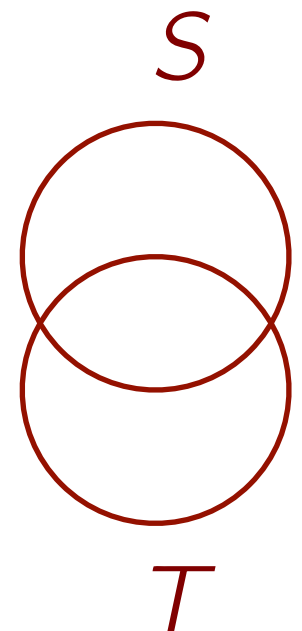
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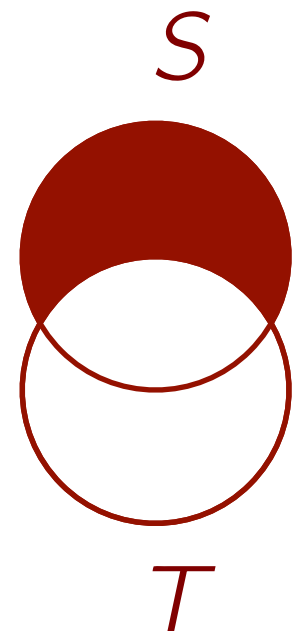
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Proof (Yao '79).

$$M_{\text{DISJ}_n} = \begin{array}{c} \begin{array}{cc} S & T \end{array} \\ \left[\begin{array}{cccc} 1 & & & \\ & \ddots & & \\ & & 1 & * \\ & & & \ddots \\ & 0 & 1 & \\ & & & \ddots \\ & & & & 1 \end{array} \right] \begin{array}{c} \bar{S} \\ \bar{T} \end{array} \end{array}$$



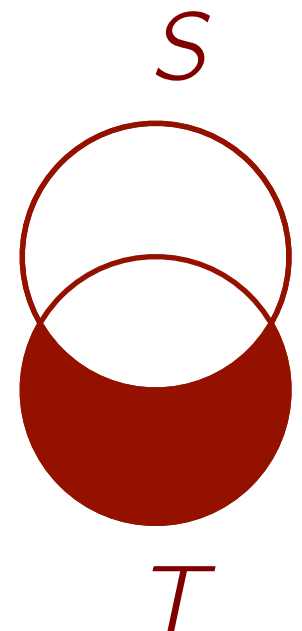
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$$M_{\text{DISJ}_n} = \begin{array}{c} \begin{array}{cc} S & T \end{array} \\ \left[\begin{array}{cccc} 1 & & & \\ & \ddots & & \\ & & 1 & 0 \\ & & & \ddots \\ * & & 1 & \\ & & & \ddots \\ & & & & 1 \end{array} \right] \begin{array}{c} \bar{S} \\ \bar{T} \end{array} \end{array}$$



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$\therefore$ Every monochromatic submatrix contains ≤ 1 diagonal entry. ■

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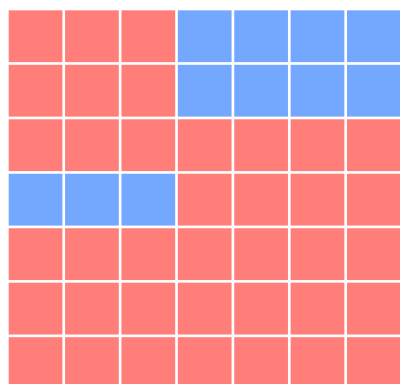
Proof (Mehlhorn & Schmidt '82).

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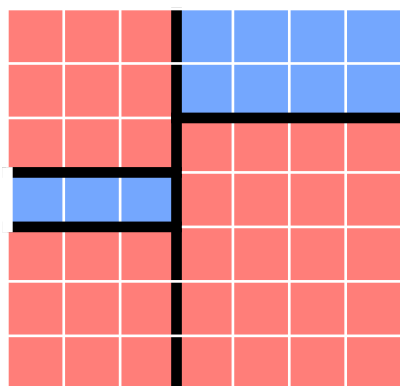


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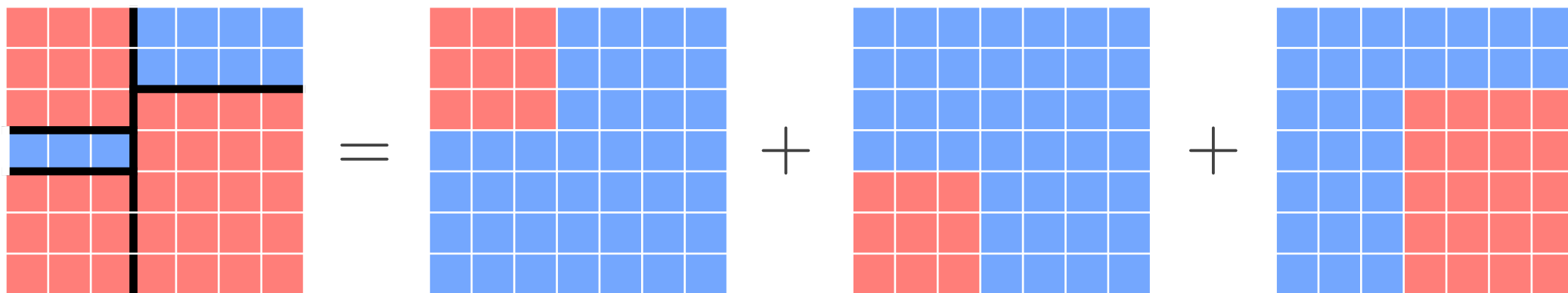


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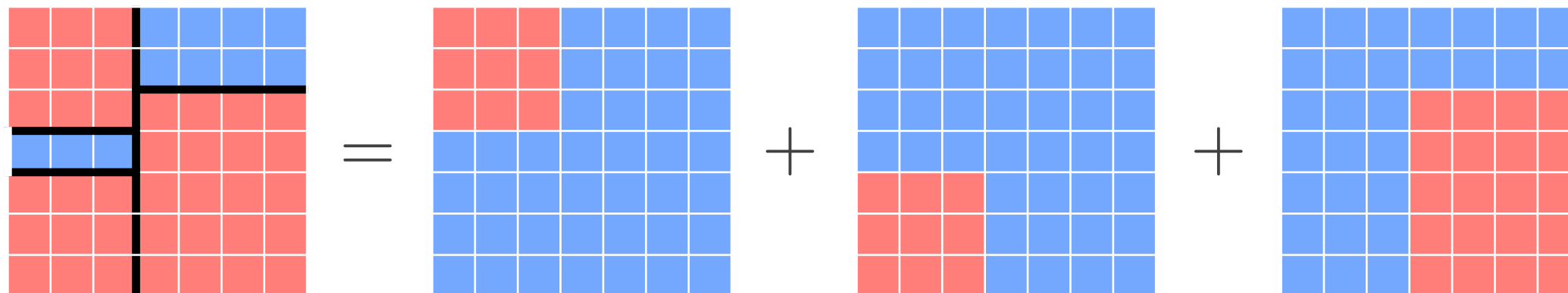


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$\therefore$ Need $\text{rk}(M_{\text{DISJ}_n})$ submatrices.

Set disjointness

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Proof (Mehlhorn & Schmidt '82).

$$M_{\text{DISJ}_n} = \begin{bmatrix} M_{\text{DISJ}_{n-1}} & M_{\text{DISJ}_{n-1}} \\ M_{\text{DISJ}_{n-1}} & 0 \end{bmatrix}$$

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$$\therefore \text{rk}(M_{\text{DISJ}_n}) = 2^n \quad \blacksquare$$

Log-rank conjecture

Conjecture (Lovász-Saks 1988). For all f ,

$$D(f) \leq (\log \operatorname{rk} M_f)^{O(1)}$$

Log-rank conjecture

**“Mehlhorn-Schmidt
is tight”**

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$$D(f) \leq (\log \operatorname{rk} M_f)^{O(1)}$$

- Best known gap: $D(f) \geq (\log \operatorname{rk} M_f)^{1.58\dots}$
[Nisan & Wigderson 1994]

Log-rank conjecture

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- Best known gap: $D(f) \geq (\log \operatorname{rk} M_f)^{1.58\dots}$
[Nisan & Wigderson 1994]
- Construction based on DISJ_n

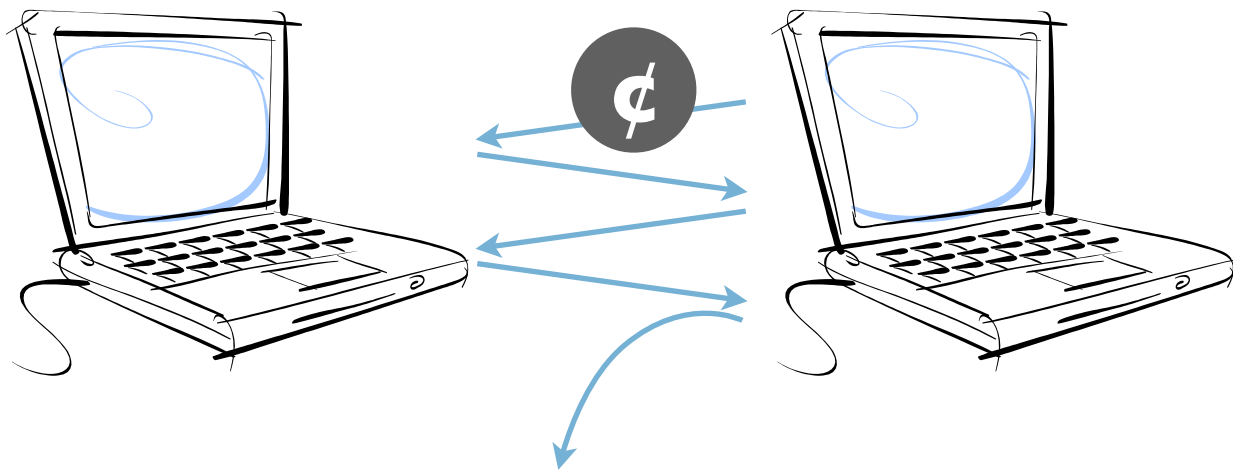
II. Nondeterministic communication

Nondeterminism

$$f: X \times Y \rightarrow \{0, 1\}$$

$x \in X$

$y \in Y$

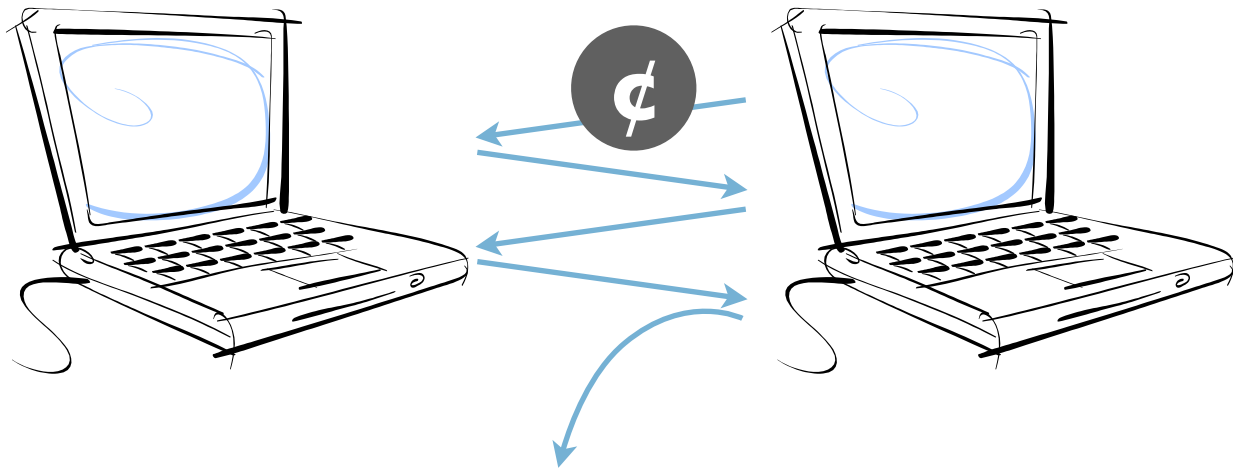


Nondeterminism

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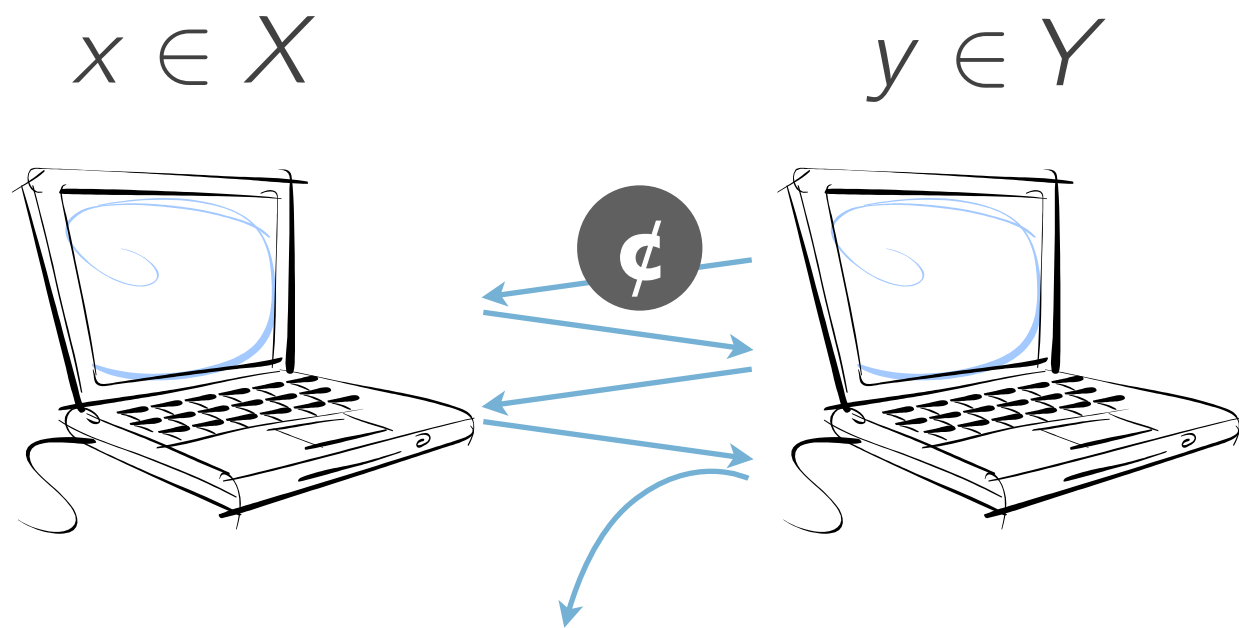
$y \in Y$



- Can send coin flips

Nondeterminism

$$f: X \times Y \rightarrow \{0, 1\}$$



- Can send coin flips
- Correct on all coin flips when $f(x, y) = 0$
- Correct on some coin flips when $f(x, y) = 1$

Nondeterminism

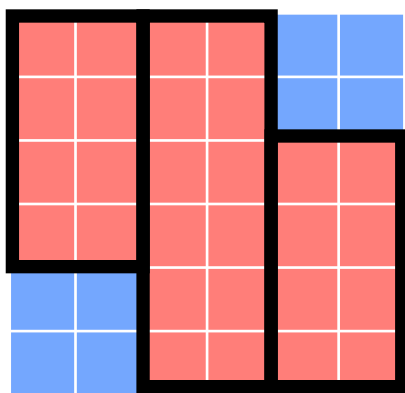
Fact. Any cost- c deterministic protocol for f partitions M_f into 2^c monochromatic submatrices.

Nondeterminism

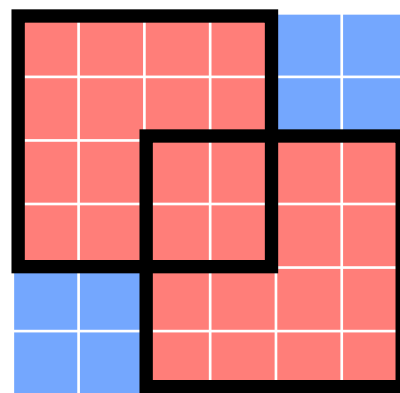
Fact. Any cost- c ~~deterministic~~ **nondeterministic** protocol for f **covers $f^{-1}(1)$ by** ~~partitions M_f into~~ 2^c monochromatic submatrices.

Nondeterminism

Fact. Any cost- c **nondeterministic** ~~deterministic~~ protocol for f **covers $f^{-1}(1)$ by** ~~partitions M_f into~~ 2^c monochromatic submatrices.



partition



cover

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$\therefore$ Every monochromatic submatrix contains ≤ 1 diagonal entry. ■

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$$\therefore N(\text{DISJ}_n) \geq 0.58n$$

Theorem. M_{DISJ_n} cannot be covered by $< 1.5^n$ monochromatic submatrices.

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Theorem. M_{DISJ_n} cannot be covered by $< 1.5^n$ monochromatic submatrices.

Proof (Kushilevitz & Nisan '97).

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Theorem. M_{DISJ_n} cannot be covered by $< 1.5^n$ monochromatic submatrices.

Proof (Kushilevitz & Nisan '97).

μ = uniform distribution on $\text{DISJ}_n^{-1}(1)$

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Proof (Kushilevitz & Nisan '97).

μ = uniform distribution on $\text{DISJ}_n^{-1}(1)$

$\mathcal{A} \times \mathcal{B}$ = any submatrix inside $\text{DISJ}_n^{-1}(1)$

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$$\mu(\mathcal{A} \times \mathcal{B}) = \frac{|\mathcal{A} \times \mathcal{B}|}{|\text{DISJ}_n^{-1}(1)|}$$

Set disjointness

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$\mathcal{A} \times \mathcal{B}$ = any submatrix inside $\text{DISJ}_n^{-1}(1)$

$$\mu(\mathcal{A} \times \mathcal{B}) = \frac{|\mathcal{A} \times \mathcal{B}|}{|\text{DISJ}_n^{-1}(1)|} \leq \frac{2^{|\mathcal{U}_{\mathcal{A}}|} 2^{|\mathcal{U}_{\mathcal{B}}|}}{3^n}$$

Set disjointness

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Theorem. M_{DISJ_n} cannot be covered by $< 1.5^n$ monochromatic submatrices.

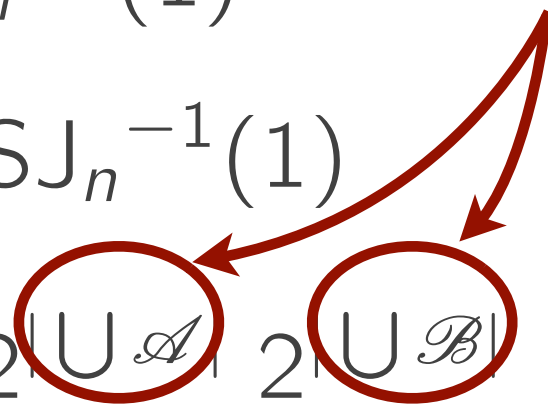
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disjoint



Set disjointness

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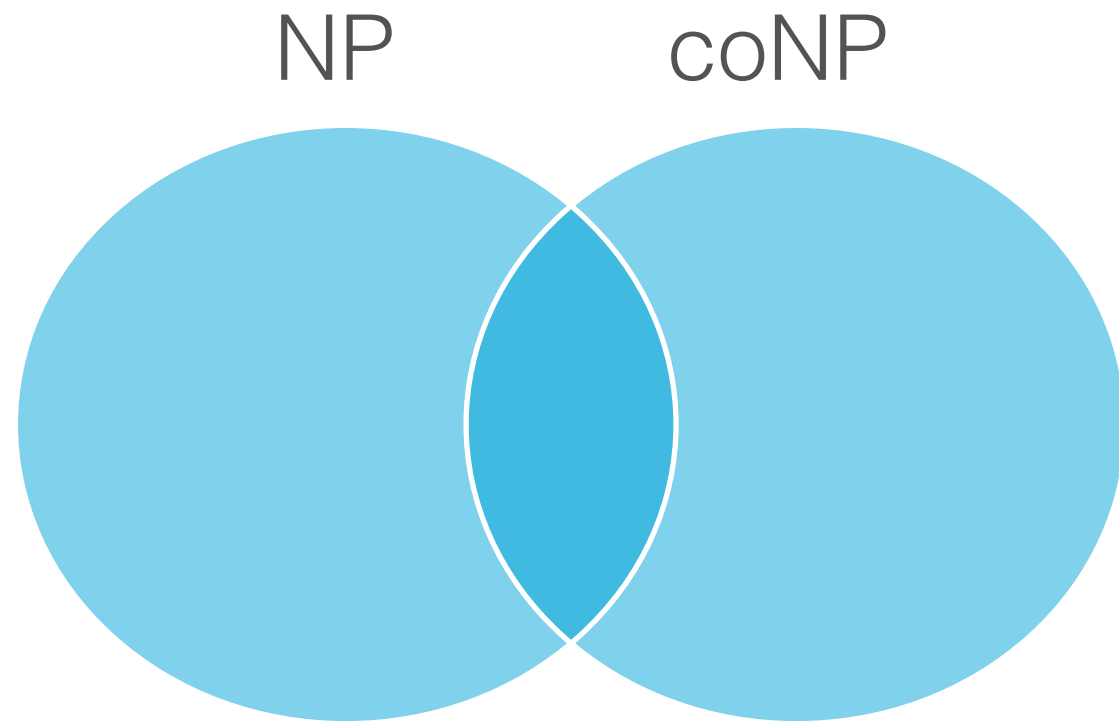
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disjoint

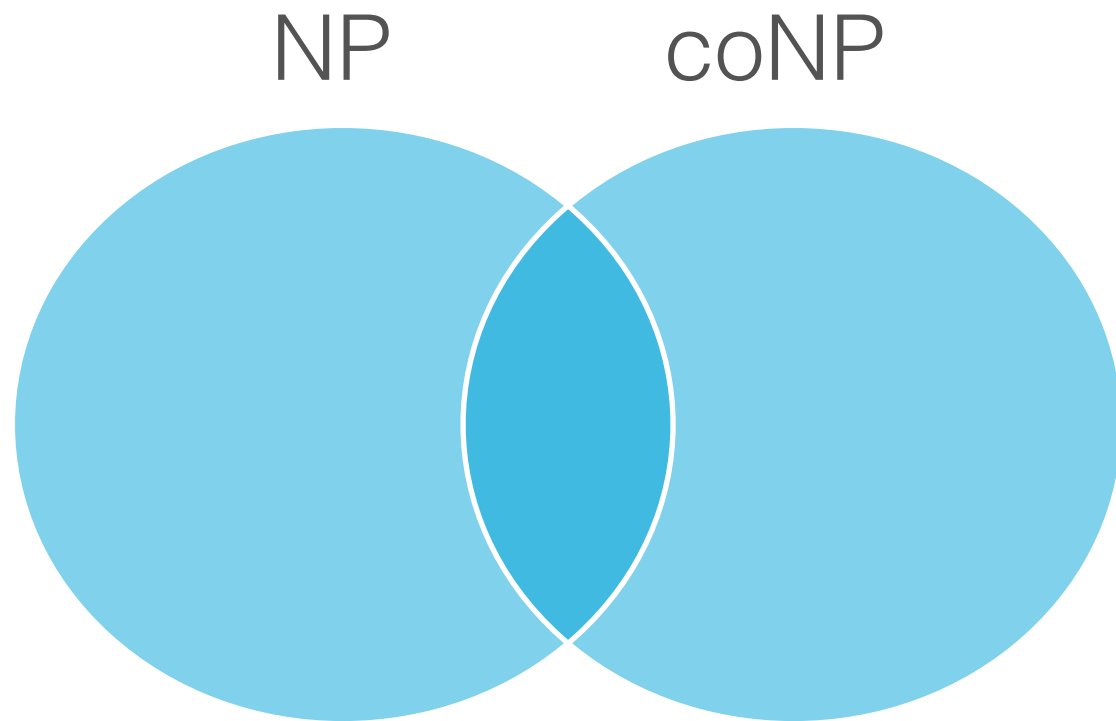
$$\mu(\mathcal{A} \times \mathcal{B}) = \frac{|\mathcal{A} \times \mathcal{B}|}{|\text{DISJ}_n^{-1}(1)|} \leq \frac{2^{|\mathcal{U}_{\mathcal{A}}|} 2^{|\mathcal{U}_{\mathcal{B}}|}}{3^n} \leq \frac{2^n}{3^n}$$

Communication classes



Classes due to
Babai et al. '86

Communication classes

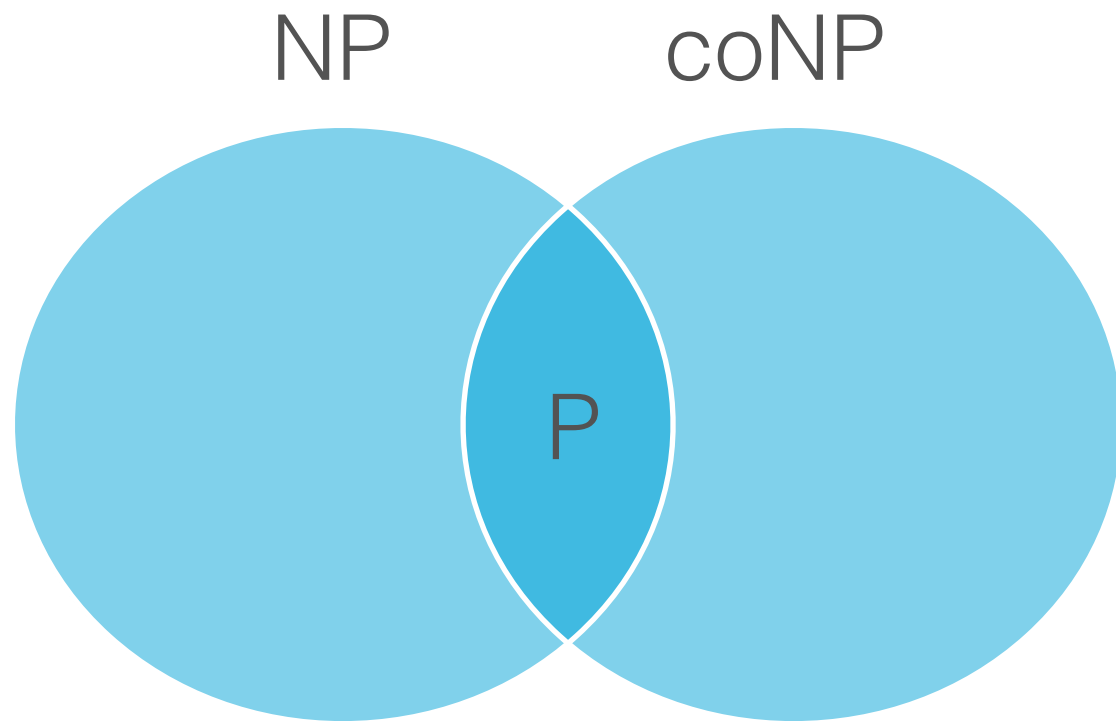


Fact (Aho '83).

$$D(f) \leq 4N(f)N(\neg f)$$

Classes due to
Babai et al. '86

Communication classes

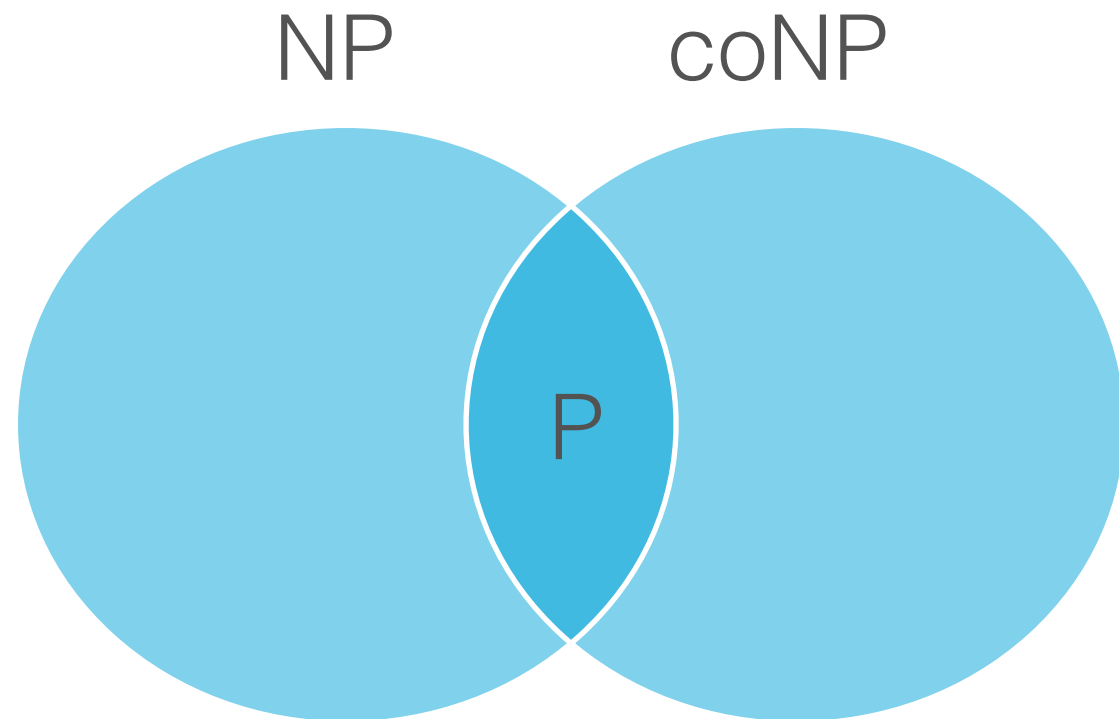


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Communication classes



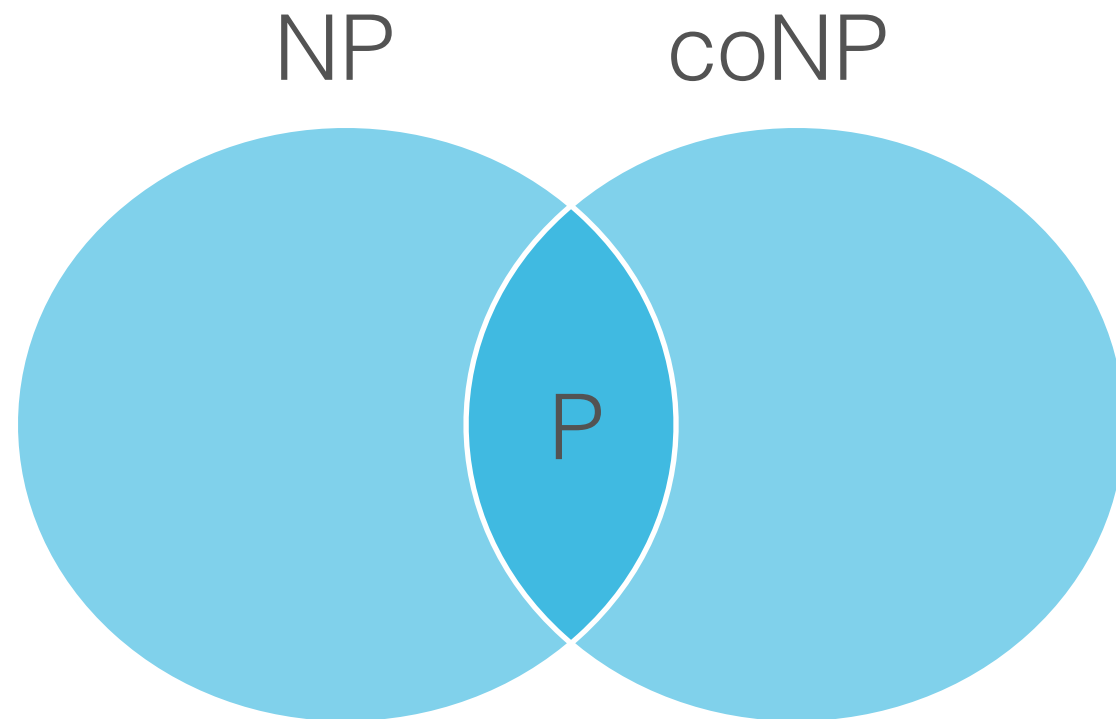
Classes due to
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tight for k -disjointness!

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Communication classes



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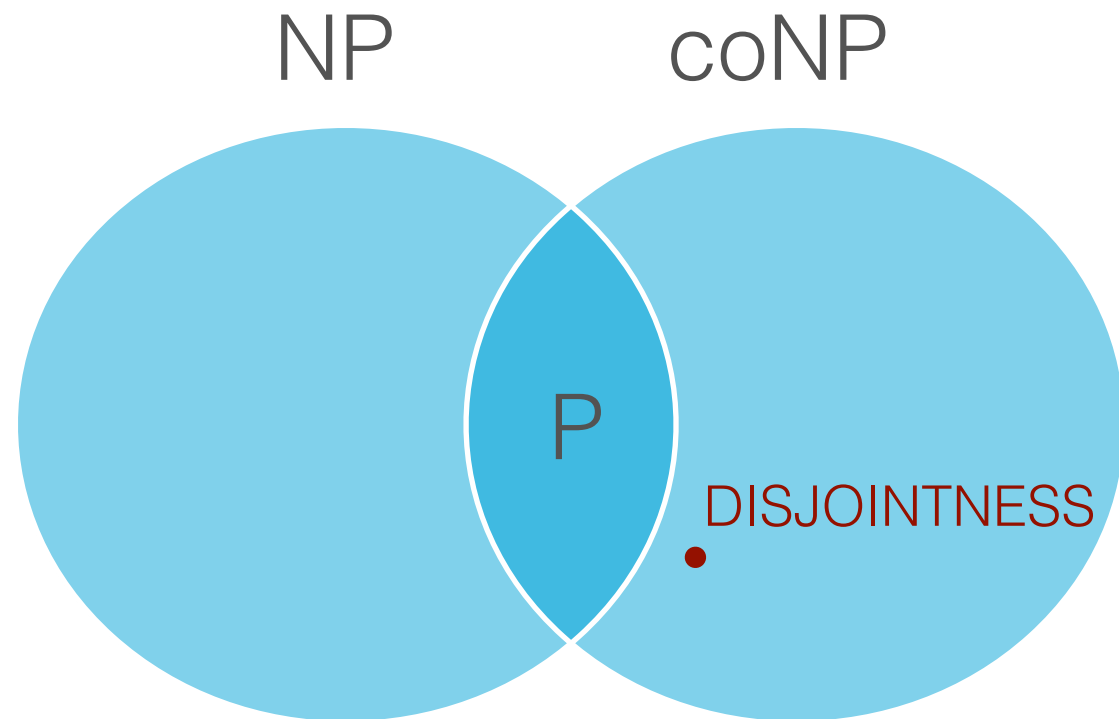
Fact.

$$D(\text{DISJ}_n) = n + 1$$

$$N(\text{DISJ}_n) = n$$

$$N(\neg \text{DISJ}_n) = \log_2 n$$

Communication classes



Classes due to
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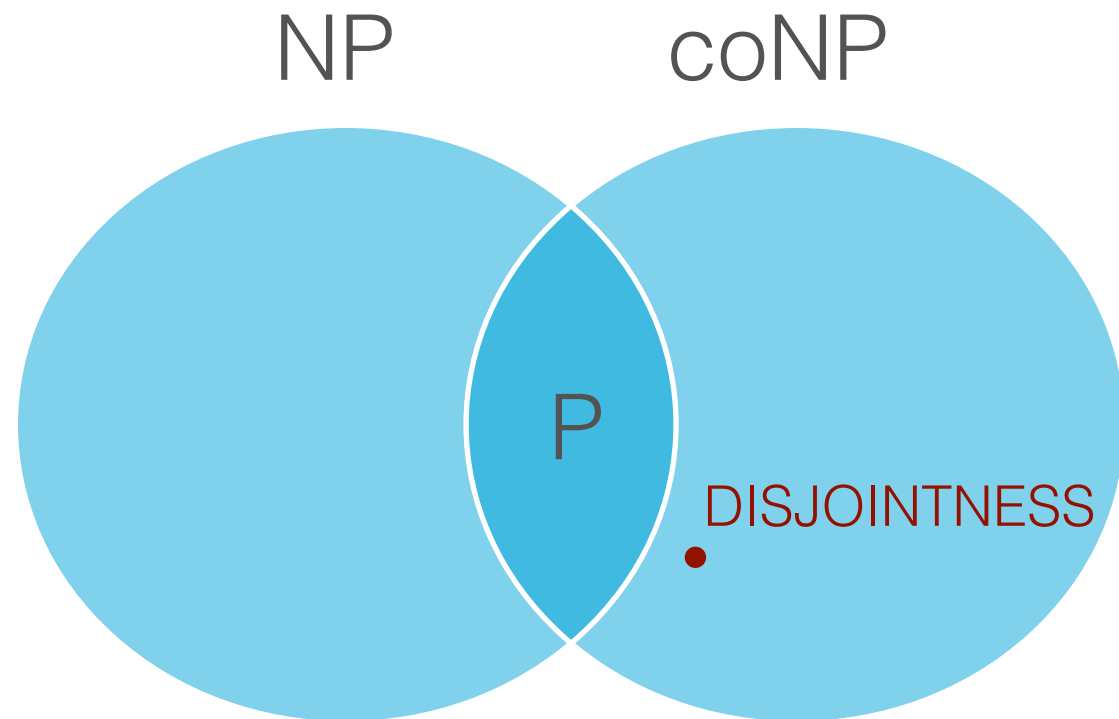
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Communication classes



Classes due to
Babai et al. '86

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Fact (Aho '83).

$$D(f) \leq 4N(f)N(\neg f)$$

largest gaps possible

Fact.

$$D(\text{DISJ}_n) = n + 1$$

$$N(\text{DISJ}_n) = n$$

$$N(\neg \text{DISJ}_n) = \log_2 n$$

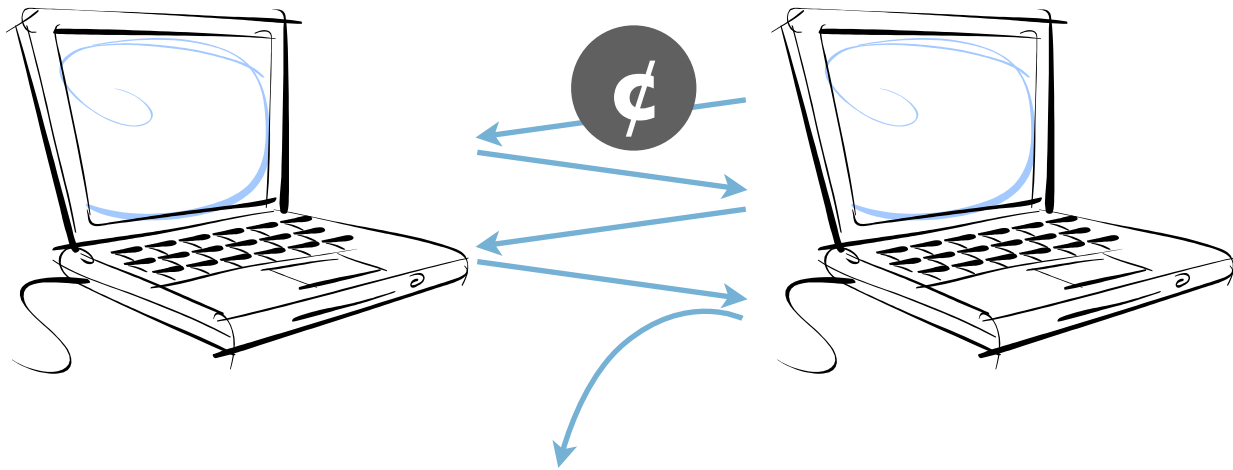
III. Randomized communication

Randomness

$$f: X \times Y \rightarrow \{0, 1\}$$

$x \in X$

$y \in Y$



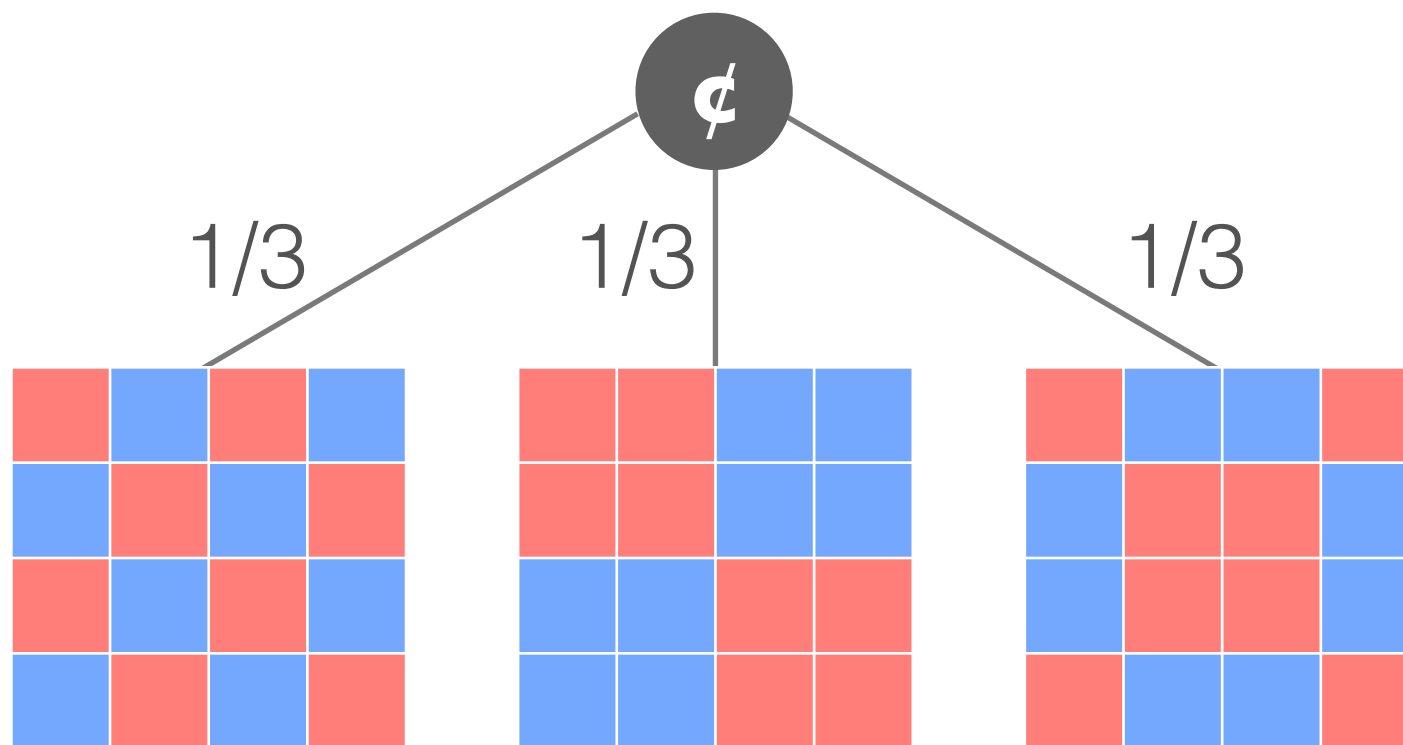
- Can send coin flips
- Error probability $\leq 1/3$ on every input

Alternate view

A **randomized** protocol of cost c is a distribution on **deterministic** protocols of cost c .

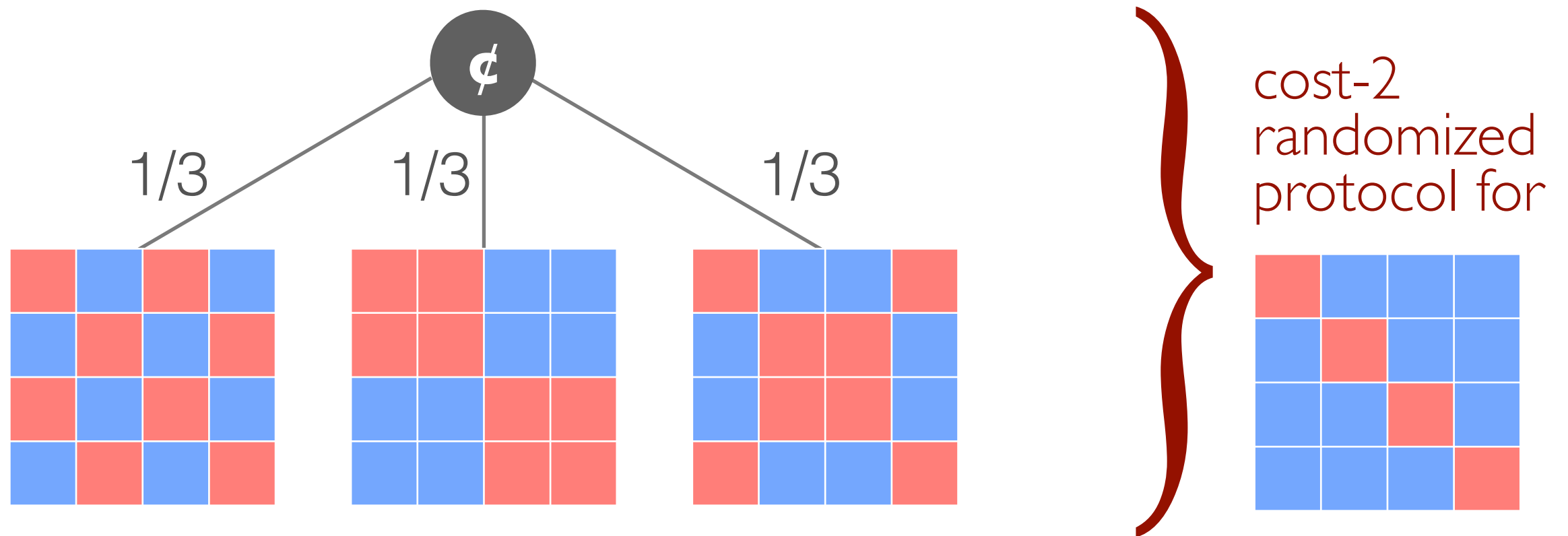
Alternate view

A **randomized** protocol of cost c is a distribution on **deterministic** protocols of cost c .



Alternate view

A **randomized** protocol of cost c is a distribution on **deterministic** protocols of cost c .



Yao's minimax principle

Yao's minimax principle

$$f: X \times Y \rightarrow \{0, 1\}$$

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Theorem (Yao 1983).

Let $\mu(f^{-1}(1)) \geq 0.01$,

$$\mu(M \cap f^{-1}(0)) \geq 0.01\mu(M) - \delta \quad \forall \text{ submatrix } M.$$

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**many 1's;
every large submatrix
corrupted by 0's.**

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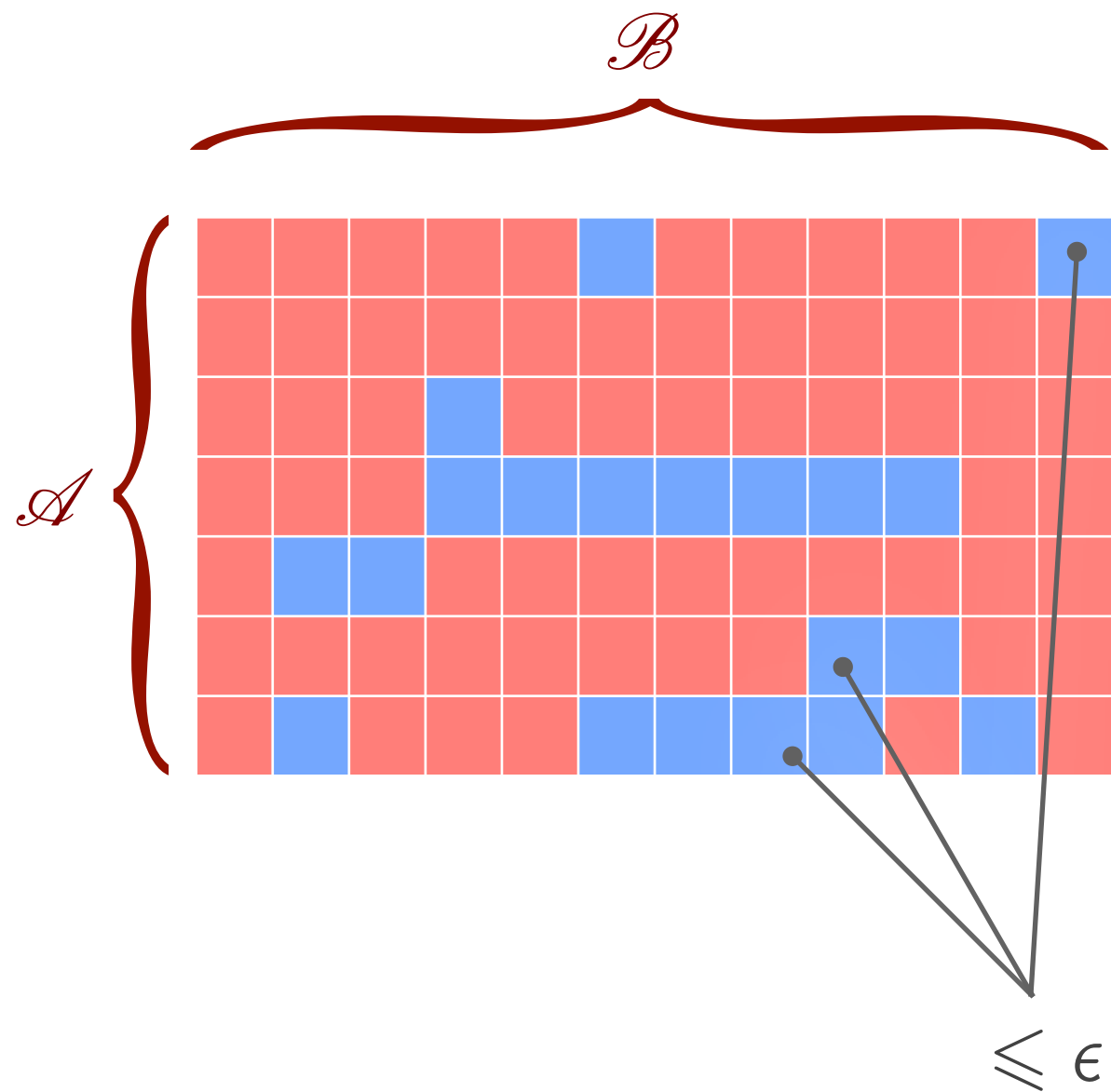
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Will prove:

Theorem (Babai, Frankl, Simon 1986).

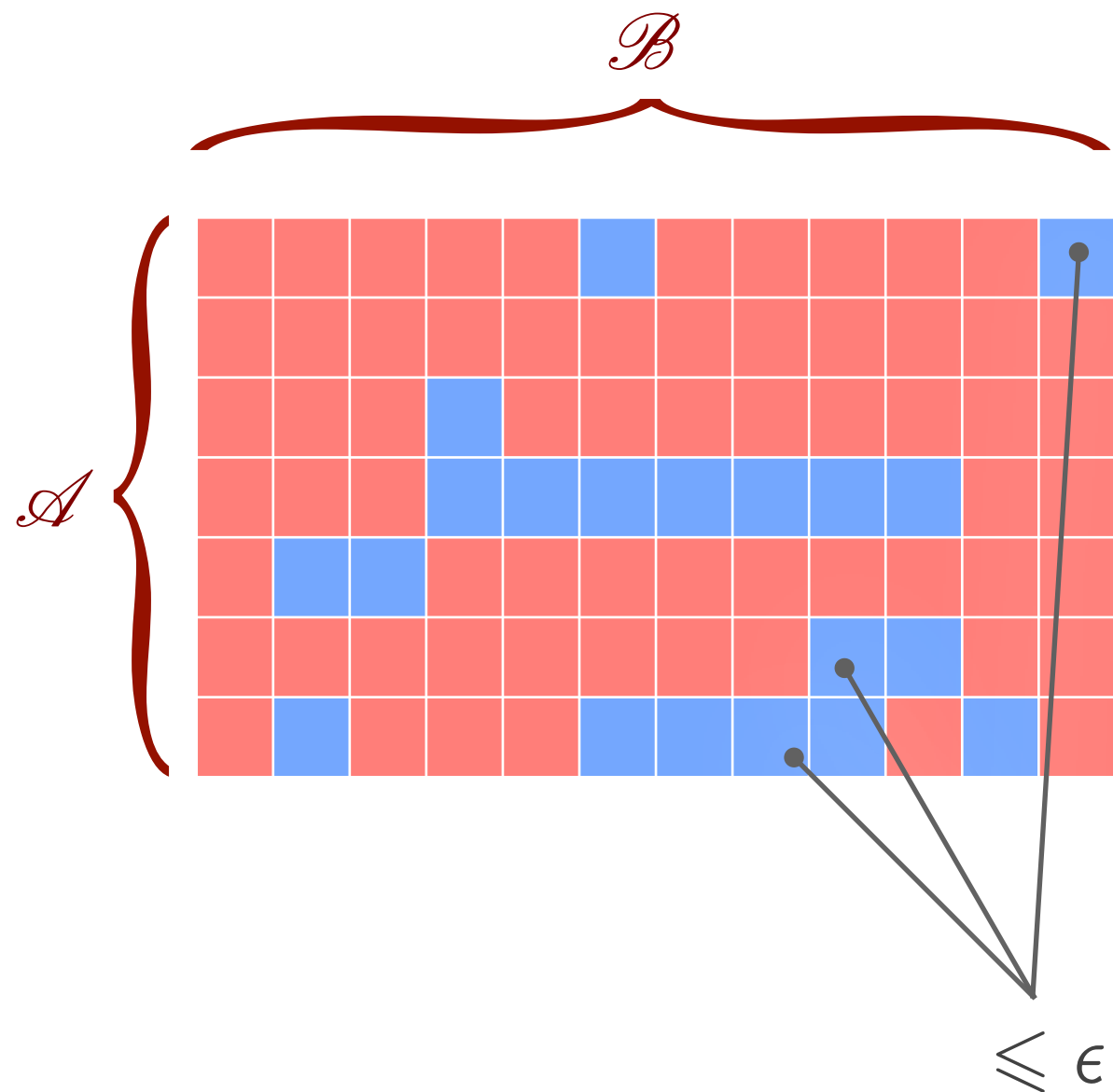
$$R(\text{DISJ}_n) = \Omega(\sqrt{n})$$

Corruption lemma



$$\mathcal{A}, \mathcal{B} \subseteq \binom{\{1, 2, \dots, n\}}{\sqrt{n}}$$

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Lemma (Babai et al).

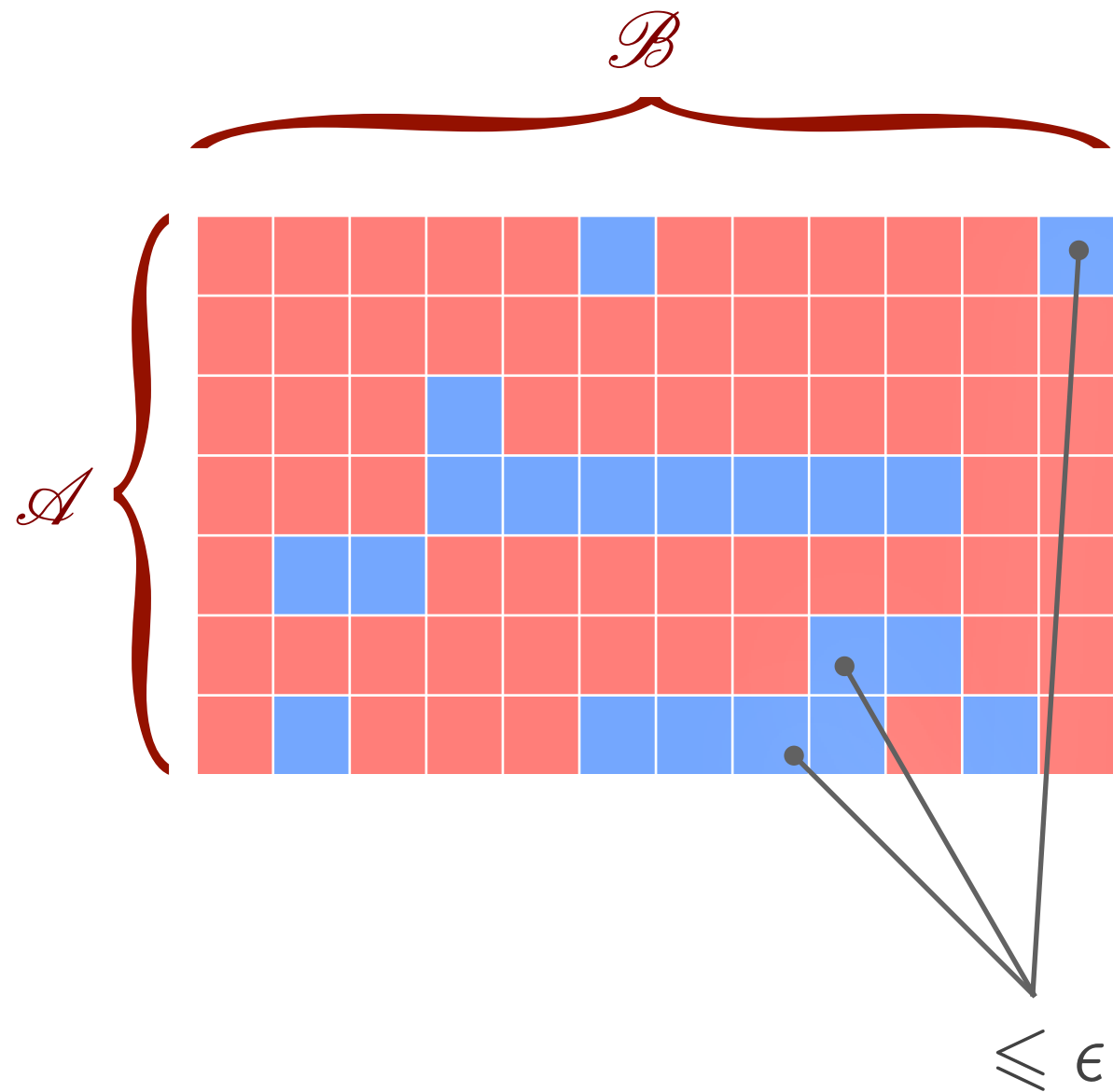
Let

$$\mathbf{P}_{\mathcal{A} \times \mathcal{B}} [\text{DISJ}_n = 1] \geq 1 - \epsilon.$$

Then

$$\frac{|\mathcal{A} \times \mathcal{B}|}{\binom{n}{\sqrt{n}} \times \binom{n}{\sqrt{n}}} \leq 2^{-\epsilon\sqrt{n}}.$$

Corruption lemma

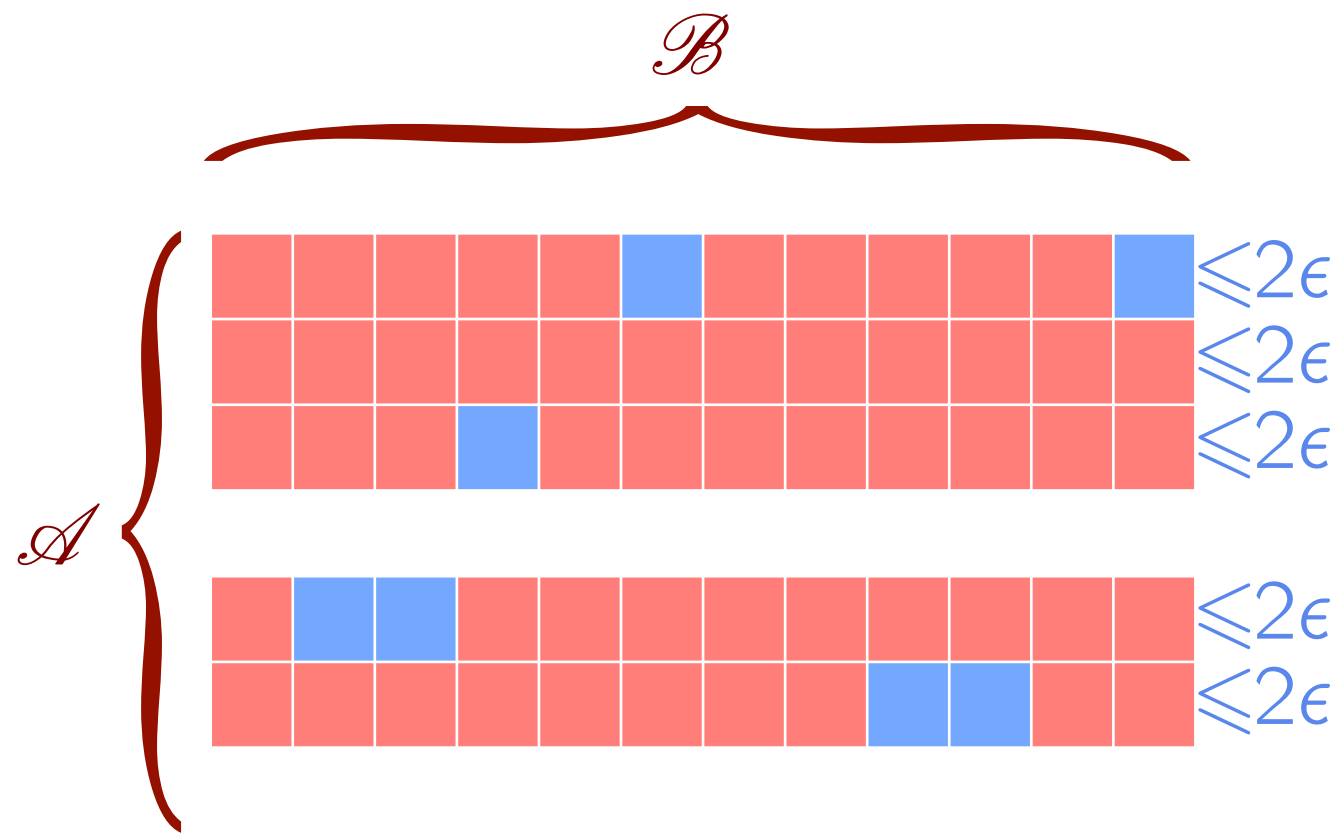


$$\mathcal{A}, \mathcal{B} \subseteq \binom{\{1, 2, \dots, n\}}{\sqrt{n}}$$

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Prove: $|\mathcal{B}| \leq 2^{-\epsilon\sqrt{n}} \binom{n}{\sqrt{n}}$

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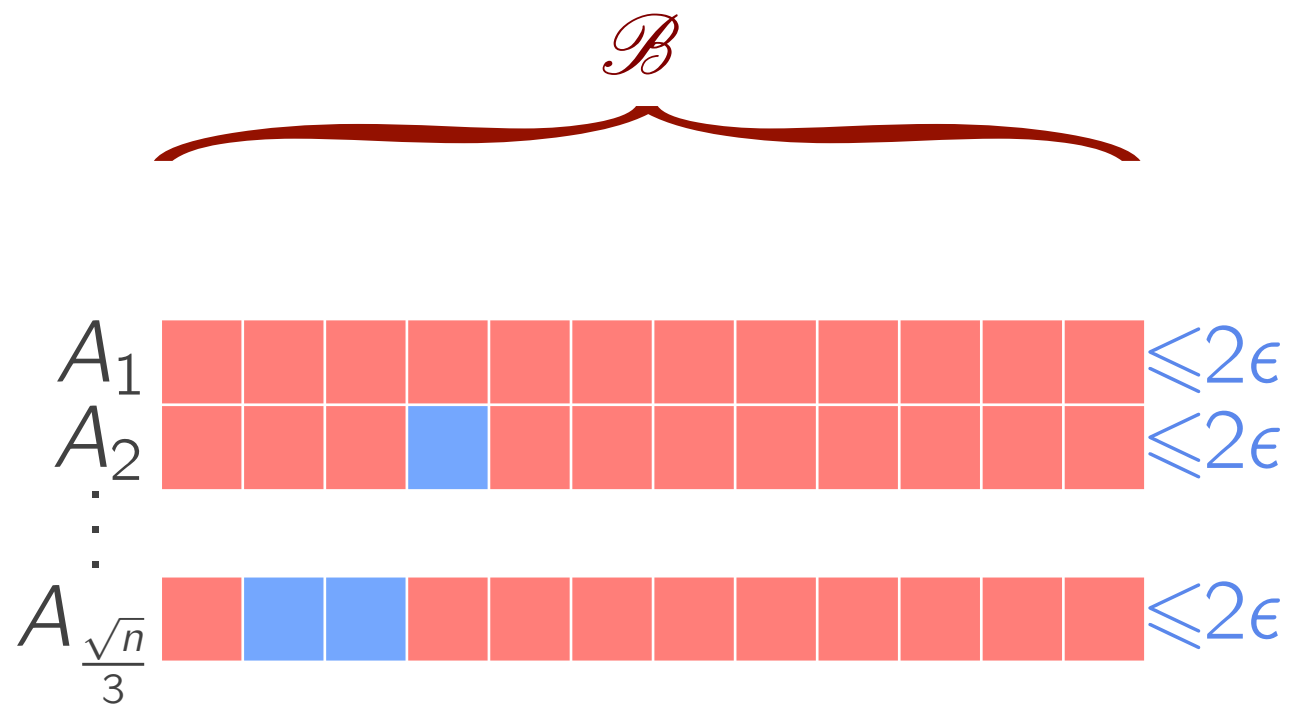


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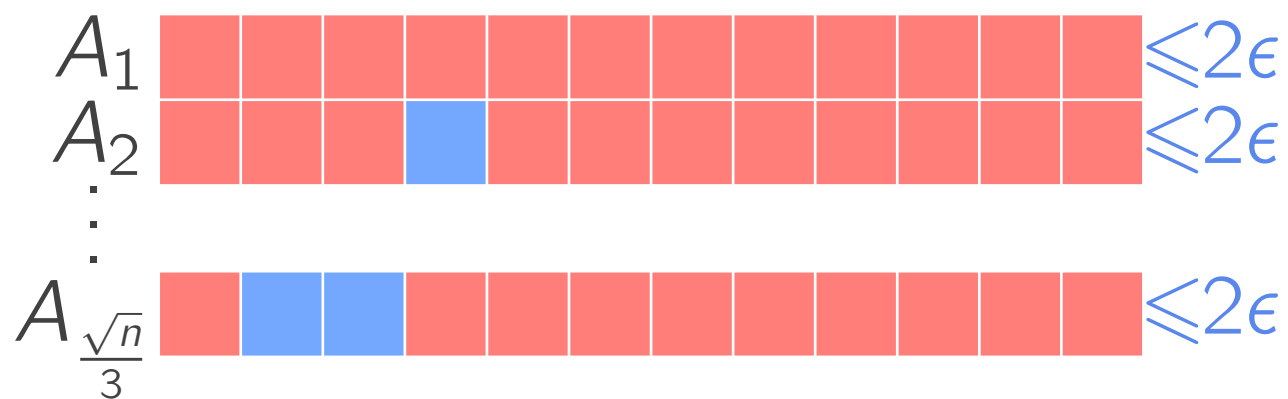
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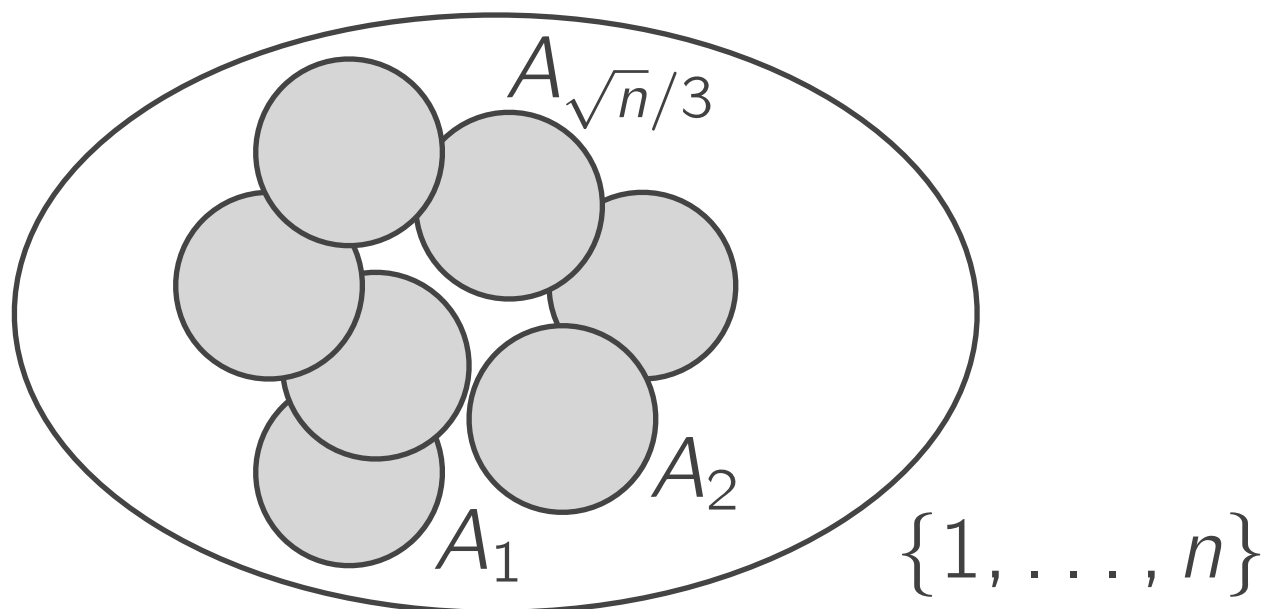
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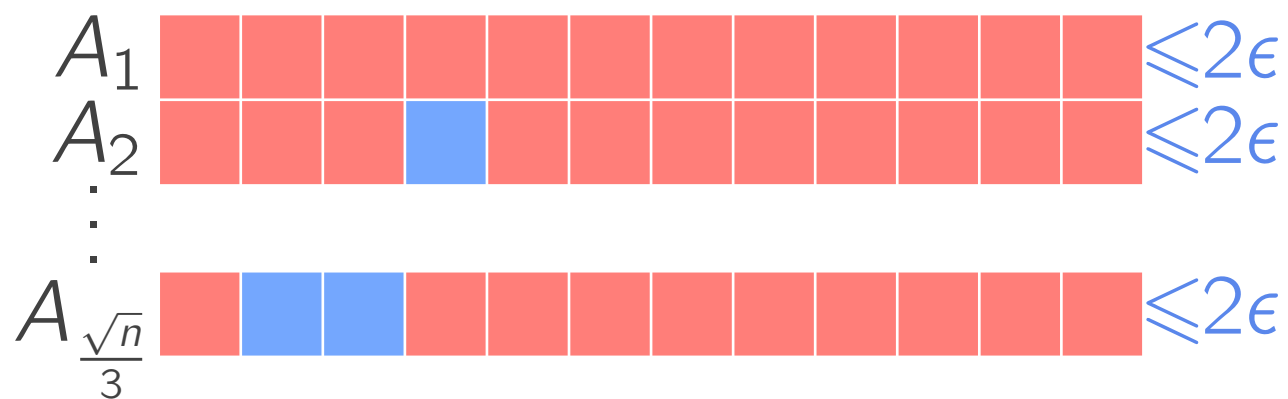
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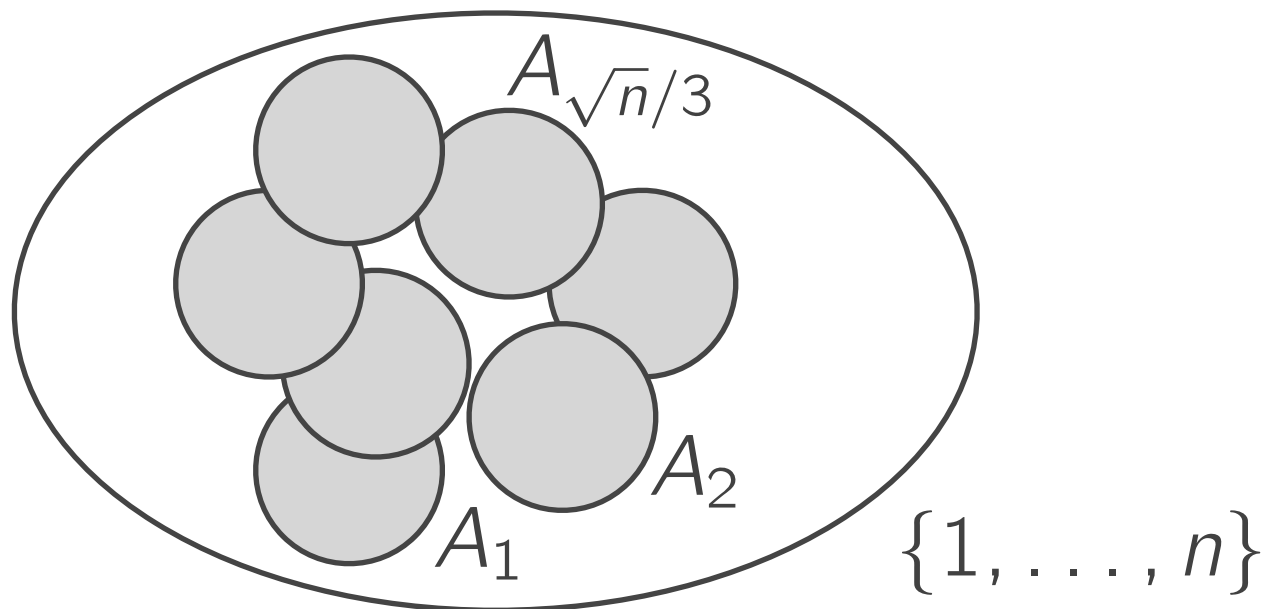
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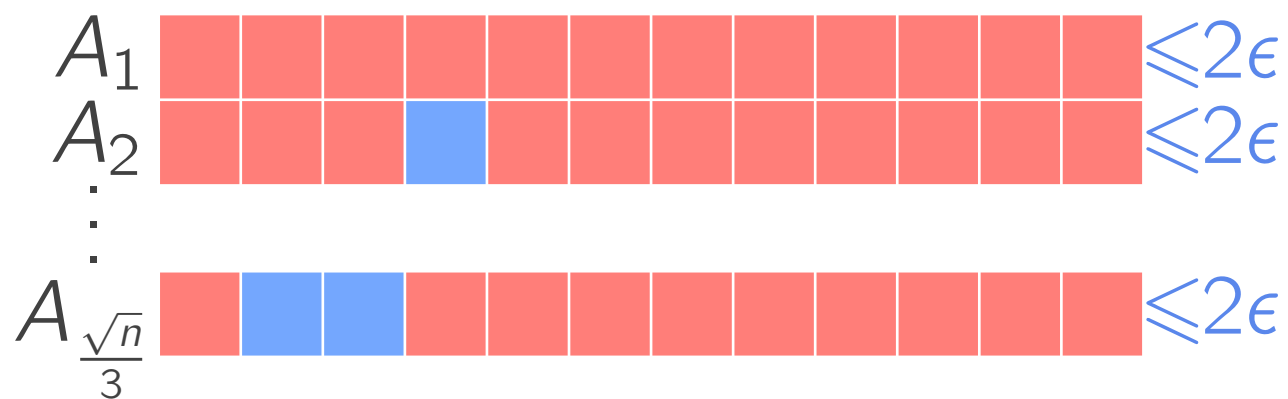
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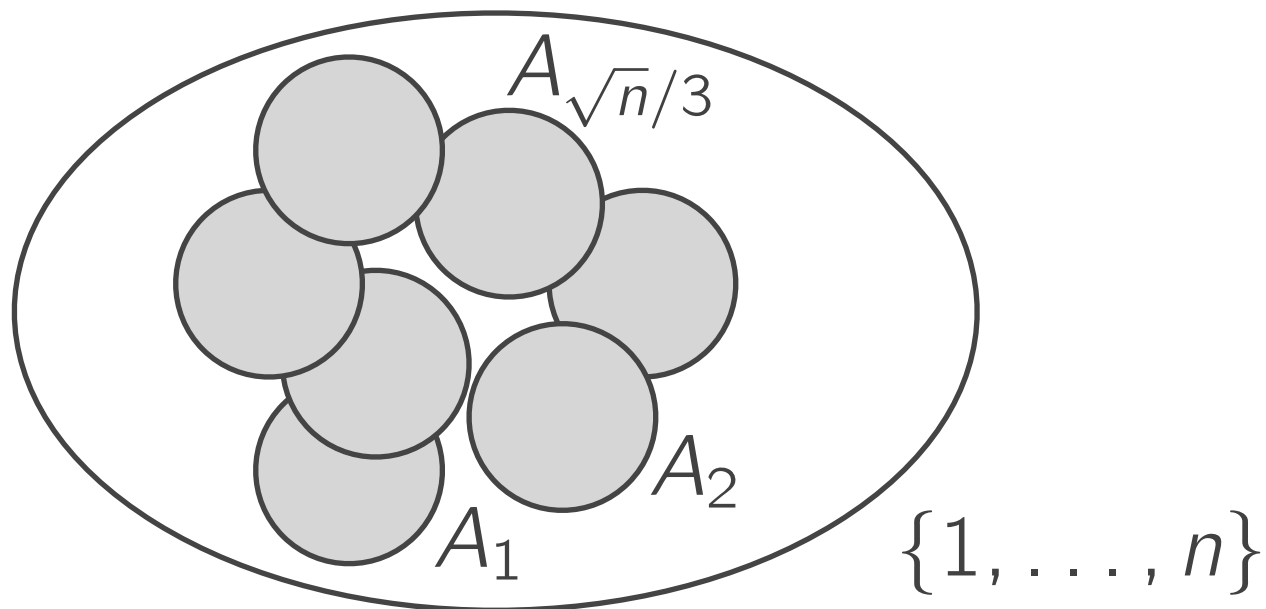
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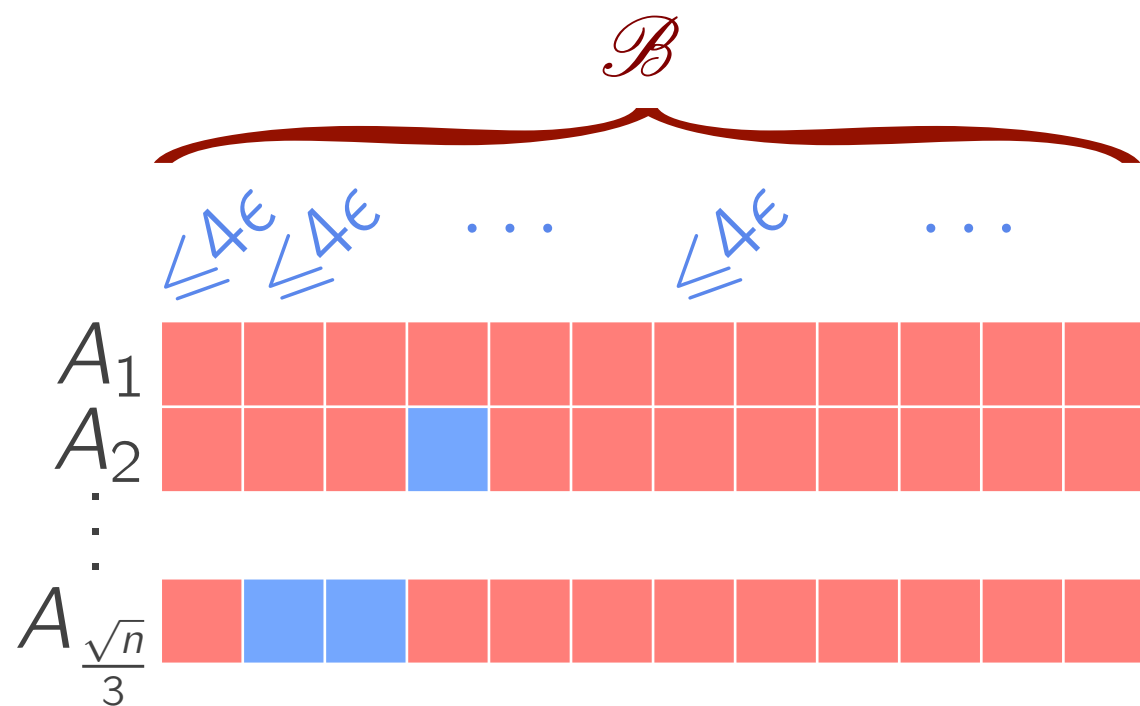


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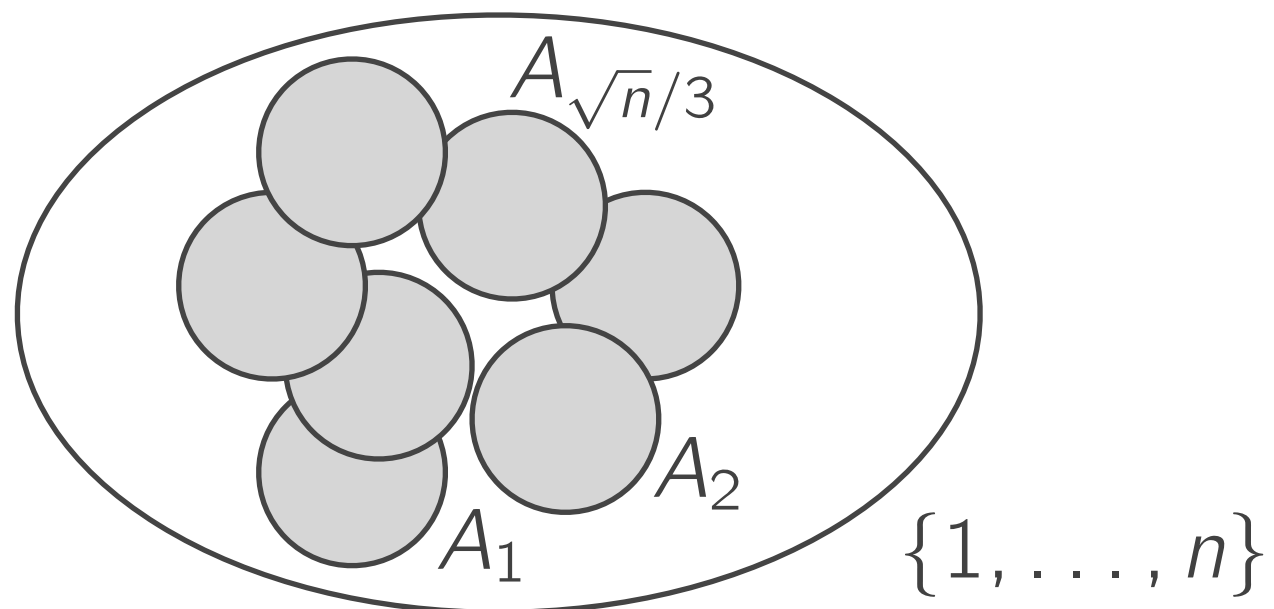


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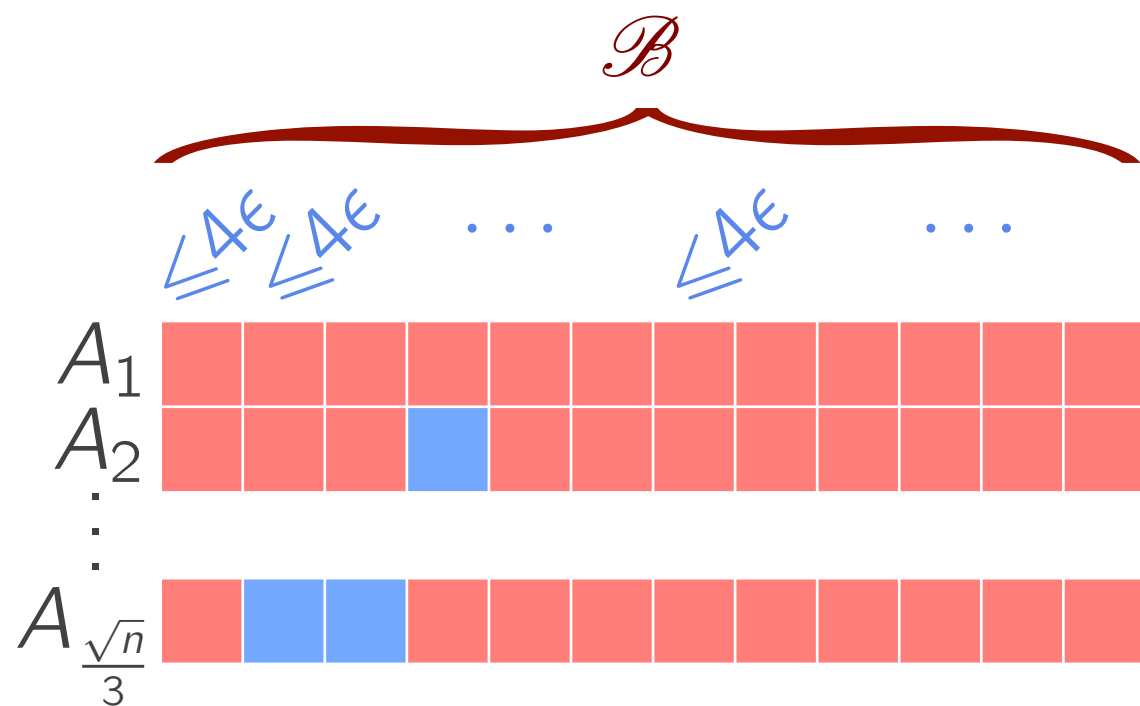


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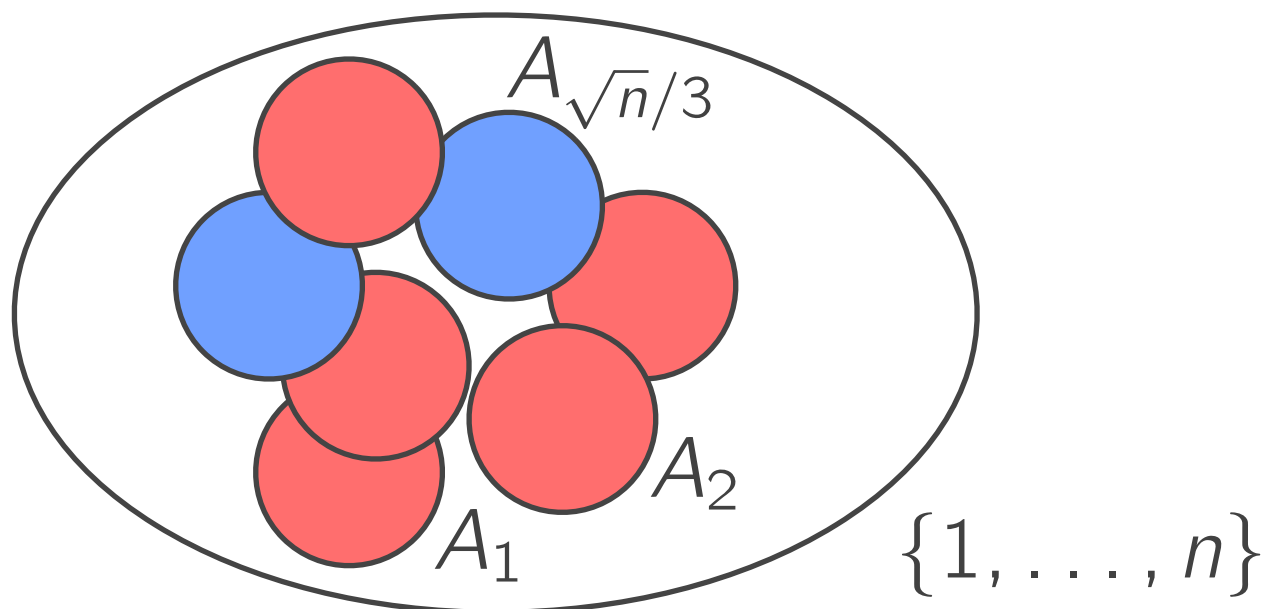


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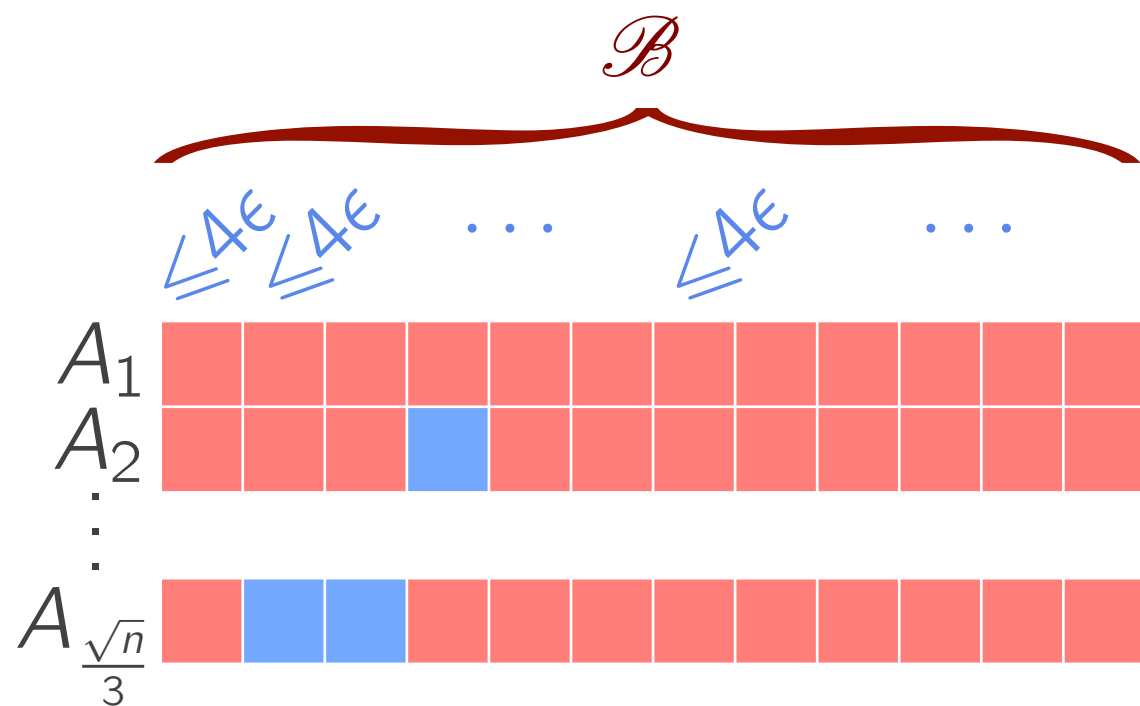


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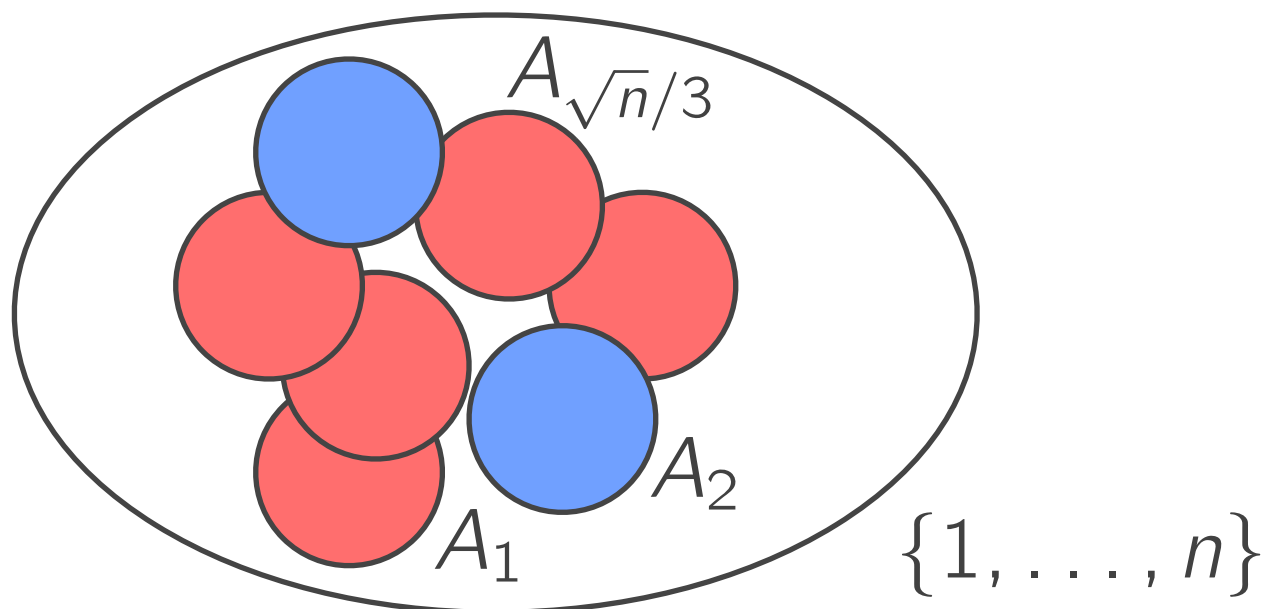


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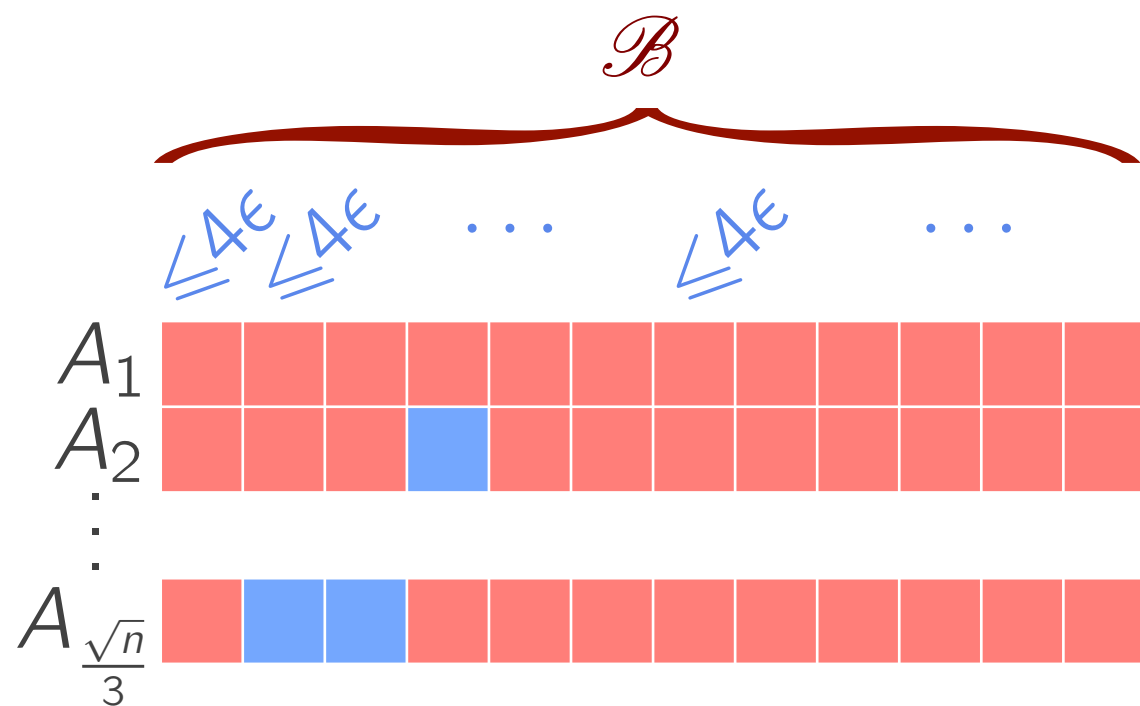


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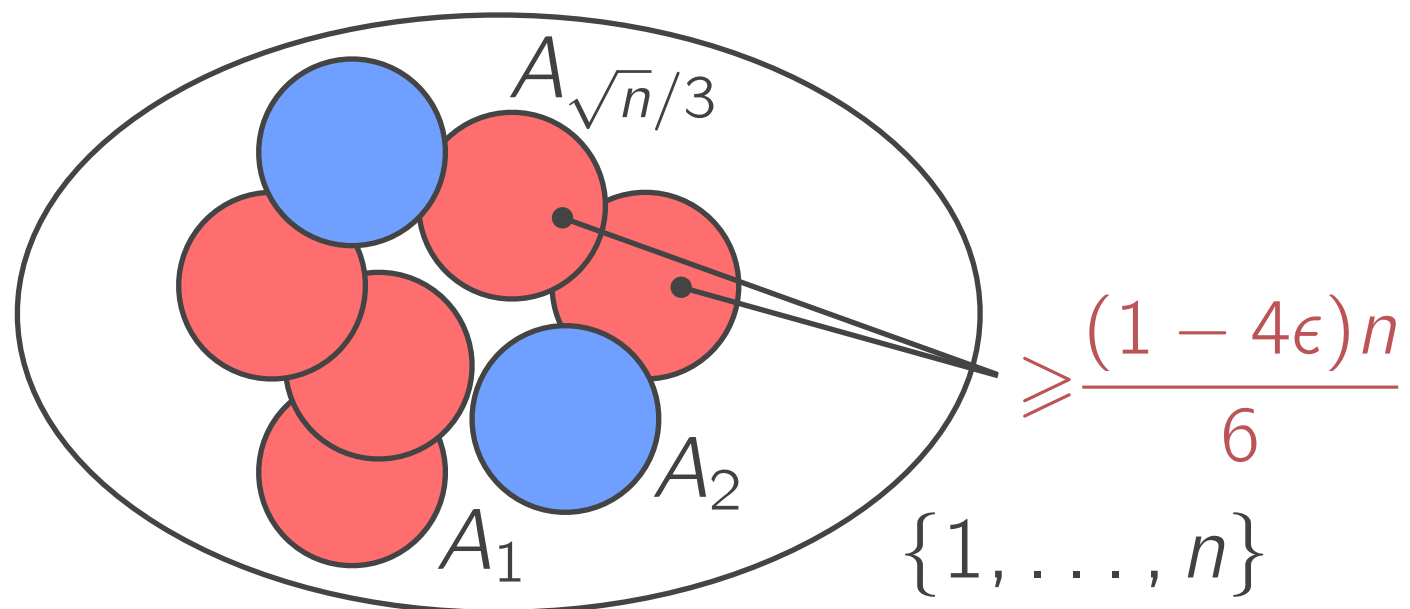


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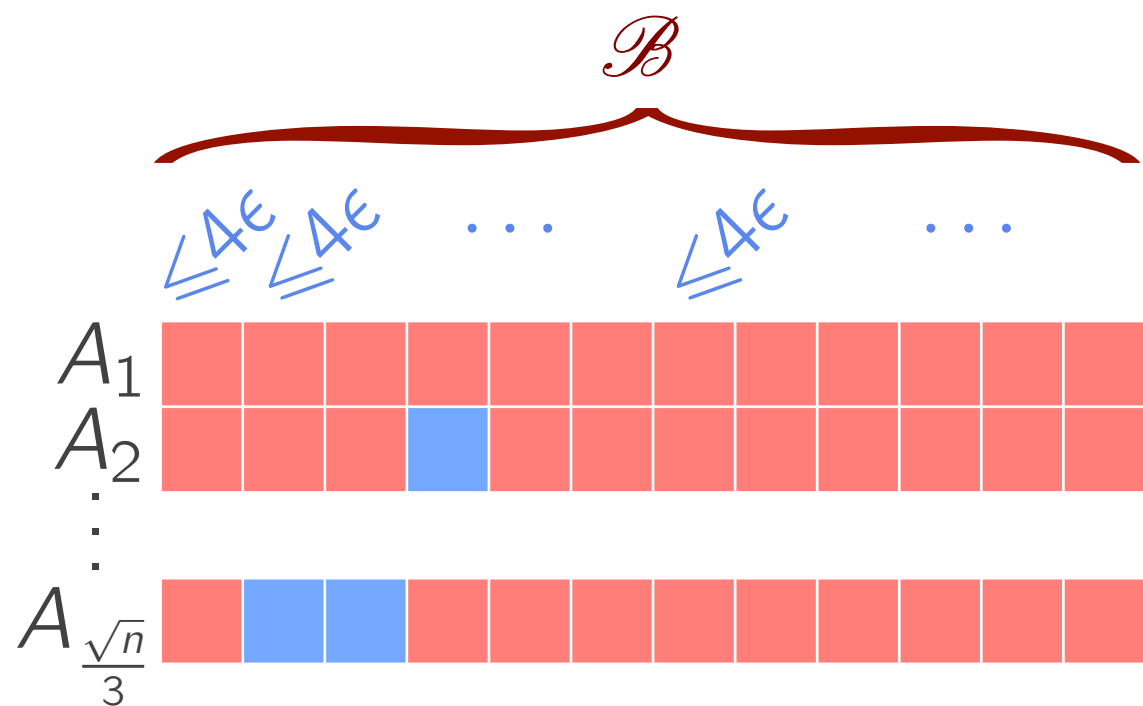


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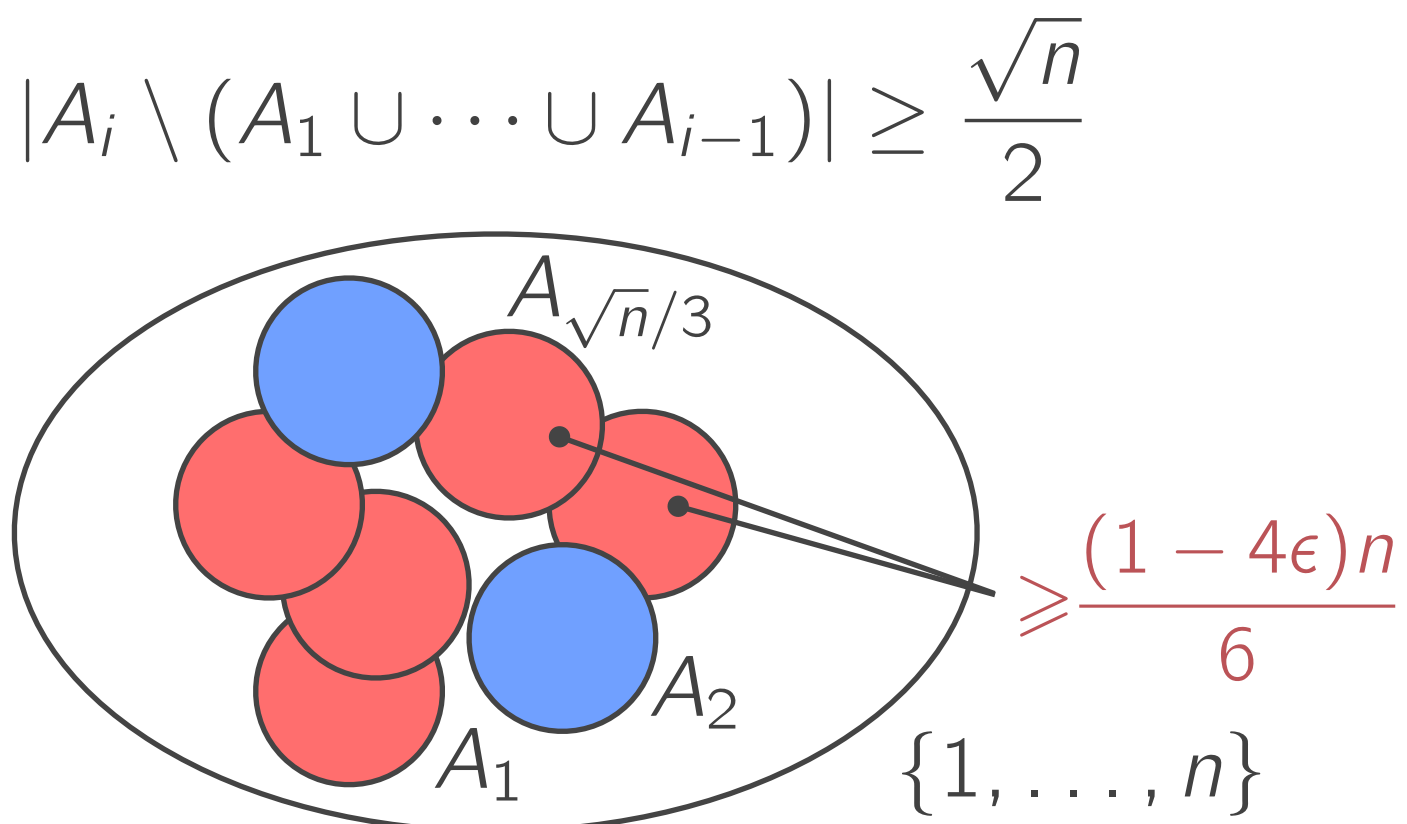


Corruption lemma



Prove: $|\mathcal{B}| \leq 2^{-\epsilon\sqrt{n}} \binom{n}{\sqrt{n}}$

$$\therefore |\mathcal{B}| \leq \binom{\sqrt{n}/3}{4\epsilon\sqrt{n}/3} \times \binom{n - (1 - 4\epsilon)n/6}{\sqrt{n}}$$



■

Set disjointness

Theorem (Babai, Frankl, Simon 1986).

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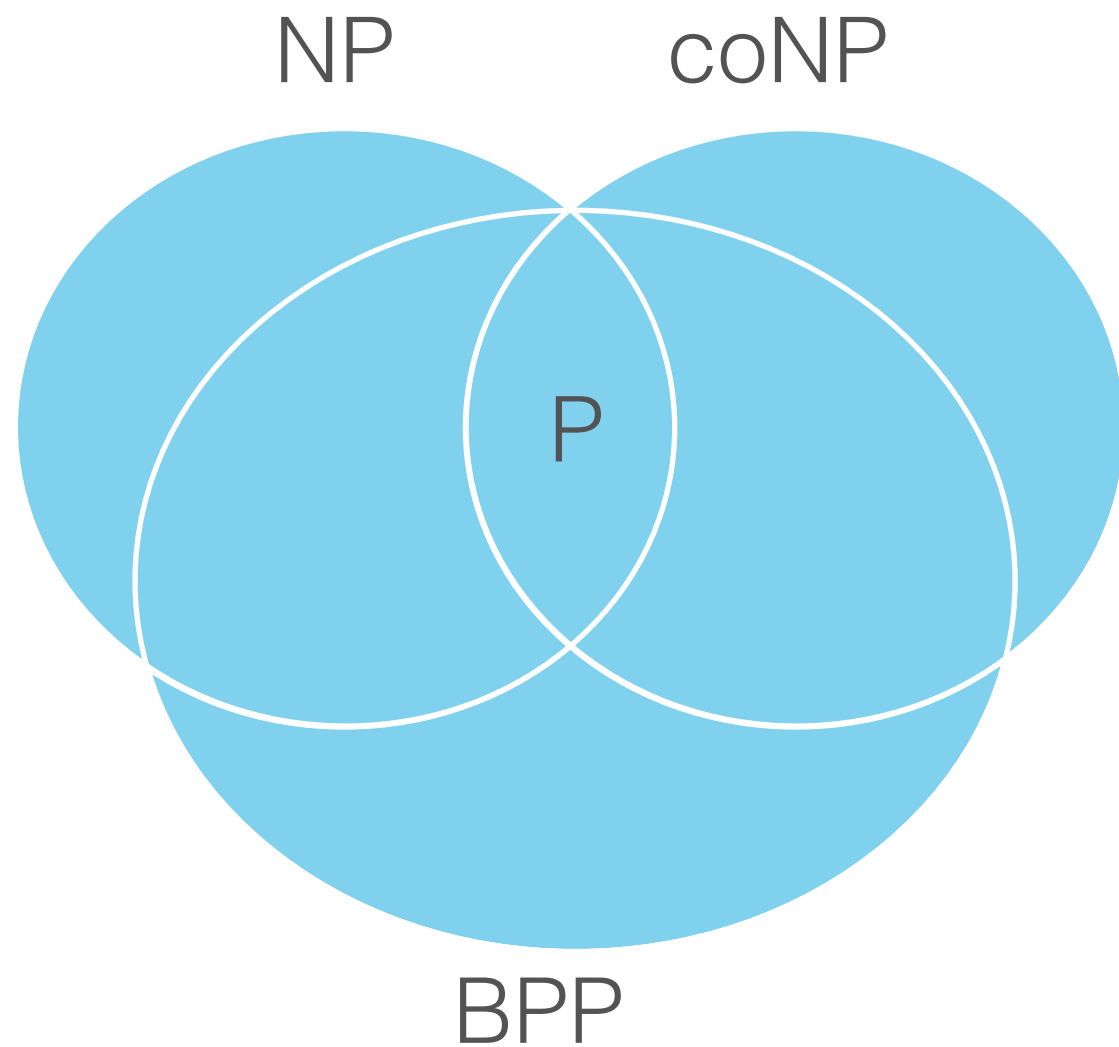
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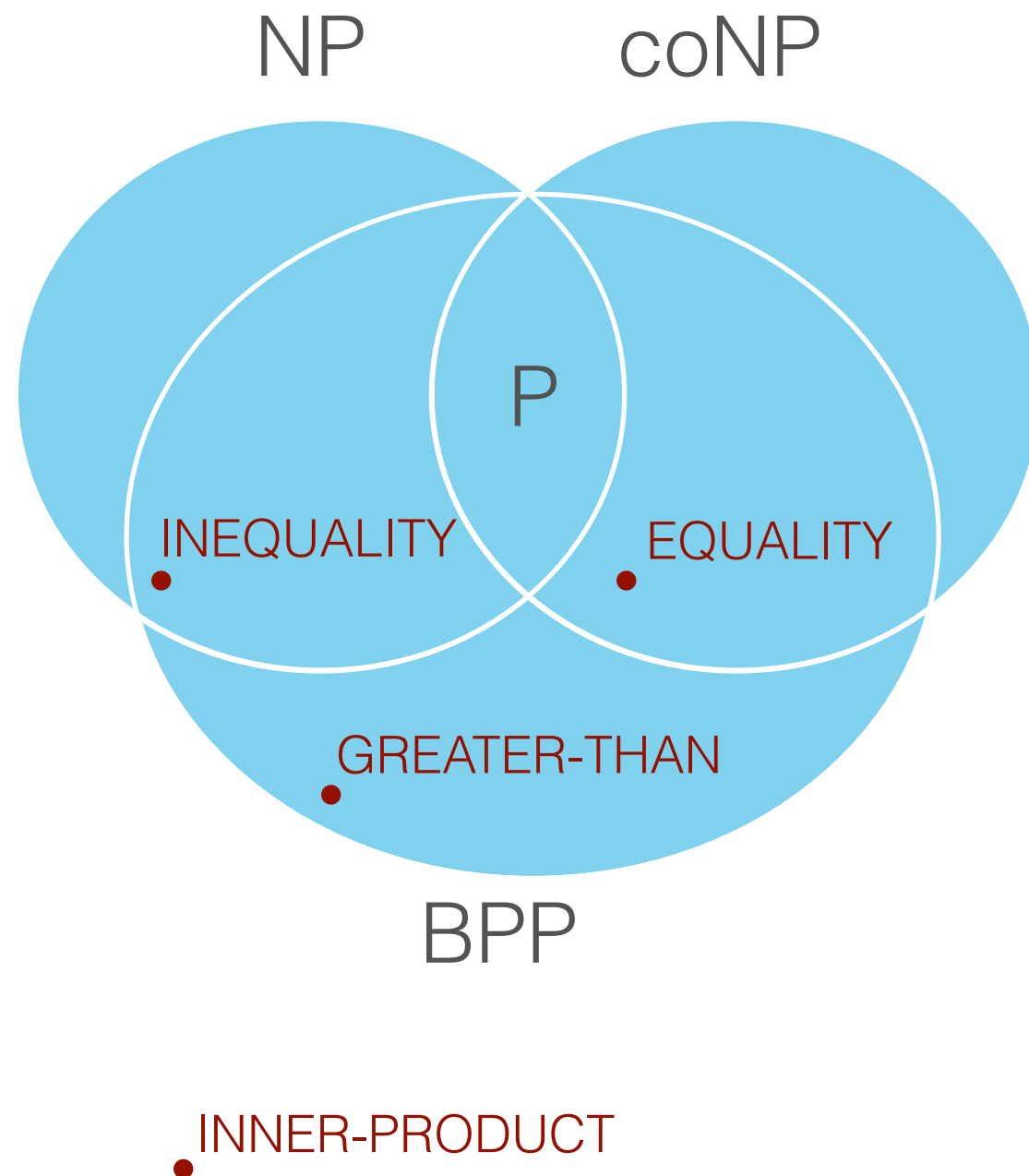
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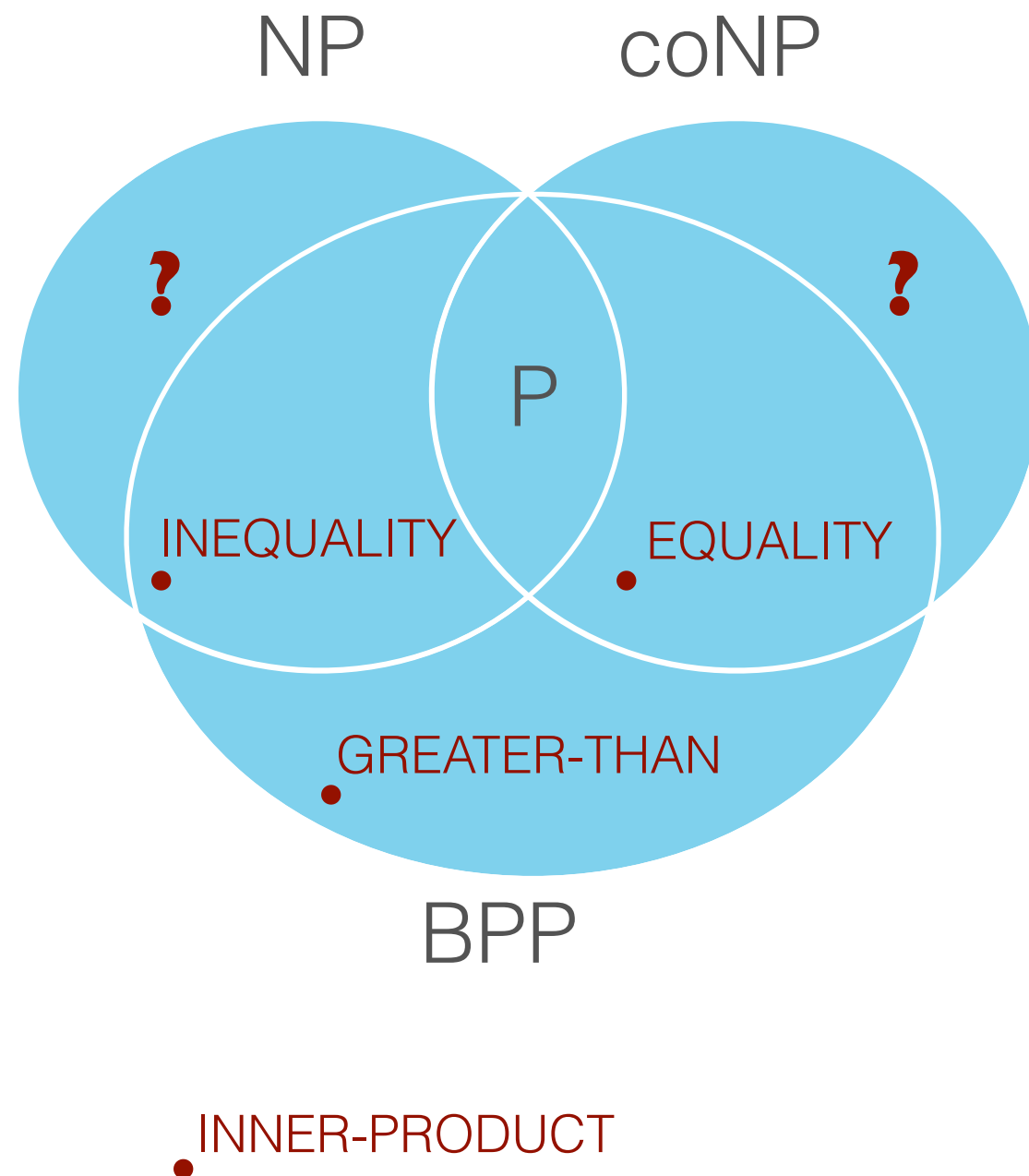
Complexity classes revisited



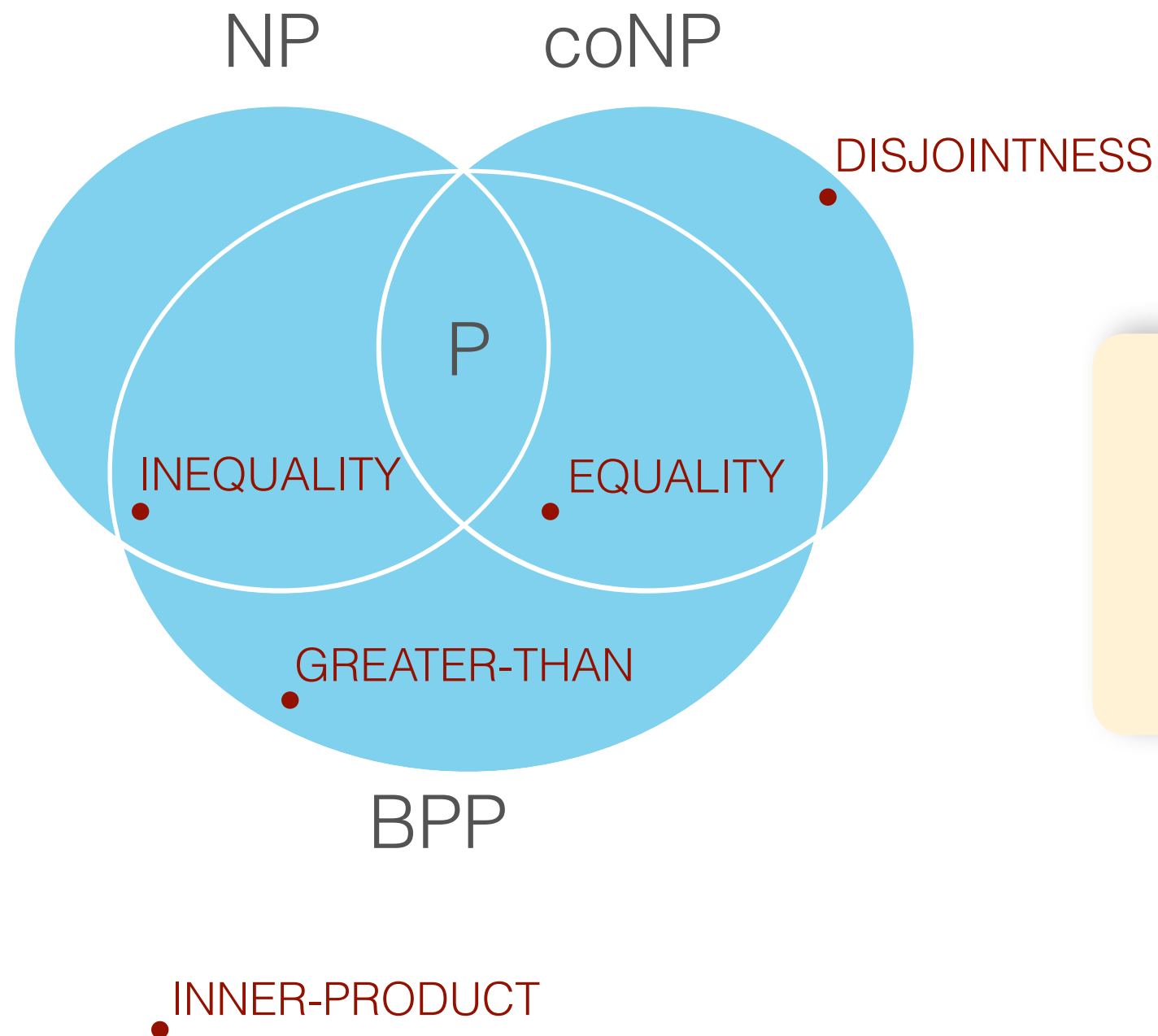
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Theorem (Babai et al.)

$$R(\neg\text{DISJ}_n) = \Omega(\sqrt{n})$$

$$N(\neg\text{DISJ}_n) = \log n$$

Questions?