

# Microstructural Aspects & Performance Implications of Sn-Ag-Cu-Sb Solder in the Presence of Gold

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# General requirements of Pb-free in mobile phone applications

- WEEE requires mobile phones be lead-free in 01, 2006
- Solder paste must be lead-free
- PWB board finish must be lead-free
- Component termination finishes & balls must be Pb-free



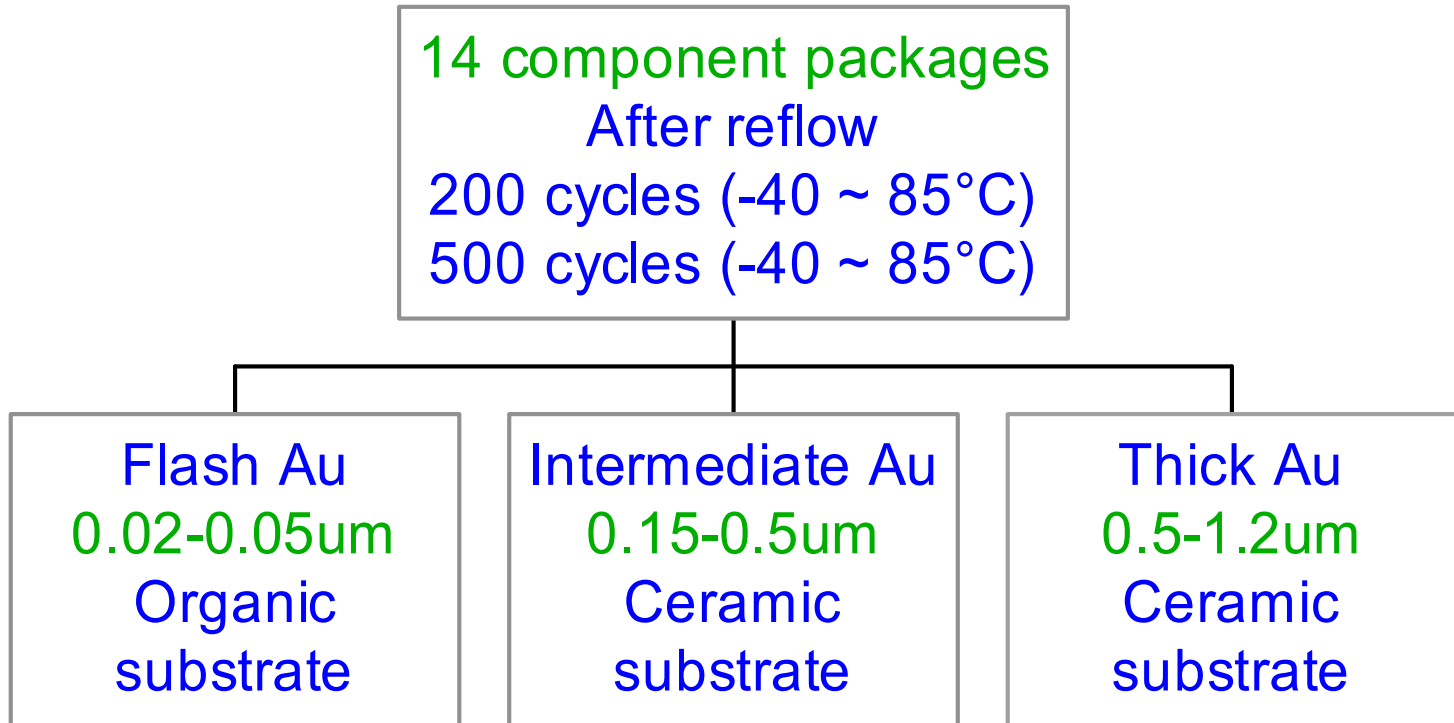
# Purposes

- To investigate reliability of totally lead-free solder joints
- To study effects of gold coating thickness on Sn-Ag-Cu-Sb solder joint performance
- To discern failure mechanisms & microstructural evolution differences in Sn-Ag-Cu-Sb and Sn-Pb-Ag solder joints after thermal cycling

# Experimental

- Materials/Hardware
  - 14 leadless component packages, Ni/Au termination finish
  - FR4 high density micro-via test boards, Ni/Au surface finish
  - Sn-Ag-Cu-Sb and Sn-Pb-Ag solder pastes
- Test
  - After reflow, after 200 cycles, after 500 cycles between  $-40$  and  $85^{\circ}\text{C}$
- Analysis
  - SEM, EDX for microstructural analysis

# Sample Matrix

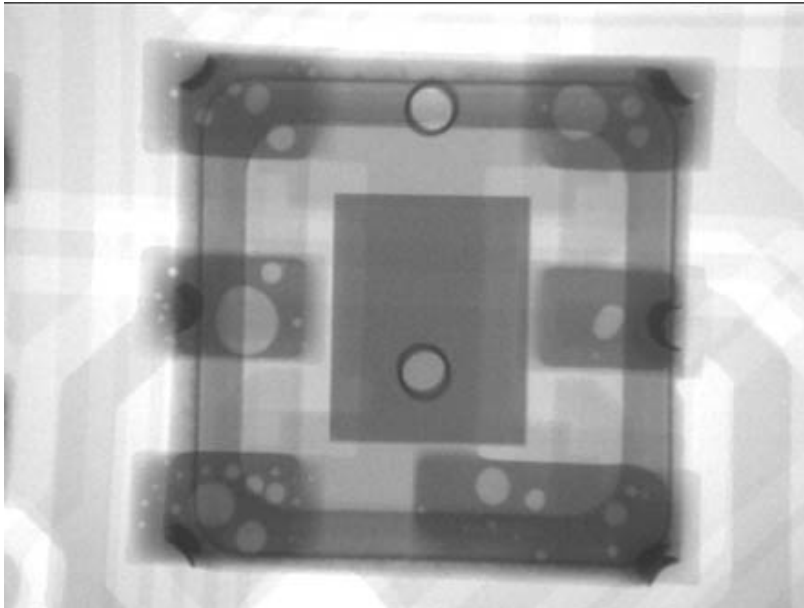


# Aspects of Analysis

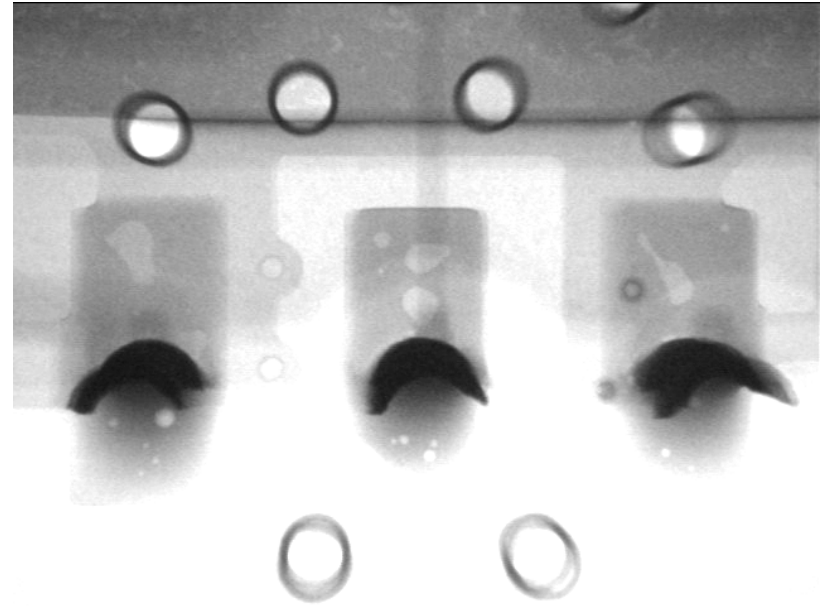
- Voiding
- Phase identification
- Microstructure & interface
- Effect of gold content
- Effect of substrate
- Effect of package size
- Crack generation and development
- What is the maximum Au content that can be tolerated ?????

# Results

## Voiding vs. Au thickness

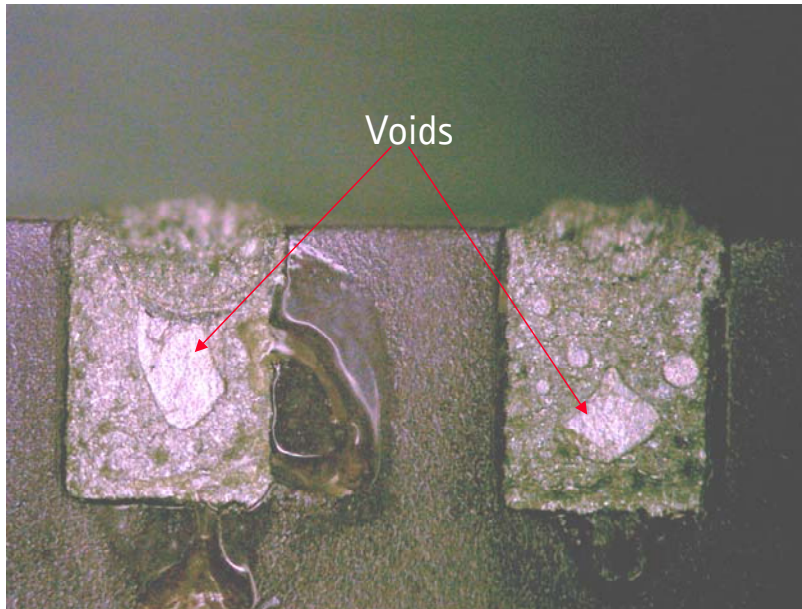


Flash gold, round voids  
Sn-Ag-Cu-Sb solder

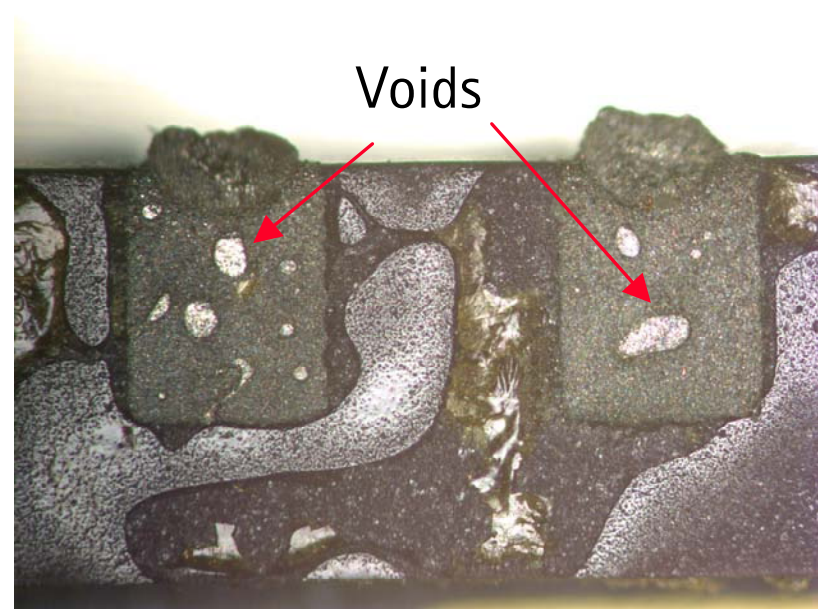


Thick gold, irregular voids  
Sn-Ag-Cu-Sb solder

# Voiding vs. solder paste



Sn-Ag-Cu-Sb, irregular shape.  
Thick gold



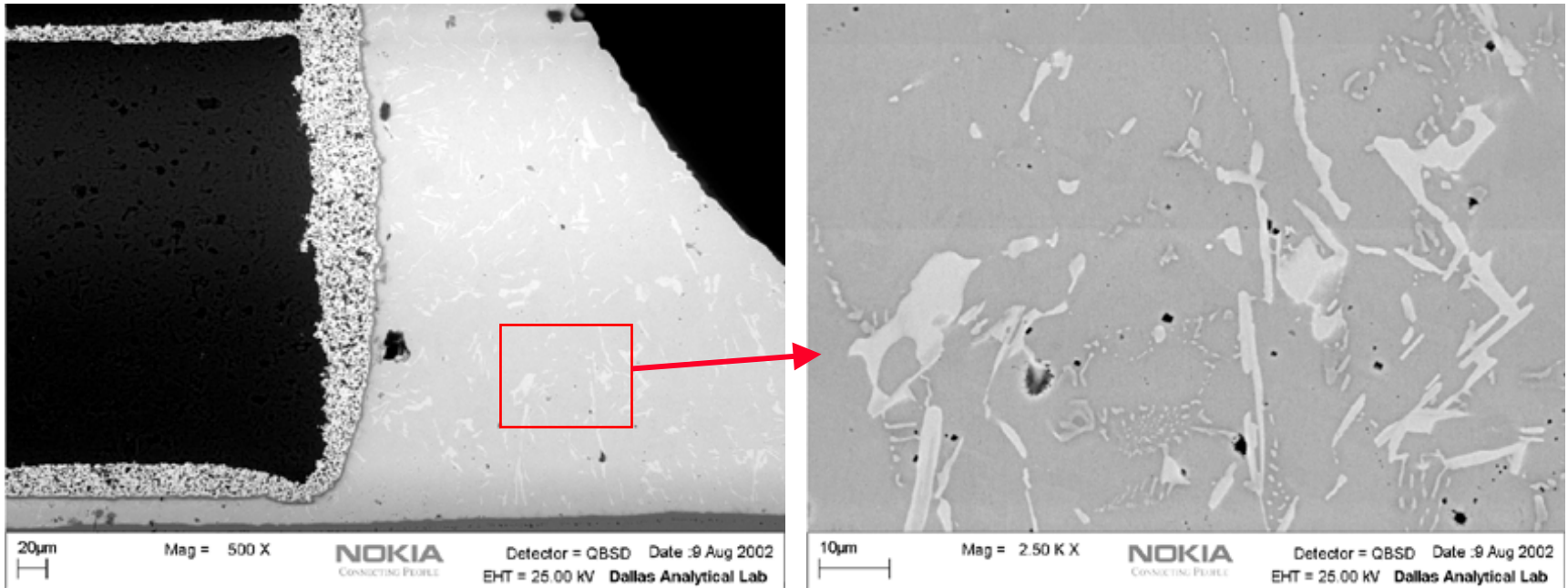
Sn-Pb-Ag, round shape.  
Thick gold



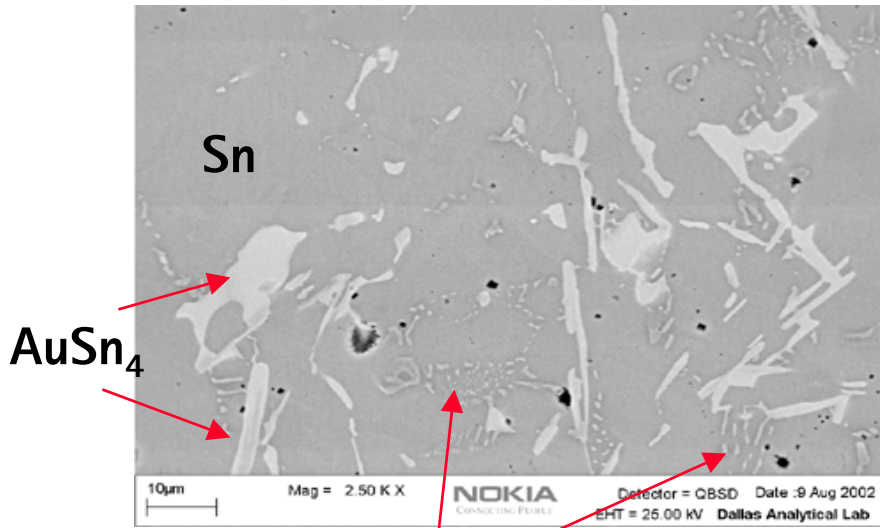
# Effect of gold content on voiding

- ❑ Solubility of gold in Sn-Ag-Cu-Sb is greater than in Sn-Pb due to high Sn and high reflow temperature
- ❑ Fraction of  $\text{AuSn}_4$  in the molten Sn-Ag-Cu-Sb joint is greater than in the Sn-Pb-Ag joint
- ❑  $\text{AuSn}_4$  crystals in molten solder will increase solder viscosity and degrade its spreadability
- ❑ Increased viscosity restricts the release of gasses, resulting in higher void content
- ❑ Fast cooling rate and large fraction of  $\text{AuSn}_4$  results in irregular voids in thick gold joint

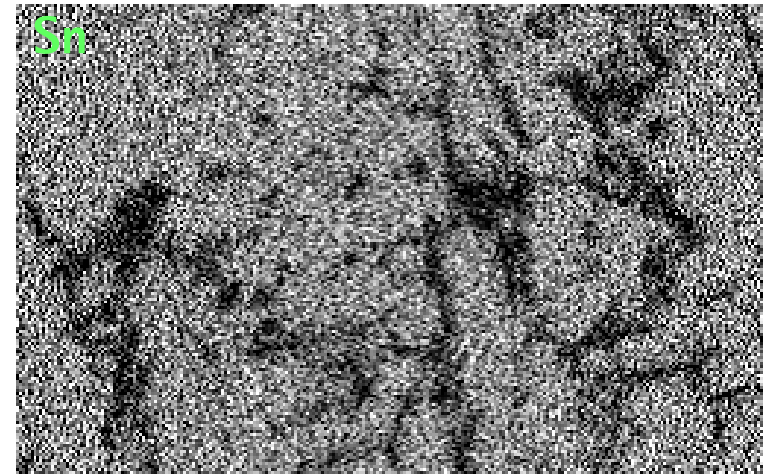
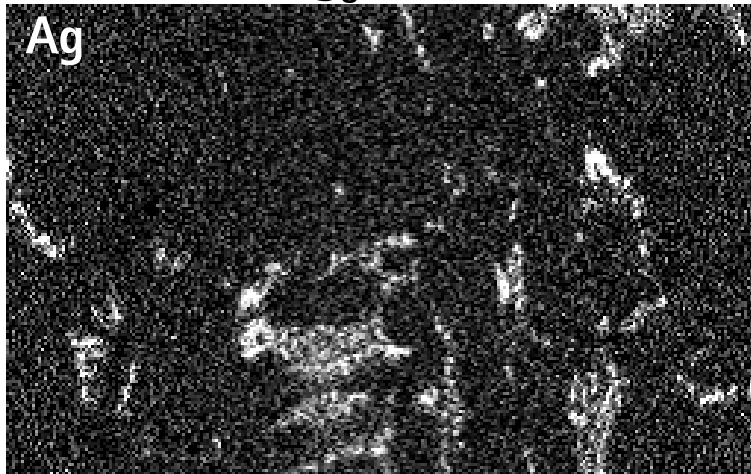
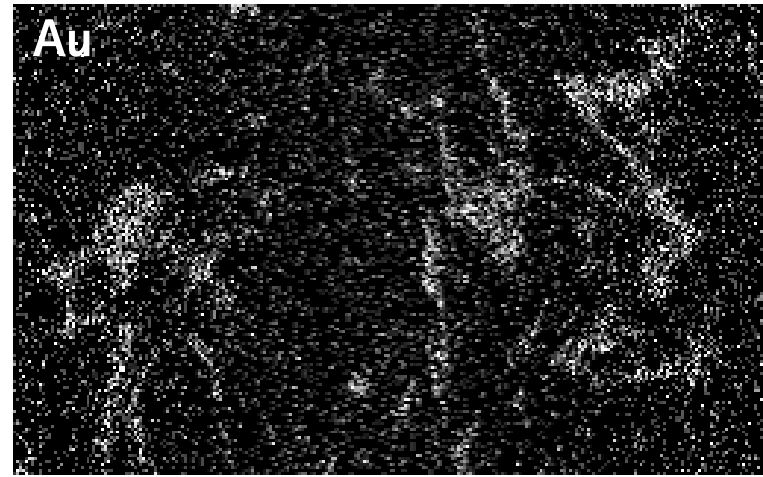
# Phase identification



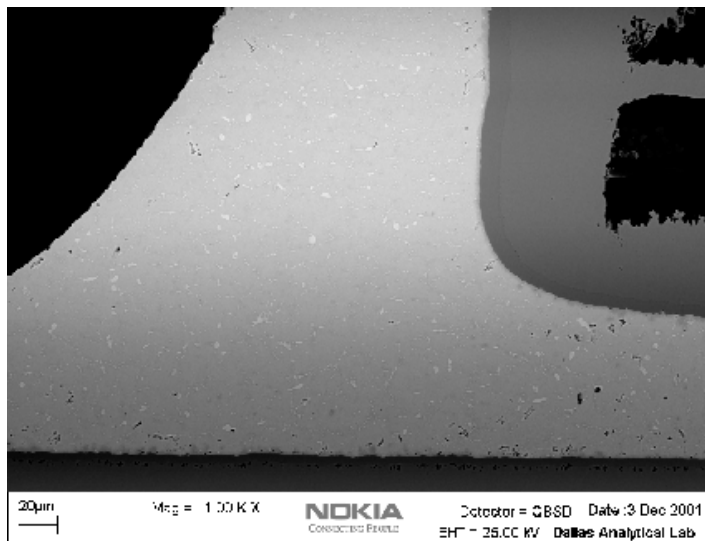
Sn-Ag-Cu-Sb solder joint with thick gold terminal finish



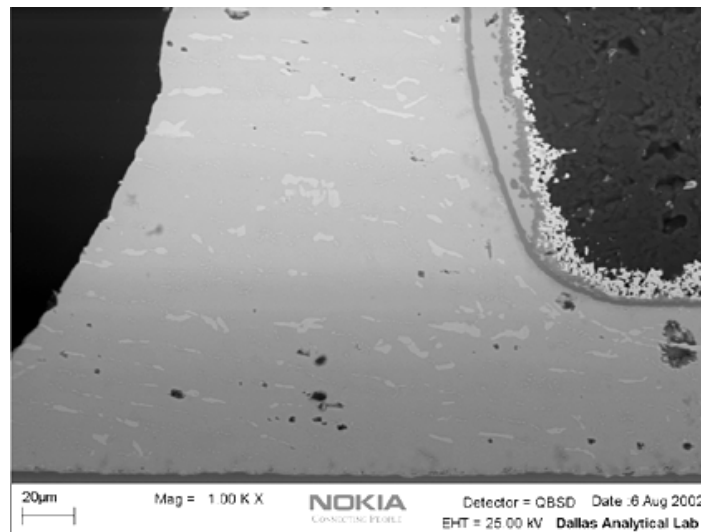
Ag<sub>3</sub>Sn



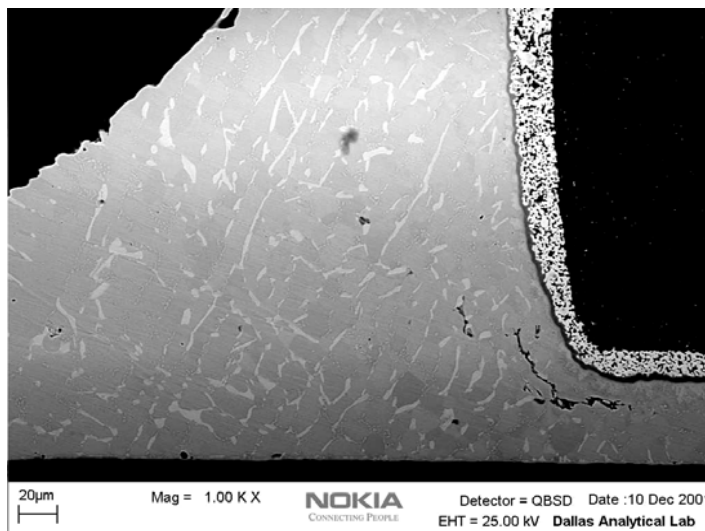
# Au contents in Sn-Ag-Cu-Sb joint by EDX



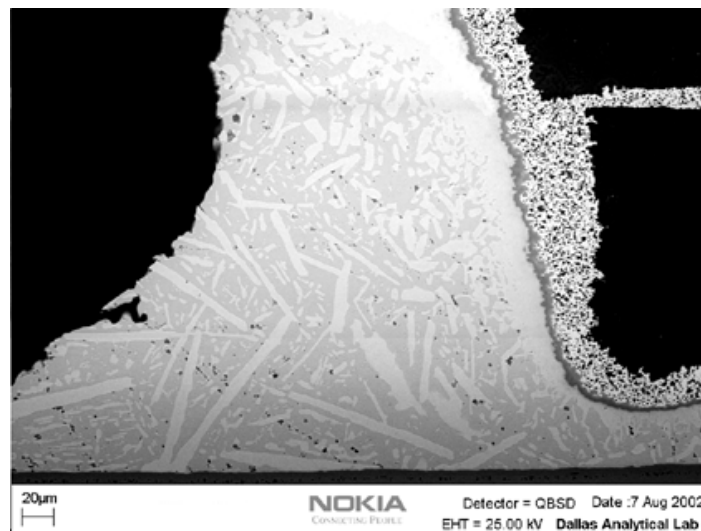
Flash gold, ~1.5wt.%



Medium gold ~ 3 wt. %

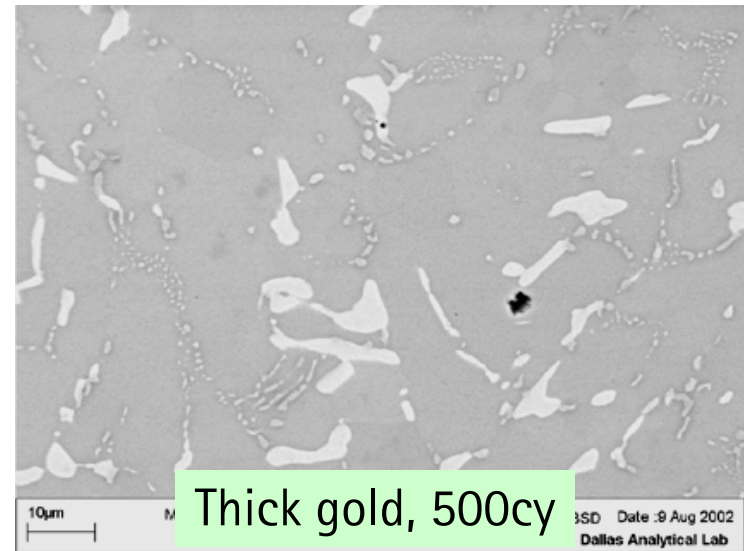
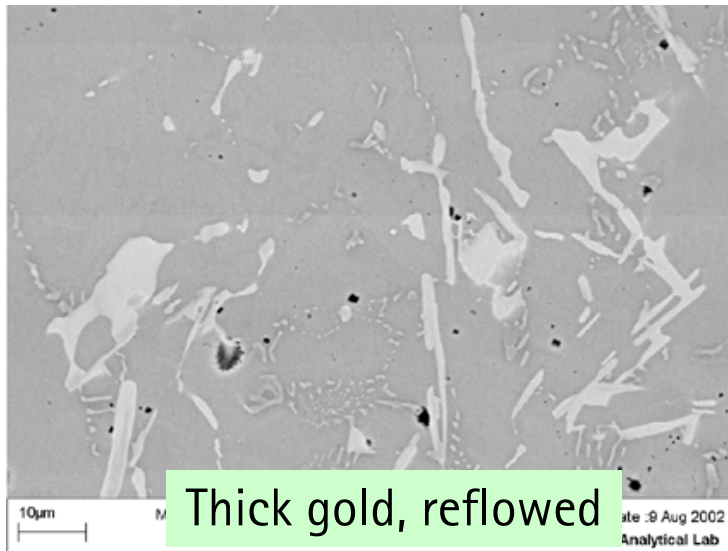
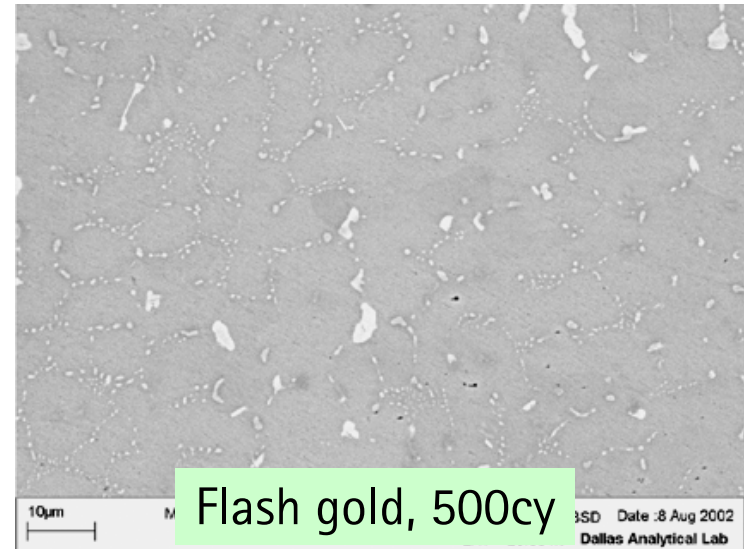


Thick gold, 5~7wt.%



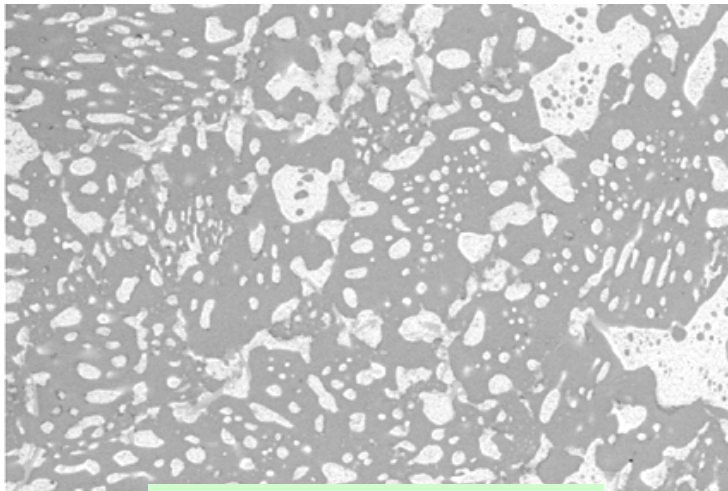
Special case, 12~17wt.%

# Microstructure change of Sn-Ag-Cu-Sb joint



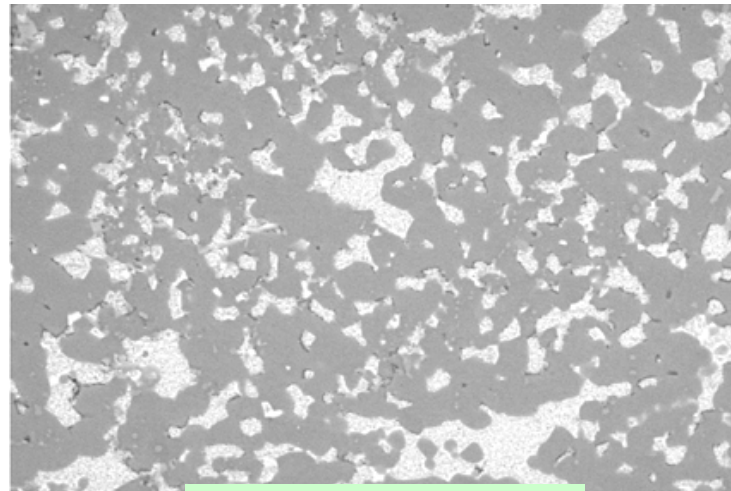
No noticeable change in microstructure after thermal cycling

# Microstructure change of Sn-Pb-Ag joint



Flash gold, reflowed

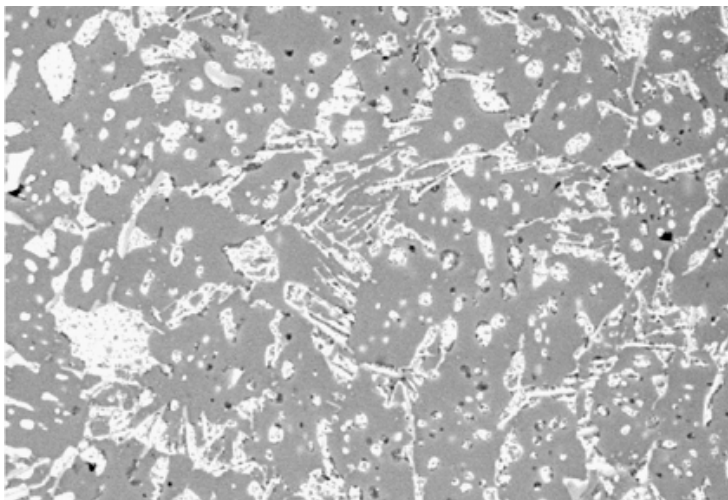
Date :7 Aug 2002  
s Analytical Lab



Flash gold, 500cy

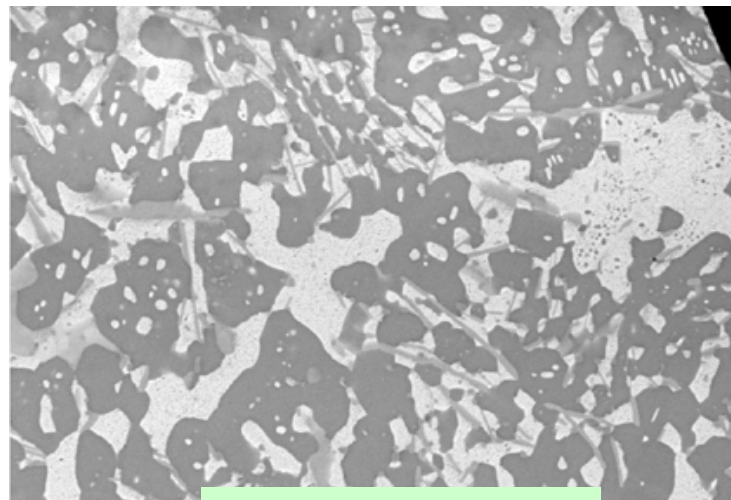
Date :7 Aug 2002  
allas Analytical Lab

Pb-rich phase becomes irregular



Thick gold, reflowed

Date :9 Aug 2002  
Analytical Lab

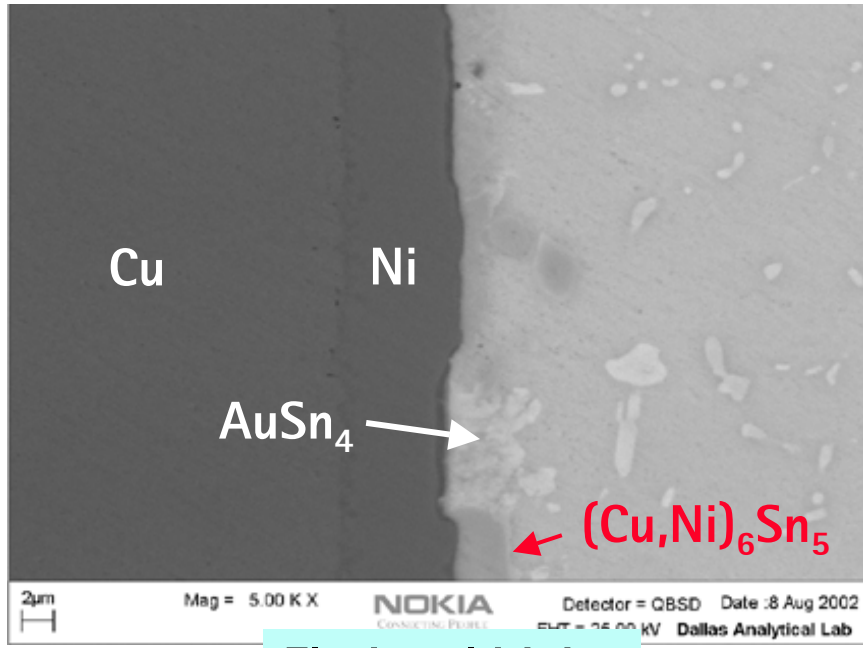


Thick gold, 500cy

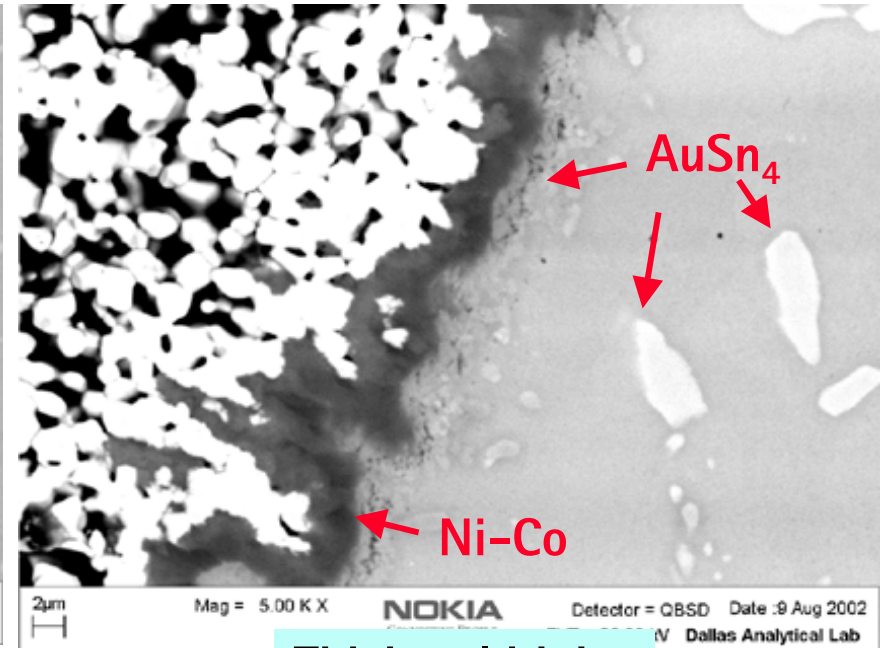
Date :9 Aug 2002  
las Analytical Lab

Coarsened Au-needles & Pb-rich phase

# Interface of Sn-Ag-Cu-Sb joint after 500 cycles



Flash gold joint

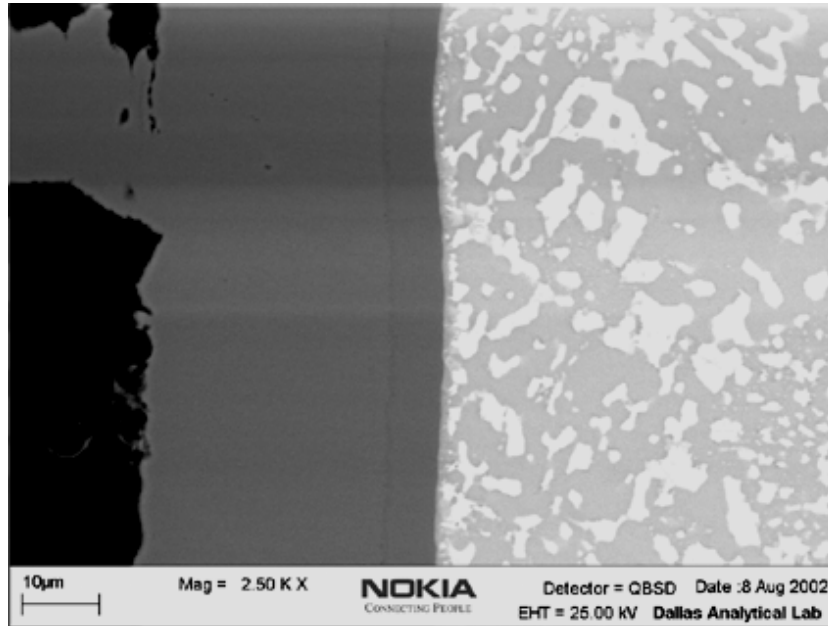


Thick gold joint

- $(\text{Cu,Ni})_6\text{Sn}_5$  between Ni & solder

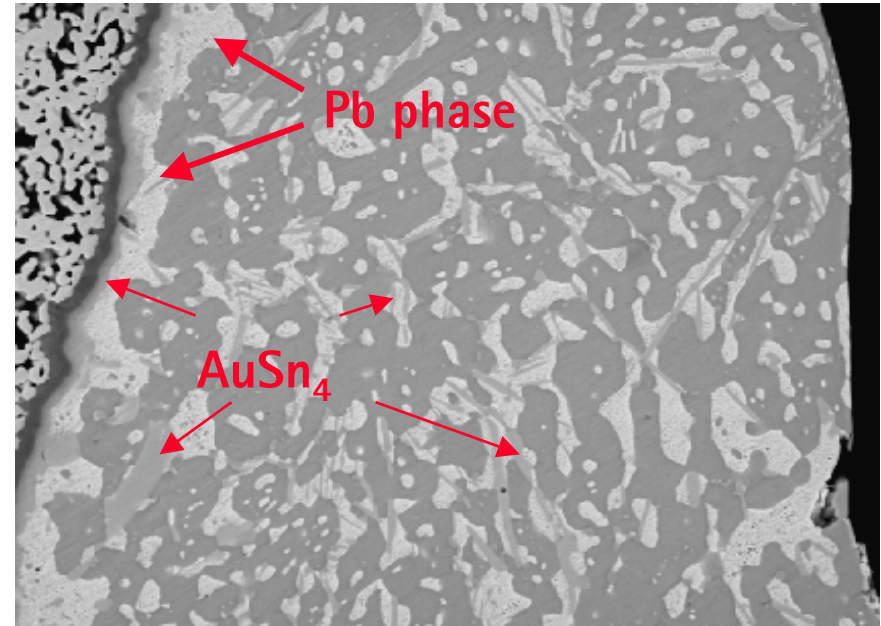
- Au, Ag, Cu & Ni mixed IMCs
- Micro-cracks at interface

# Interface of Sn-Pb-Ag joint after 500 cycles



Flash gold joint

- $\text{Ni}_3\text{Sn}_4$  at interface
- No Pb-rich phase at interface



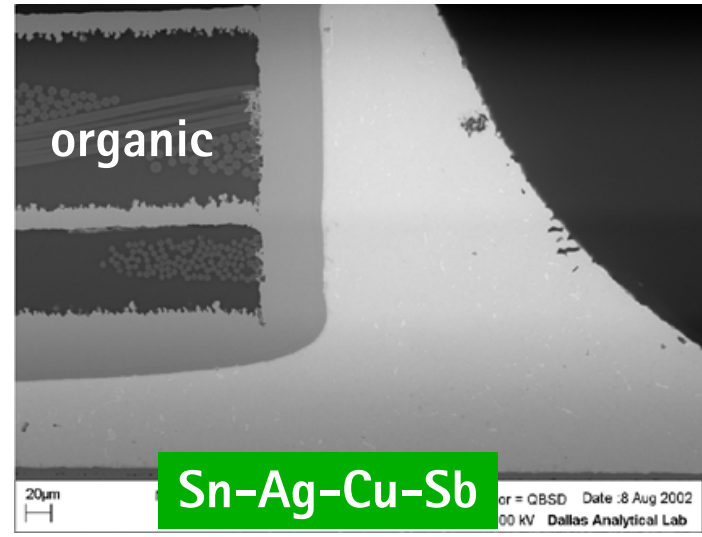
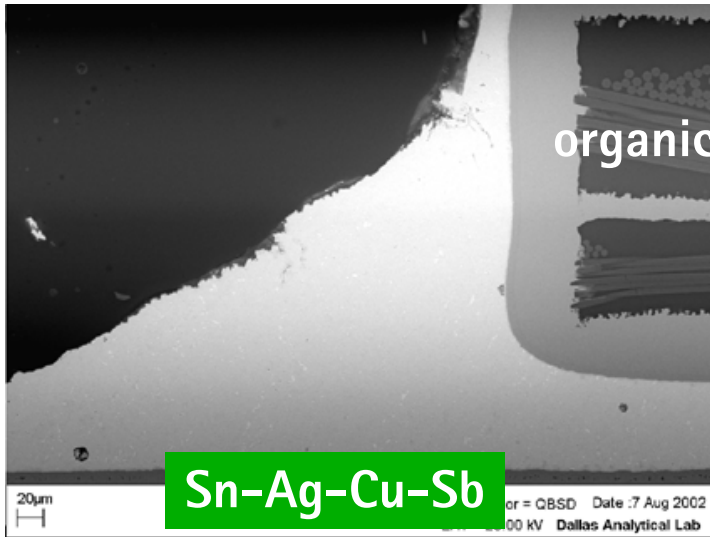
Thick gold joint

- Pb-rich layer at interface
- $\text{AuSn}_4$  layer at interface
- $\text{AuSn}_4$  needles throughout joint



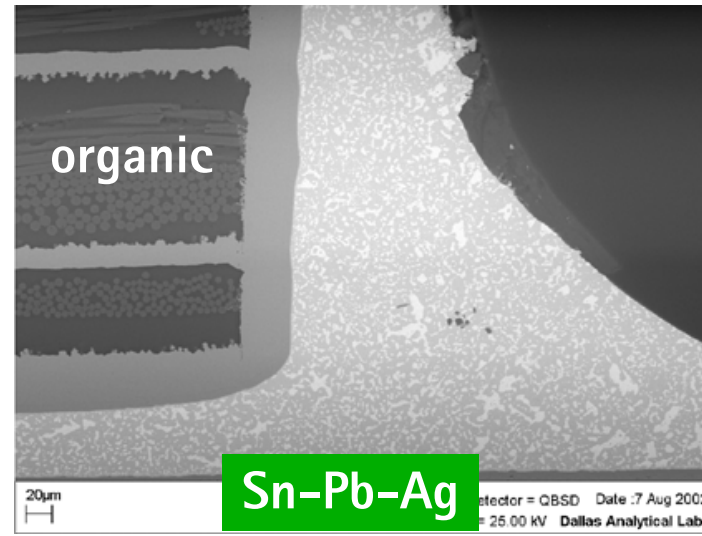
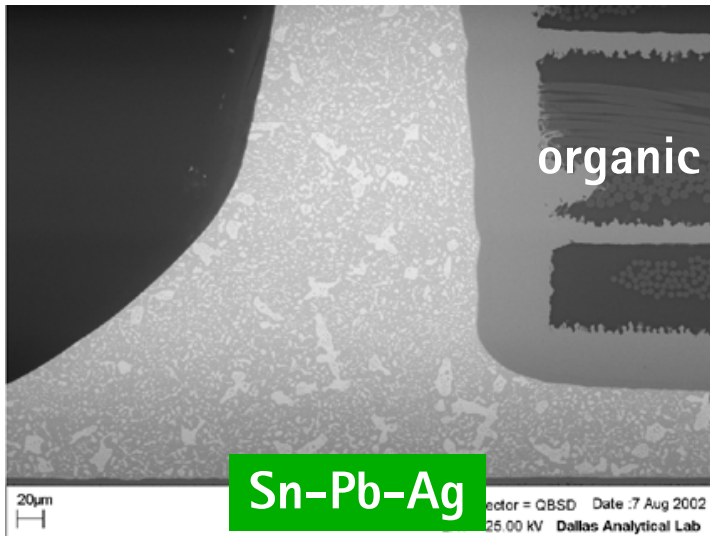
# Good joints: Flash gold finish & organic substrate

reflowed



500cy

reflowed

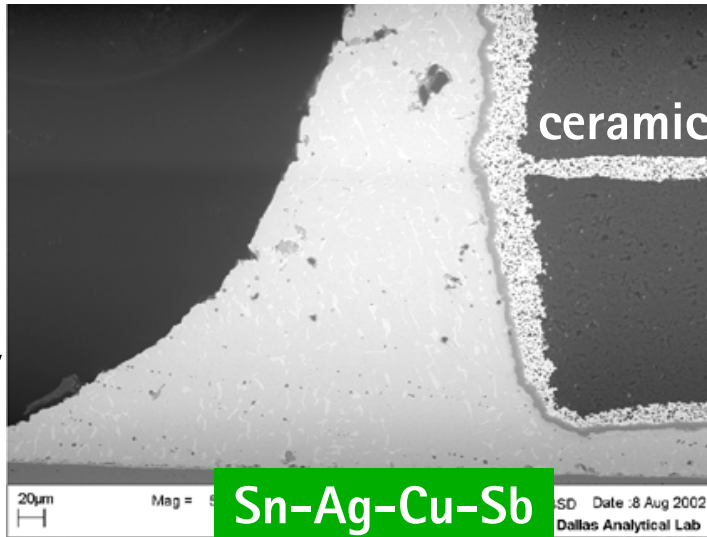


500cy

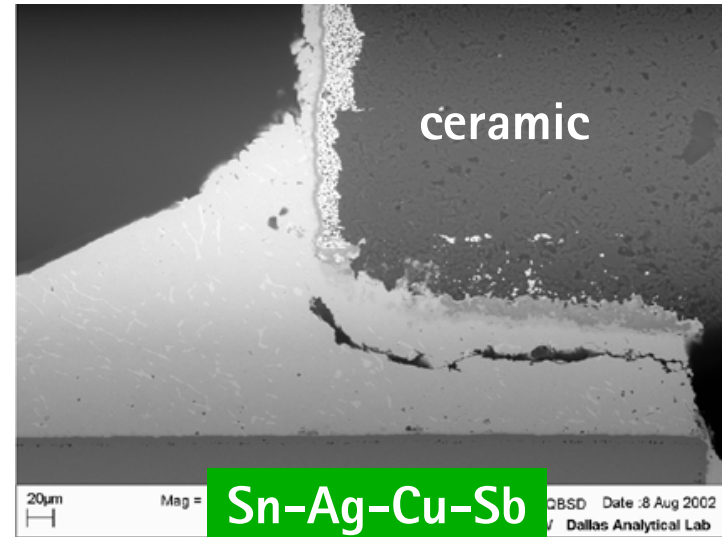
Package size: 5.6x5.6x1.6mm

# Bad joints: Thick gold finish & ceramic substrate

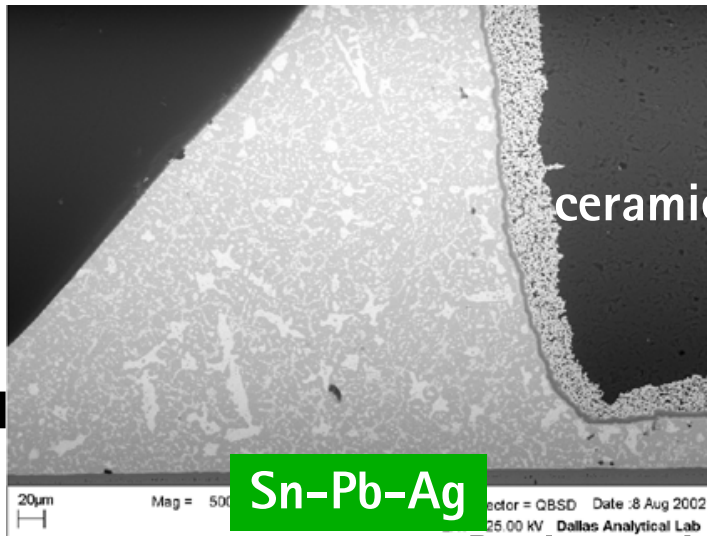
200cy



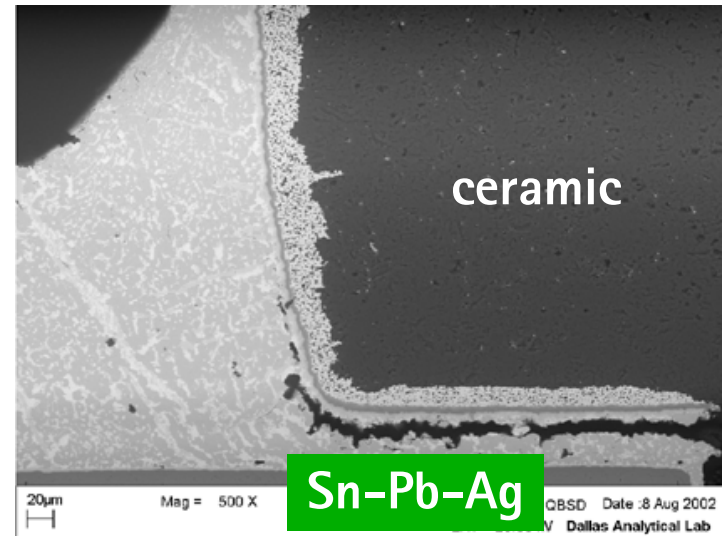
500cy



reflowed



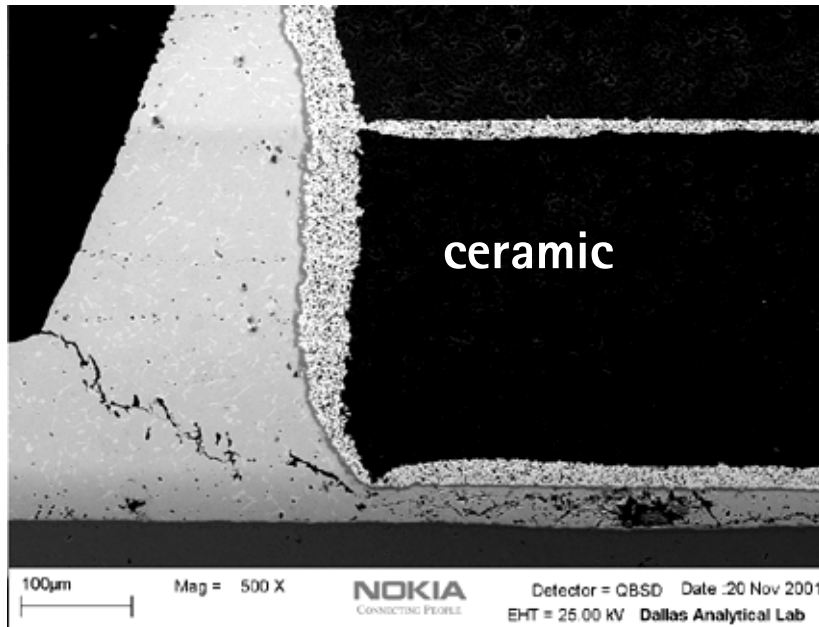
500cy



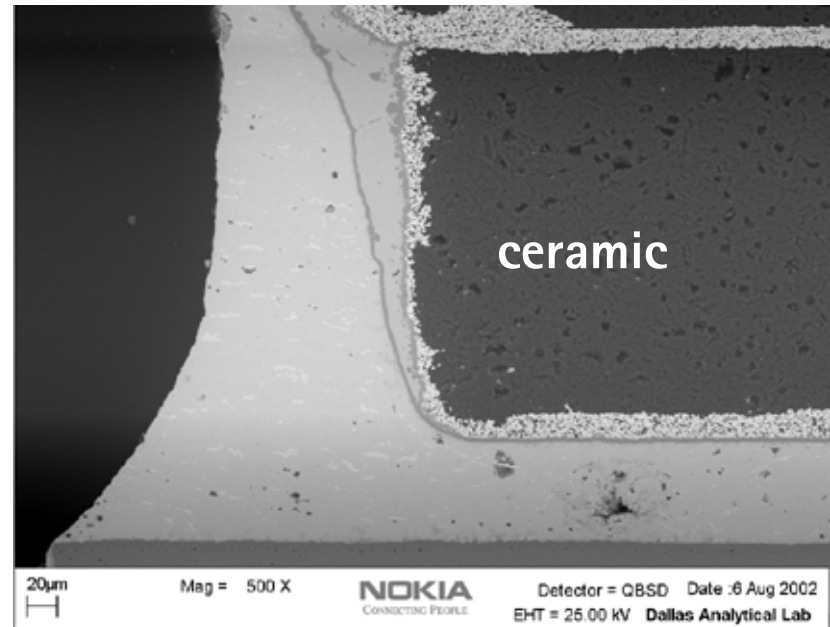
Package size, 3.1x3.1x1.2mm

Medium Au joints are similar to thick Au joints

# Effect of package size



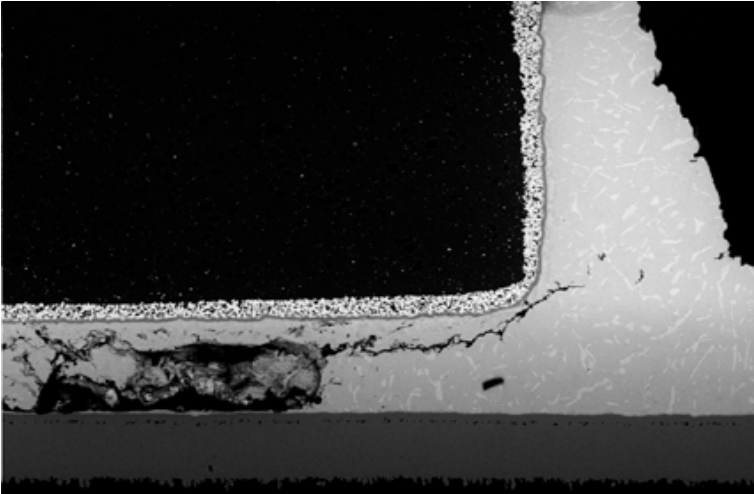
9.5x7.5x2mm, 200 cycles  
Sn-Ag-Cu-Sb



3.1x3.1x1.2mm, 200 cycles  
Sn-Ag-Cu-Sb

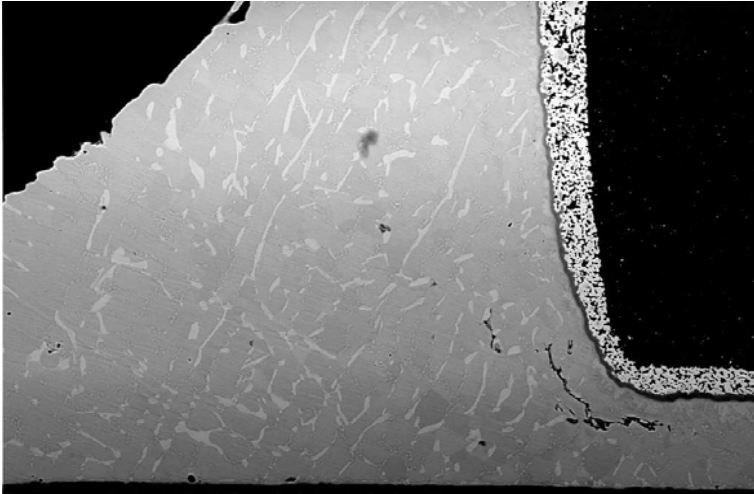
- The joint stress is higher in larger packages.
- Sn-Pb-Ag presents the same situation as Sn-Ag-Cu-Sb.

# Crack generation



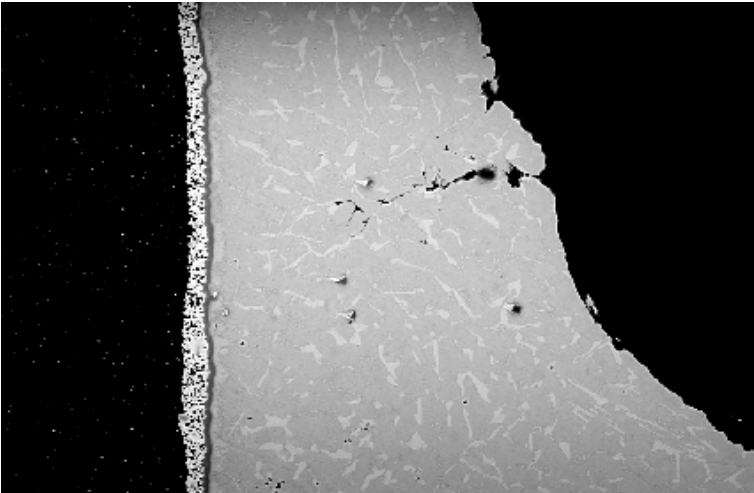
Void in solder gap

Date : 16 Oct 2001  
Dallas Analytical Lab



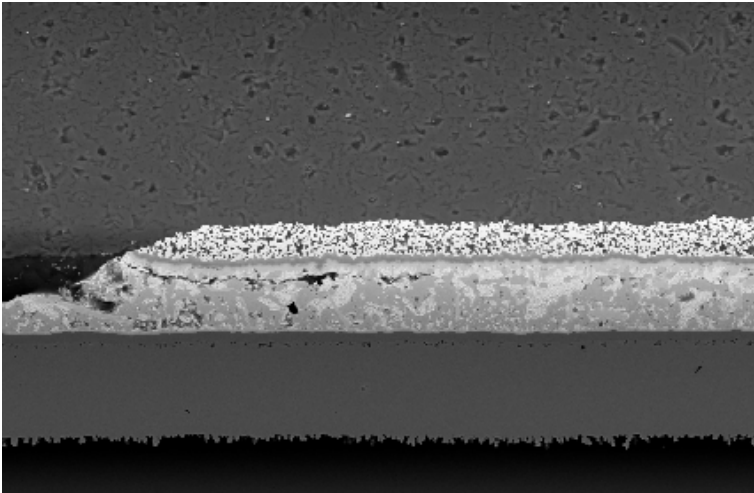
Component corner

Date : 10 Dec 2001  
Dallas Analytical Lab



Rough fillet surface

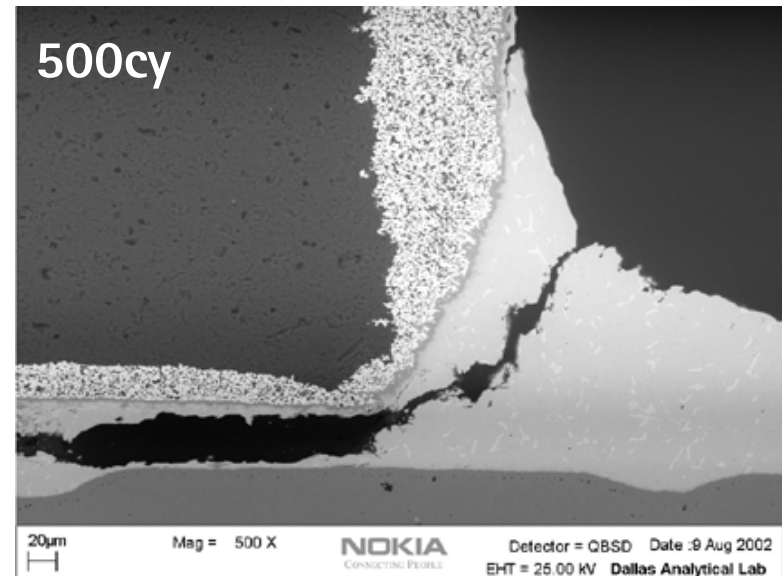
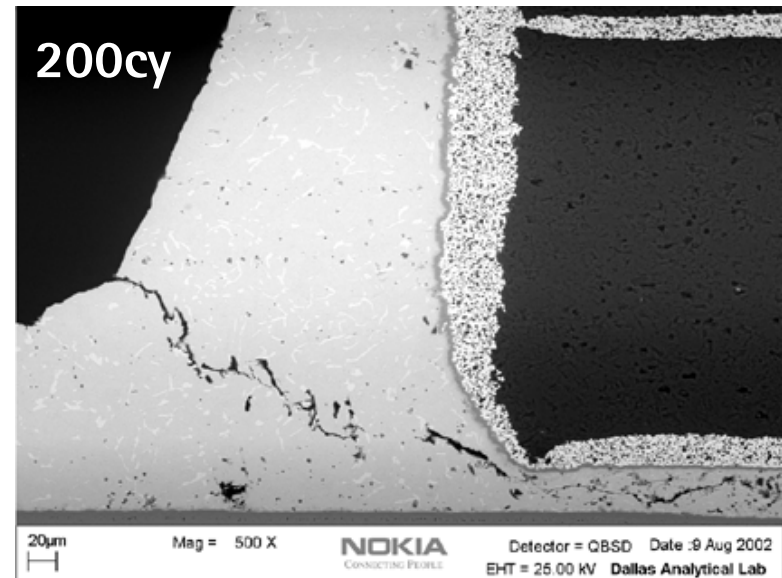
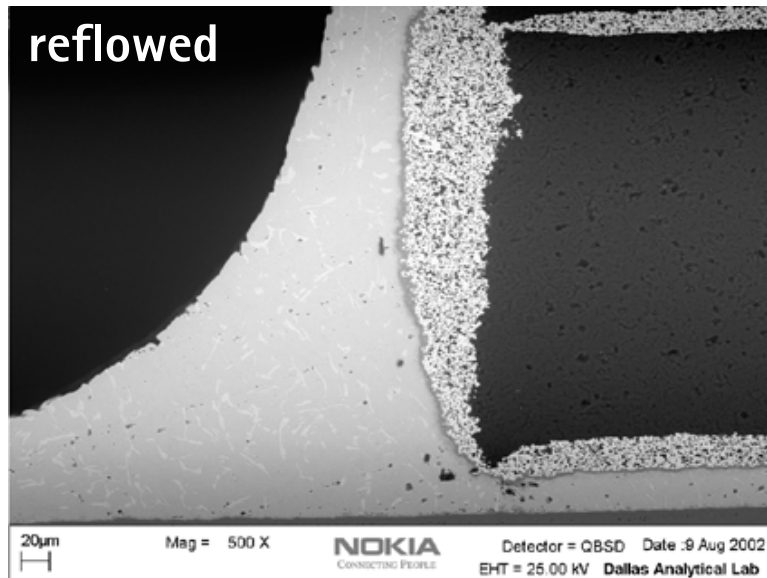
Date : 10 Dec 2001  
Dallas Analytical Lab



Joint tip

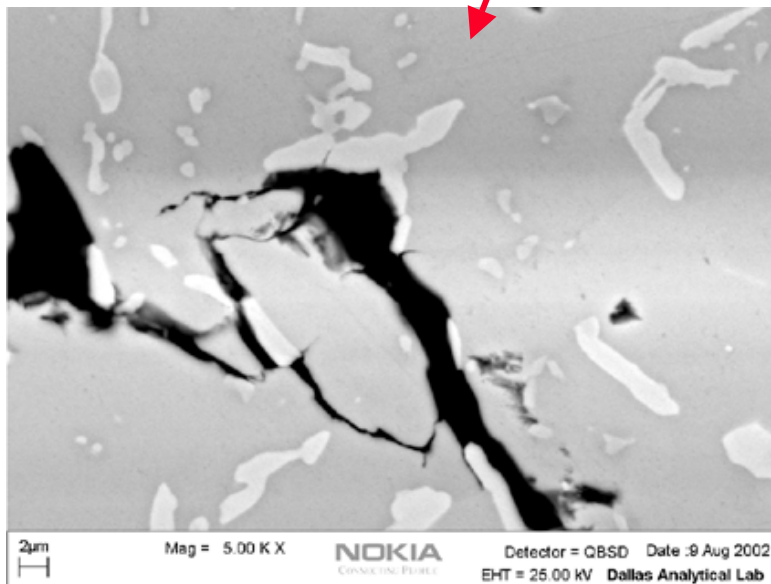
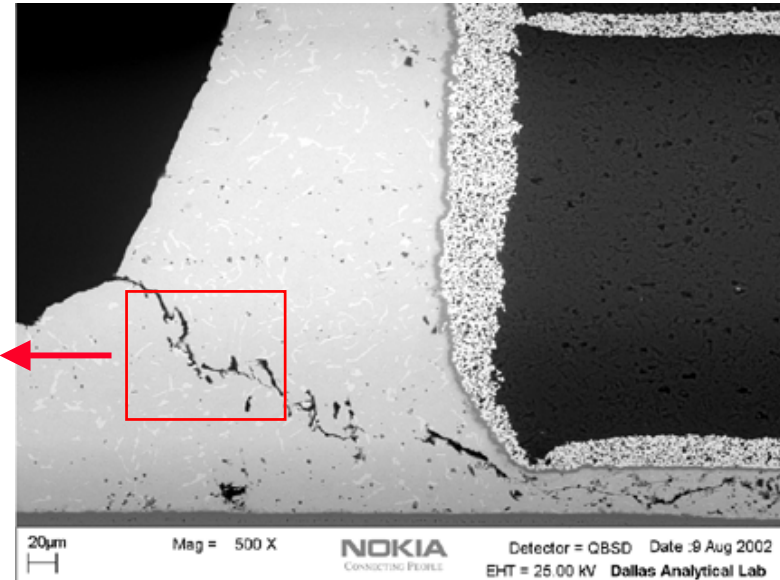
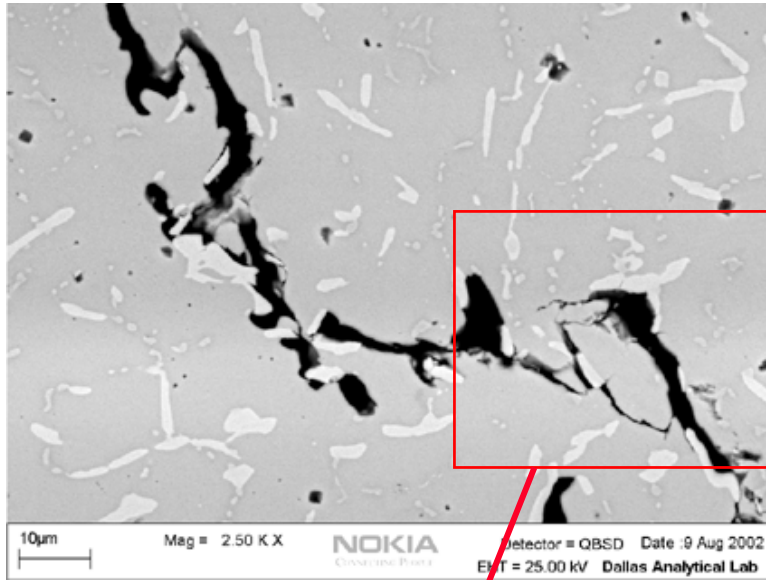
Mag = 1.00 K  
Detector = CBSI  
Date : 3 Dec 2001  
25.00 KV Dallas Analytical Lab

# Crack development



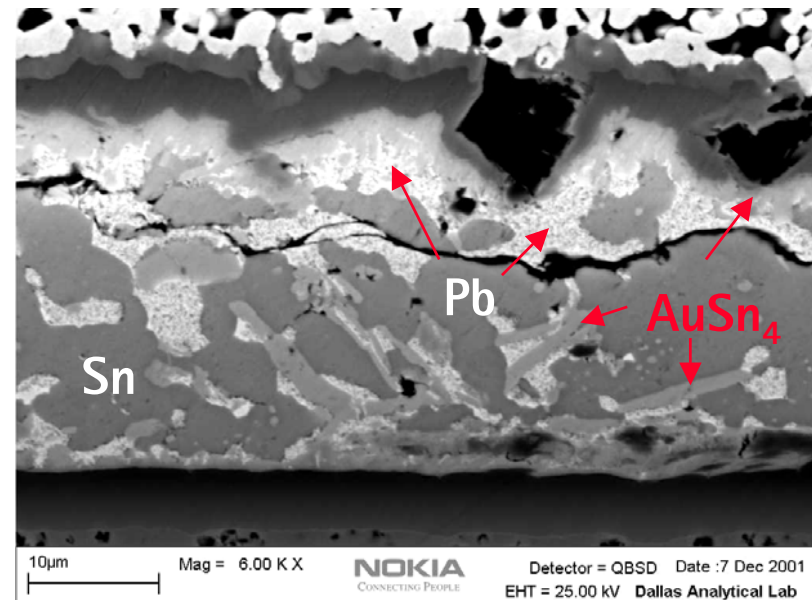
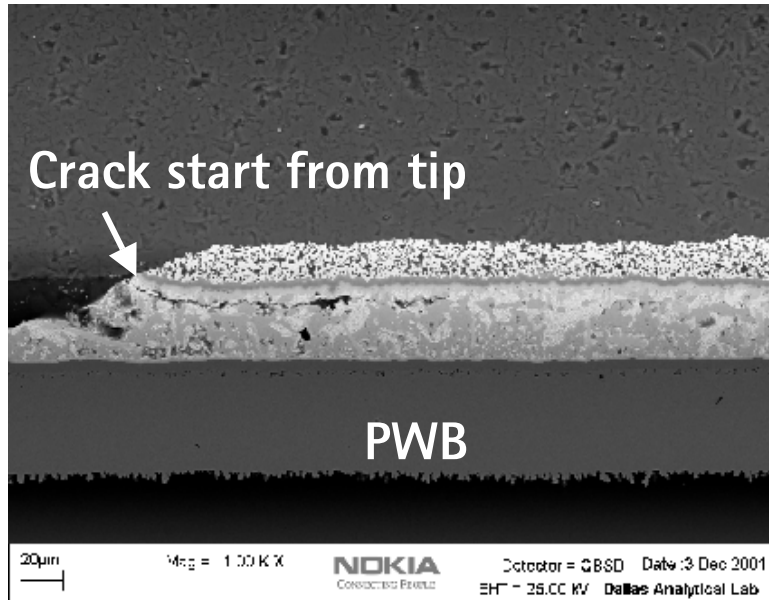
- Sn-Ag-Cu-Sb solder joints
- Package size: 9.5x7.5x2mm

# Crack development

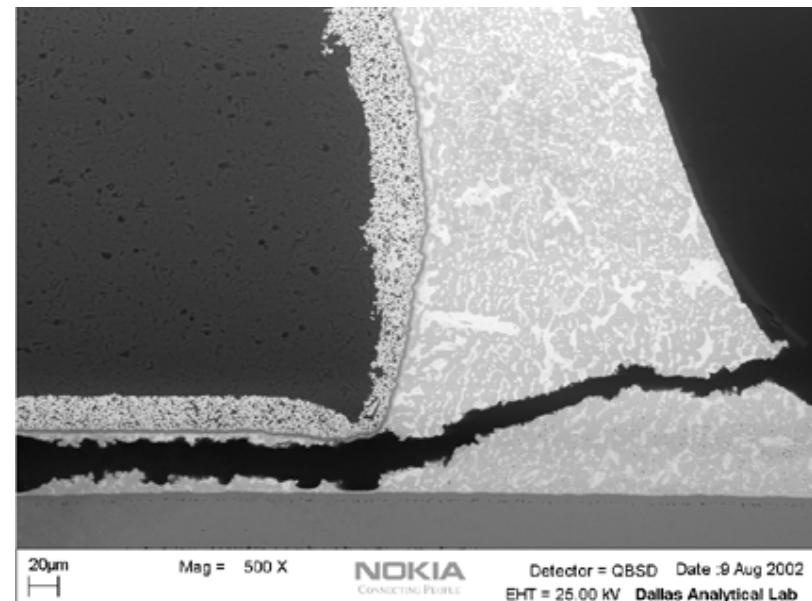


- Sn-Ag-Cu-Sb solder joint
- Cracks originate at void in gap area
- Easier crack propagation along IMC boundary

# Crack development

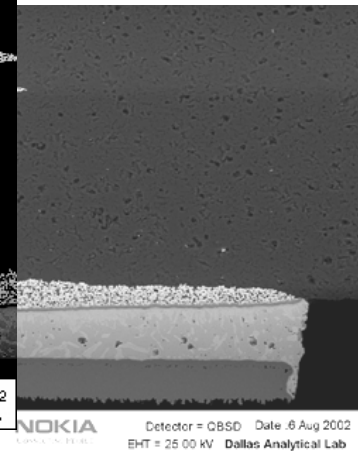
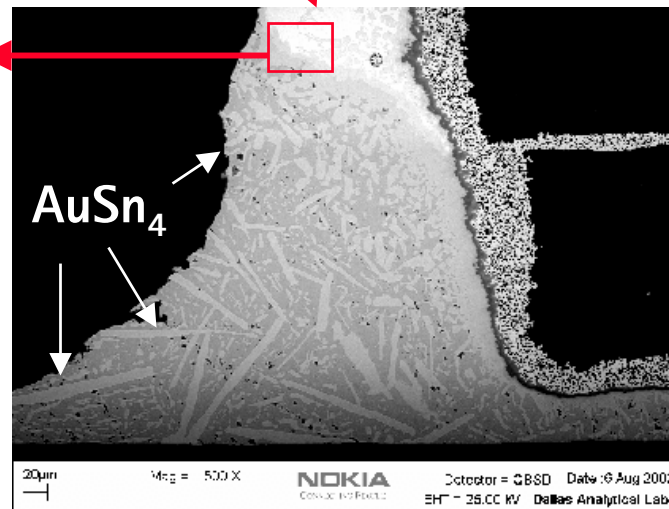
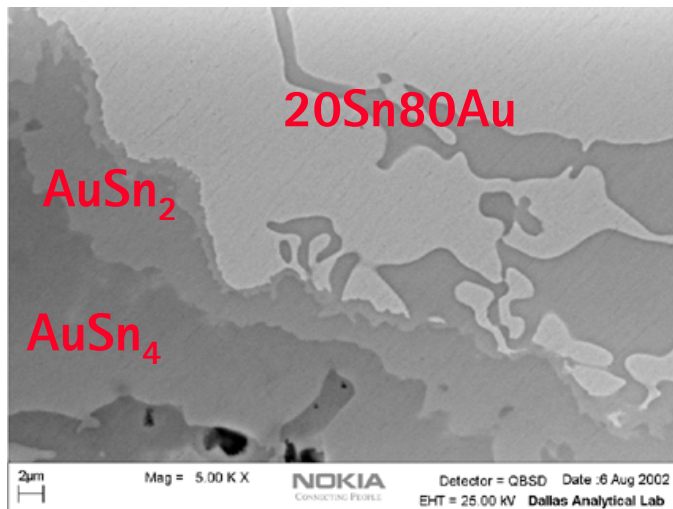
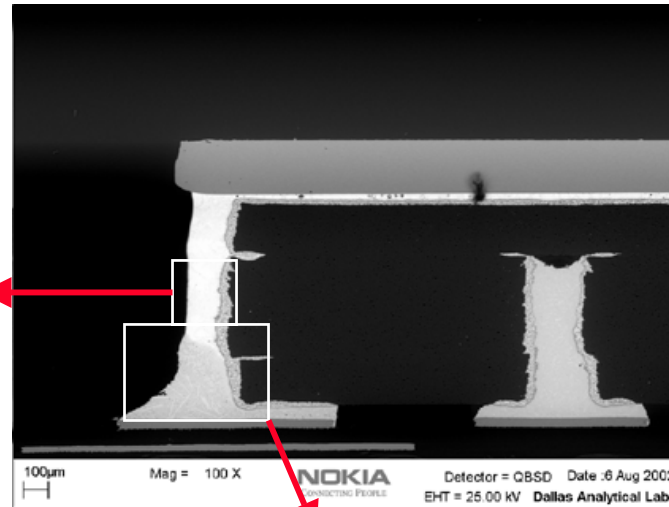
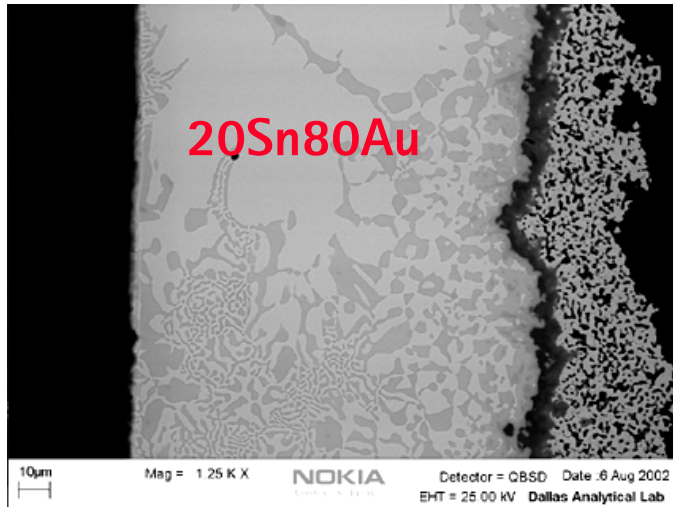


- Sn-Pb-Ag solder joint
- Cracks start from tip
- Propagated along Pb/Sn interface or in Pb-rich phase
- Cracked joint smoother than Sn-Ag-Cu-Sb joint
- Role of Pb-rich phase



# High Au content may be tolerated

**SMALL PACKAGE**  
**3.0x3.0x1.2mm**  
**12~17wt.% Au**



Sn-Ag-Cu-Sb solder joint after 500 cycles

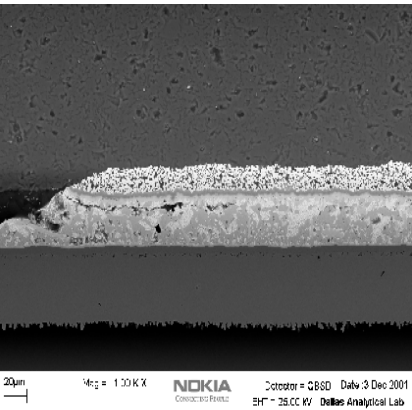
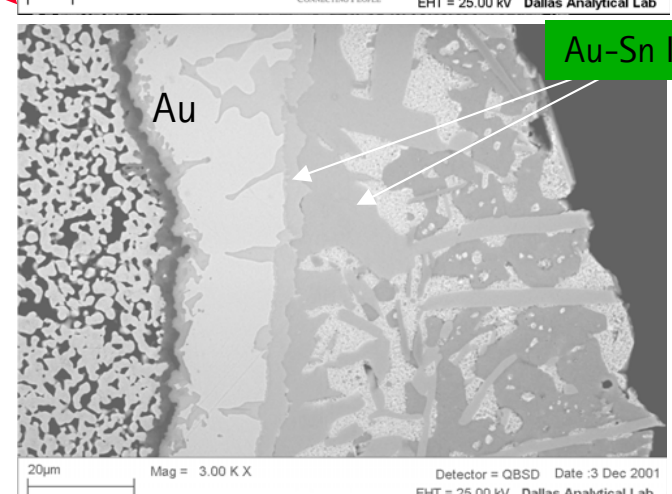
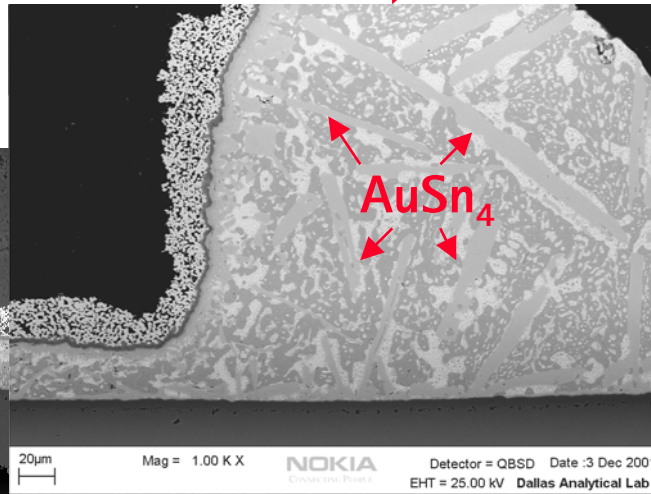
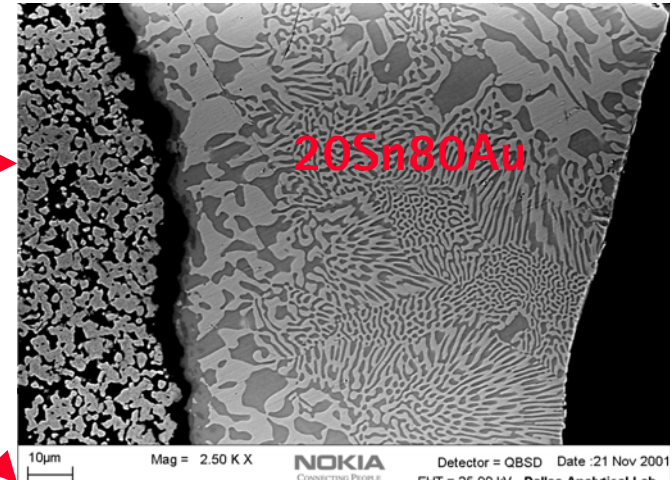
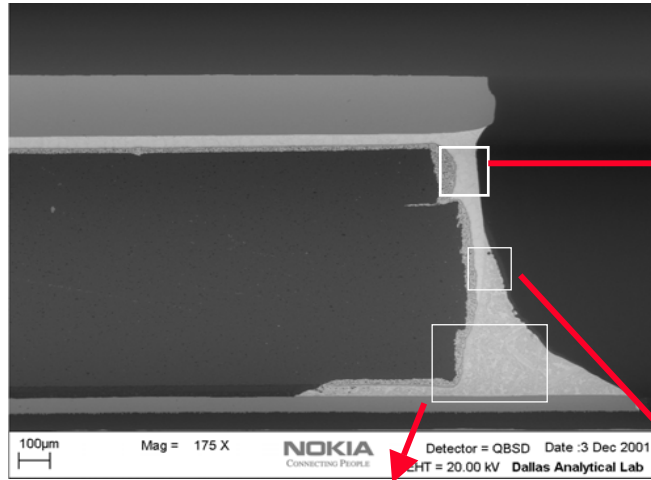


# High Au content may be tolerated

## No solder joint cracks

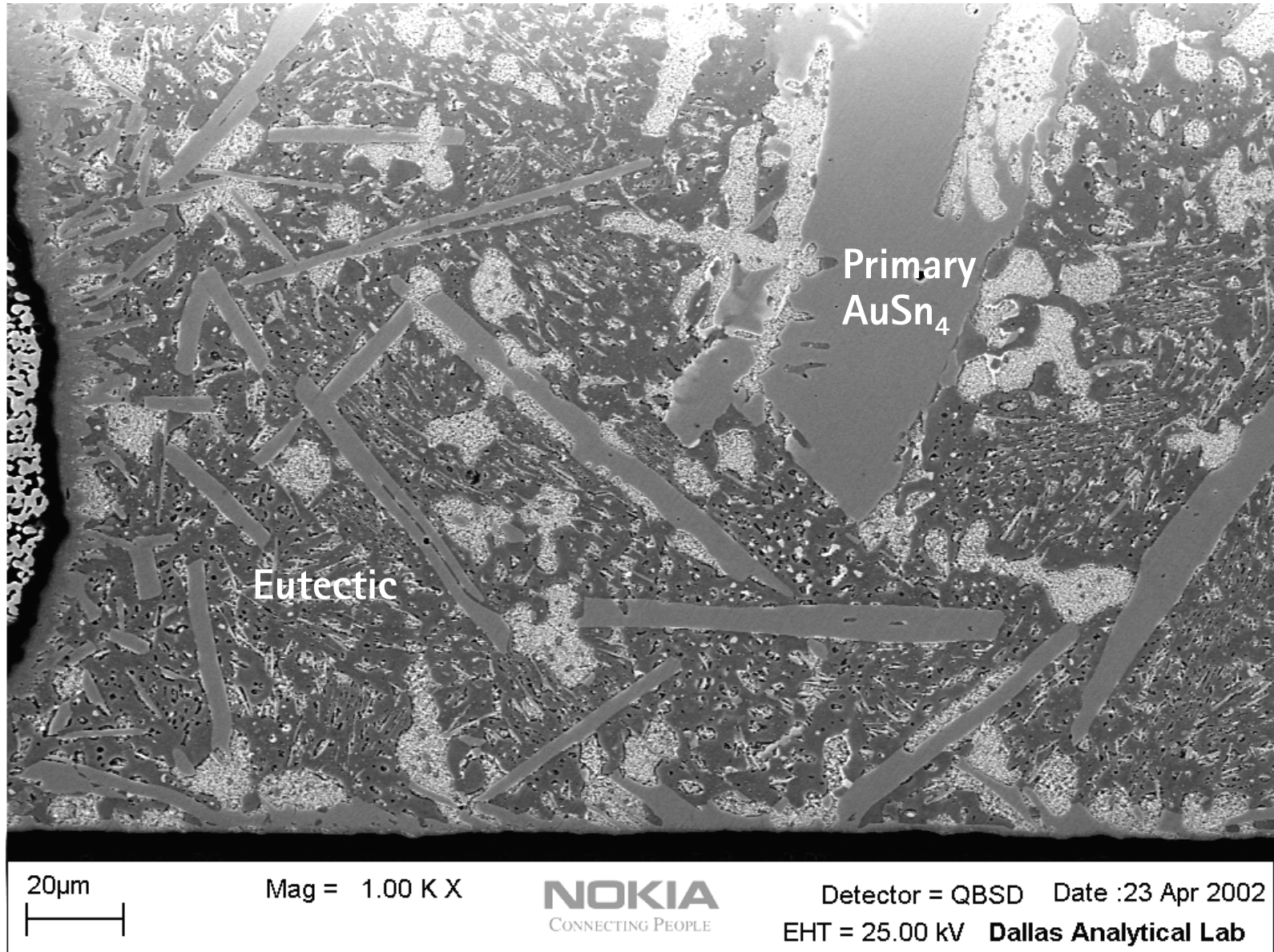
**SMALL PACKAGE**  
**3.0x3.0x1.2mm**

**12~17wt.% Au**

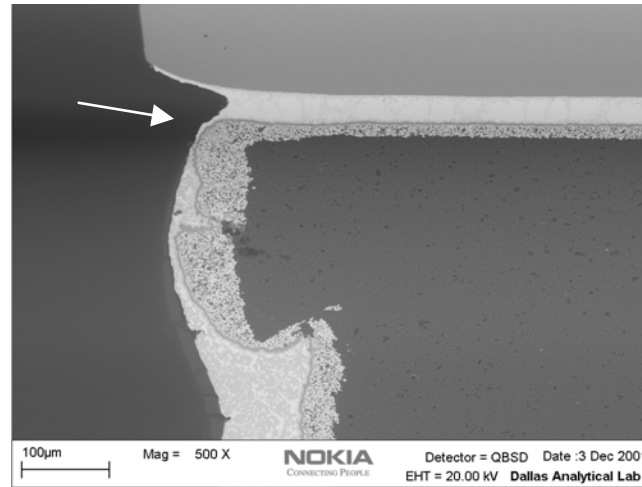
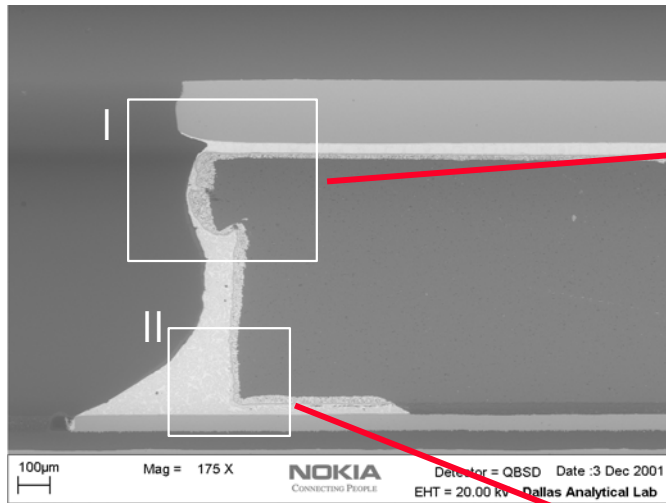


Sn-Pb-Ag solder joint after 500 cycles

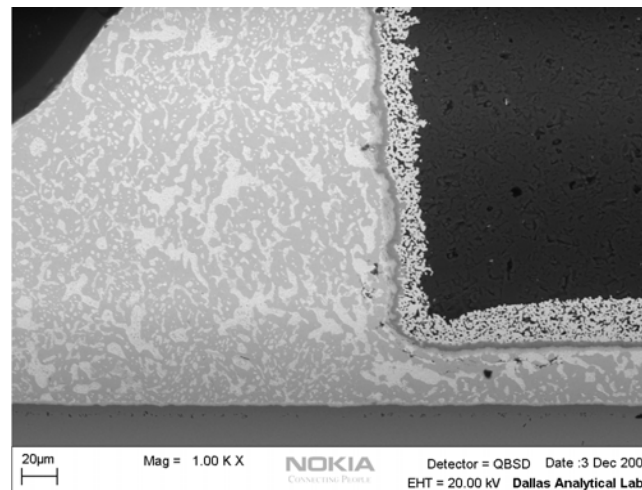
# Au-Sn-Pb microstructure



# High Au can be prevented



20Sn80Au  
Confined well



No big  $\text{AuSn}_4$   
in Sn-Pb-Ag  
joint

Sn-Au solder is confined  
in component joint as  
indicated by the white  
arrow.

Sn-Pb-Ag solder joint after 500 cycles

# Conclusions

- Microstructure of Sn-Ag-Cu-Sb solder joint is more stable than that Sn-Pb-Ag joint in the temperature range studied. (p13-16)
- Presence of Au affects voiding because of Au-Sn IMC formation.
  - ✓ Thick gold finish results in irregular voids (p7)
  - ✓ Flash gold finish results in spherical voids (p7)
  - ✓ Sn-Ag-Cu solder joint has more, bigger & irregular voids (p8)
- High Au content may be tolerated both in Sn-Ag-Cu-Sb and Sn-Pb-Ag solder joints in specific instances, but further study is needed. (p24-26)
- Combination of gold content and CTE is the main reason for the failures observed, package size plays an important role. (p17-20)
- Flash gold is recommended for all applications. (p17 -20)
- Failure mechanism of Sn-Ag-Cu-Sb solder joint is different from that of Sn-Pb-Ag solder joint. (p21-23)
- Sn-Ag-Cu-Sb solder provides better performance than Sn-Pb-Ag solder

# Acknowledgements

- Ted Carper for helpful discussions
- Sridhar Canumalla and Sesil Mathew for analysis support